

# **Government polytechnic kendrapara**



## **DEPARTMENT OF ELECTRONICS AND TELECOMMUNICATION ENGINEERING LECTURE NOTES**

**Semester : 5th**

**Subject: WAVE PROPAGATION & BROADBAND COMMUNICATION ENGINEERING (TH-4)**

**Prepared by : Priyanka Dhal, (PTGF, Electronics & Telecommunication Engg.)**

## **Detailed Contents:**

### **Unit-1: WAVE PROPAGATION & ANTENNA**

- 1.1 Effects of environments such as reflection, refraction, interference, diffraction, absorption and attenuation (Definition only)
- 1.2 Classification based on Modes of Propagation-Ground wave, Ionosphere, Sky wave propagation, Space wave propagation
- 1.3 Definition – critical frequency, max. useable frequency, skip distance, fading, Duct propagation & Troposphere scatter propagation actual height and virtual height
- 1.4 Radiation mechanism of an antenna-Maxwell equation.
- 1.5 Definition - Antenna gains, Directive gain, Directivity, effective aperture, polarization, input impedance, efficiency, Radiator resistance, Bandwidth, Beam width, Radiation pattern
- 1.6 Antenna -types of antenna: Mono pole and dipole antenna and omni directional antenna
- 1.7 Operation of following antenna with advantage & applications.
  - a) Directional high frequency antenna : , Yagi & Rohmbus only
  - b) UHF & Microwave antenna.: Dish antenna (with parabolic reflector) & Horn antenna
- 1.8 Basic Concepts of Smart Antennas- Concept and benefits of smart antennas

### **Unit-2: TRANSMISSION LINES.**

- 2.1 Fundamentals of transmission line.
- 2.2 Equivalent circuit of transmission line & RF equivalent circuit
- 2.3 Characteristics impedance, methods of calculations & simple numerical.
- 2.4 Losses in transmission line.
- 2.5 Standing wave – SWR, VSWR, Reflection coefficient, simple numerical.
- 2.6 Quarter wave & half wavelength line
- 2.7 Impedance matching & Stubs – single & double
- 2.8 Primary & secondary constant of X-mission line.

### **Unit-3: TELEVISION ENGINEERING.**

- 3.1 Define-Aspect ratio, Rectangular Switching. Flicker, Horizontal Resolution, Video bandwidth, Interlaced scanning, Composite video signal, Synchronization pulses
- 3.2 TV Transmitter – Block diagram & function of each block.
- 3.3 Monochrome TV Receiver -Block diagram & function of each block.
- 3.4 Colour TV signals (Luminance Signal & Chrominance Signal, ( I & Q, U & V Signals).
- 3.5 Types of Televisions by Technology- cathode-ray tube TVs, Plasma Display Panels, Digital Light Processing (DLP), Liquid Crystal Display (LCD), Organic Light-Emitting Diode (OLED) Display, Quantum Light-Emitting Diode (QLED) – **only Comparison based on application**
- 3.6 Discuss the principle of operation - LCD display, Large Screen Display.
- 3.7 CATV systems & Types & networks
- 3.8 Digital TV Technology-Digital TV Signals, Transmission of digital TV signals & Digital TV receiver Video programme processor unit.

### **Unit-4: MICROWAVE ENGINEERING.**

- 4.1 Define Microwave Wave Guides.
- 4.2 Operation of rectangular wave guides and its advantage.
- 4.3 Propagation of EM wave through wave guide with TE & TM modes.
- 4.4 Circular wave guide.
- 4.5 Operational Cavity resonator.
- 4.6 Working of Directional coupler, Isolators & Circulator.
- 4.7 Microwave tubes-Principle of operational of two Cavity Klystron.
- 4.8 Principle of Operations of Travelling Wave Tubes
- 4.9 Principle of Operations of Cyclotron
- 4.10 Principle of Operations of Tunnel Diode & Gunn diode

**Unit-5: Broadband communication**

5.1 Broadband communication system-Fundamental of Components and Network architecture

5.2 Cable broadband data network- architecture, importance & future of broadband telecommunication internet based network.

5.3 SONET(Synchronous Optical Network)-Signal frame components topologies advantages applications, and disadvantages

5.4 ISDN - ISDN Devices interfaces, services, Architecture, applications,

5.5 BISDN -interfaces & Terminals, protocol architecture applications

**Books Recommended:**

1. Electronics Communication by G. Kennedy- MGH

2. Broadband Communication by Balaji Kumar (Reference)

**COURSE OUTCOME:**

After the completion of the course the students will be able to-

**C01**-Analyze various methods of radio wave propagation and explain different propagation terms such as critical frequency, maximum usable frequency virtual height, skip distance, fading & ducting.

**C02**-Analyze different parameters of antenna for real time applications.

**C03**- Characterize transmission line parameters using distributed model and solve simple SWR, reflection coefficient, impedance matching using analytical method.

**C04**-Recognize the limitation of existing vacuum tubes at microwave frequency and explain working of microwave components such as isolator, circulator, directional coupler, klystron, TWT & wave guide.

**C05**-Sketch the block diagram of monochrome & color TV transmitter and receiver also identify the components of a monochrome & color TV and explain it.



# Wave Propagation

# Spectrum & Transmission

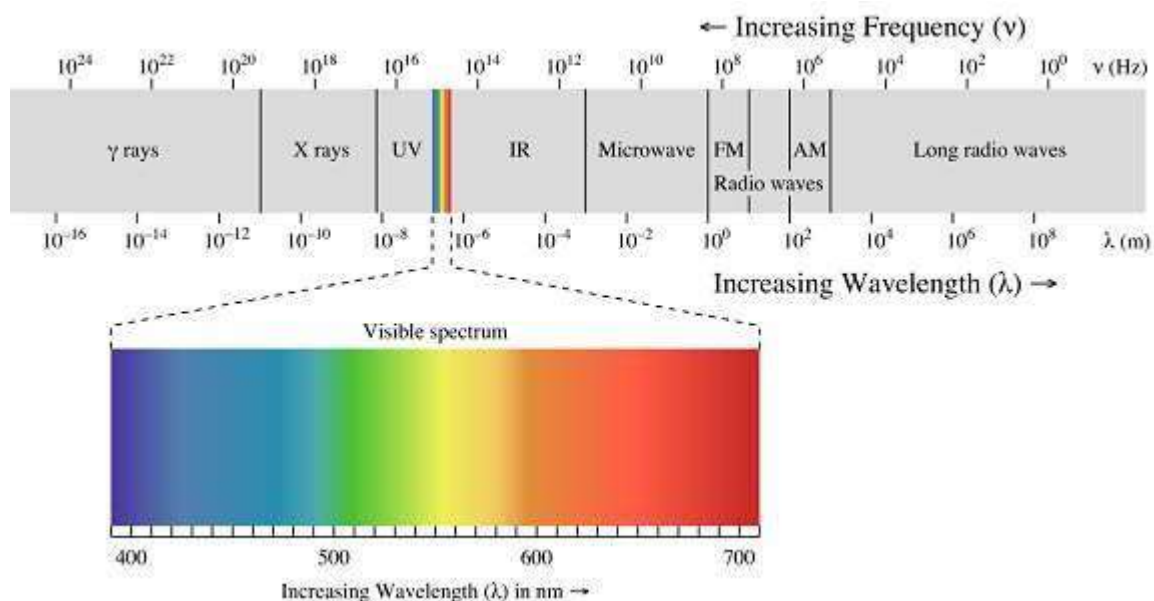
In the Earth's atmosphere, the propagation of wave depends not only on the properties of the wave, but also on environment effects and the layers of earth's atmosphere. All of these have to be studied in order to form an idea of how a wave propagates in the environment.

Let us look at the **frequency spectrum** over which the signal transmission or reception takes place. Different types of antennas are manufactured depending upon the frequency range in which they are operated.

## Electromagnetic Spectrum

Wireless communication is based on the principle of broadcast and reception of electromagnetic waves. These waves can be characterized by their frequency ( $f$ ) and their wavelength ( $\lambda$ ) lambda.

A pictorial representation of the electromagnetic spectrum is given in the following figure.



## Low Frequency bands

Low Frequency bands comprise of the radio, microwave, infrared and visible portions of the spectrum. They can be used for information transmission by modulating the amplitude, frequency or phase of the waves.

## High Frequency bands

High Frequency bands comprise of X-rays and Gamma rays. Theoretically, these waves are better for information propagation. However, these waves are not used practically because of difficulty in modulation and the waves are harmful to living beings. In addition, high frequency waves do not propagate well through buildings.

## Frequency Bands and their Uses

The following table depicts the frequency bands and its uses-

| Band Name                      | Frequency          | Wavelength         | Applications                               |
|--------------------------------|--------------------|--------------------|--------------------------------------------|
| Extremely Low Frequency (ELF)  | 30 Hz to 300 Hz    | 10,000 to 1,000 KM | Power line frequencies                     |
| Voice Frequency (VF)           | 300 Hz to 3 KHz    | 1,000 to 100 KM    | Telephone Communications                   |
| Very Low Frequency (VLF)       | 3 KHz to 30 KHz    | 100 to 10 KM       | Marine Communications                      |
| Low Frequency (LF)             | 30 KHz to 300 KHz  | 10 to 1 KM         | Marine Communications                      |
| Medium Frequency (MF)          | 300 KHz to 3 MHz   | 1000 to 100 m      | AM Broadcasting                            |
| High Frequency (HF)            | 3 MHz to 30 MHz    | 100 to 10 m        | Long distance aircraft/ship Communications |
| Very High Frequency (VHF)      | 30 MHz to 300 MHz  | 10 to 1 m          | FM Broadcasting                            |
| Ultra High Frequency (UHF)     | 300 MHz to 3 GHz   | 100 to 10 cm       | Cellular Telephone                         |
| Super High Frequency (SHF)     | 3 GHz to 30 GHz    | 10 to 1 cm         | Satellite Communications, Microwave links  |
| Extremely High Frequency (EHF) | 30 GHz to 300 GHz  | 10 to 1 mm         | Wireless local loop                        |
| Infrared                       | 300 GHz to 400 THz | 1 mm to 770 nm     | Consumer Electronics                       |
| Visible Light                  | 400 THz to 900 THz | 770 nm to 330 nm   | Optical Communications                     |

## Spectrum Allocation

Since the electromagnetic spectrum is a common resource, which is open for access by anyone, several national and international agreements have been drawn regarding the usage of the different frequency bands within the spectrum. The individual national governments allocate spectrum for applications such as AM/FM radio broadcasting, television broadcasting, mobile telephony, military communication, and government usage.

Worldwide, an agency of the International Telecommunications Union Radio Communication (**ITU-R**) Bureau called World Administrative Radio Conference (**WARC**) tries to coordinate the spectrum allocation by the various national governments, so that communication devices that can work in multiple countries can be manufactured.

## **Transmission Limitations**

---

Four types of limitations that affect electromagnetic wave transmissions are-

### **Attenuation**

According to the standard definition, "The decrease in the quality and the strength of the signal is known as **attenuation**."

The strength of a signal falls with distance over transmission medium. The extent of attenuation is a function of distance, transmission medium, as well as the frequency of the underlying transmission. Even in free space, with no other impairment, the transmitted signal attenuates over distance, simply because the signal is being spread over a larger and larger area.

### **Distortion**

According to the standard definition, "Any change that alters the basic relation between the frequency components of a signal or the amplitude levels of a signal is known as **distortion**."

Distortion of a signal is the process, which causes disturbance to the properties of signal, adding some unwanted components, which affects the quality of the signal. This is usually observed in FM receiver, where the received signal, sometimes gets completely disturbed giving a buzzing sound as the output.

### **Dispersion**

According to the standard definition, "**Dispersion** is the phenomenon, in which the velocity of propagation of an Electromagnetic wave is wavelength dependent."

**Dispersion** is the phenomenon of spreading of a burst of electromagnetic energy during propagation. It is especially prevalent in wireline transmissions such as an optical fiber. Bursts of data sent in rapid succession tend to merge due to dispersion. The longer the length of the wire, the more severe is the effect of dispersion. The effect of dispersion is to limit the product of R and L. Where '**R**' is the **data rate** and '**L**' is **distance**.

### **Noise**

According to the standard definition, "Any unwanted form of energy tending to interfere with the proper and easy reception and reproduction of wanted signals is known as Noise."

The most pervasive form of noise is **thermal noise**. It is often modeled using an additive Gaussian model. Thermal noise is due to the thermal agitation of electrons and is uniformly distributed across the frequency spectrum.

Other forms of noise include-

- **Inter modulation noise:** Caused by signals produced at frequencies that are sums or differences of carrier frequencies.
- **Crosstalk:** Interference between two signals.
- **Impulse noise:** Irregular pulses of high energy caused by external electromagnetic disturbances. An impulse noise may not have a significant impact on analog data. However, it has a noticeable effect on digital data, causing burst errors.

# Types of Propagation

In this chapter, let us go through different interesting topics such as the properties of radio waves, the propagation of radio waves and their types.

## **Radio Waves**

---

Radio waves are easy to generate and are widely used for both indoor and outdoor communications because of their ability to pass through buildings and travel long distances.

The key features are-

- Since radio transmission is **Omni directional** in nature, the need to physically align the transmitter and receiver does not arise.
- The frequency of the radio wave determines many of the characteristics of the transmission.
- At low frequencies, the waves can pass through obstacles easily. However, their power falls with an inverse-squared relation with respect to the distance.
- The higher frequency waves are more prone to absorption by rain drops and they get reflected by obstacles.
- Due to the long transmission range of the radio waves, interference between transmissions is a problem that needs to be addressed.

In the VLF, LF and MF bands the propagation of waves, also called as **ground waves** follow the curvature of the earth. The maximum transmission ranges of these waves are of the order of a few hundred kilometers. They are used for low bandwidth transmissions such as Amplitude Modulation (AM) radio broadcasting.

The HF and VHF band transmissions are absorbed by the atmosphere, near the Earth's surface. However, a portion of the radiation, called the **sky wave**, is radiated outward and upward to the ionosphere in the upper atmosphere. The ionosphere contains ionized particles formed due to the Sun's radiation. These ionized particles reflect the sky waves back to the Earth. A powerful sky wave may be reflected several times between the Earth and the ionosphere. Sky waves are used by amateur ham radio operators and for military communication.

## **Radio Wave Propagation**

---

In **Radio communication systems**, we use wireless electromagnetic waves as the channel. The antennas of different specifications can be used for these purposes. The sizes of these antennas depend upon the bandwidth and frequency of the signal to be transmitted.

The mode of propagation of electromagnetic waves in the atmosphere and in free space may be divided into the following three categories-

- Line of sight (LOS) propagation
- Ground wave propagation
- Sky wave propagation

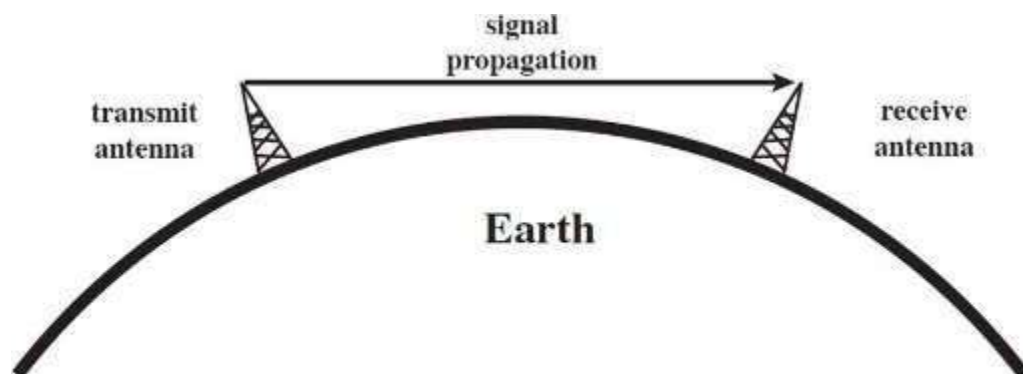
In ELF (Extremely low frequency) and VLF (Very low frequency) frequency bands, the Earth, and the ionosphere act as a wave guide for electromagnetic wave propagation.

In these frequency ranges, communication signals practically propagate around the world. The channel bandwidths are small. Therefore, the information is transmitted through these channels has slow speed and confined to digital transmission.

## Line of Sight (LOS) Propagation

Among the modes of propagation, this line-of-sight propagation is the one, which we commonly notice. In the **line-of-sight communication**, as the name implies, the wave travels a minimum distance of sight. Which means it travels to the distance up to which a naked eye can see. Now what happens after that? We need to employ an amplifier cum transmitter here to amplify the signal and transmit again.

This is better understood with the help of the following diagram.



**Line-of-sight (LOS) propagation (above 30 MHz)**

The figure depicts this mode of propagation very clearly. The line-of-sight propagation will not be smooth if there occurs any obstacle in its transmission path. As the signal can travel only to lesser distances in this mode, this transmission is used for **infrared** or **microwave transmissions**.

## Ground Wave Propagation

Ground wave propagation of the wave follows the contour of earth. Such a wave is called as **direct wave**. The wave sometimes bends due to the Earth's magnetic field and gets reflected to the receiver. Such a wave can be termed as **reflected wave**.

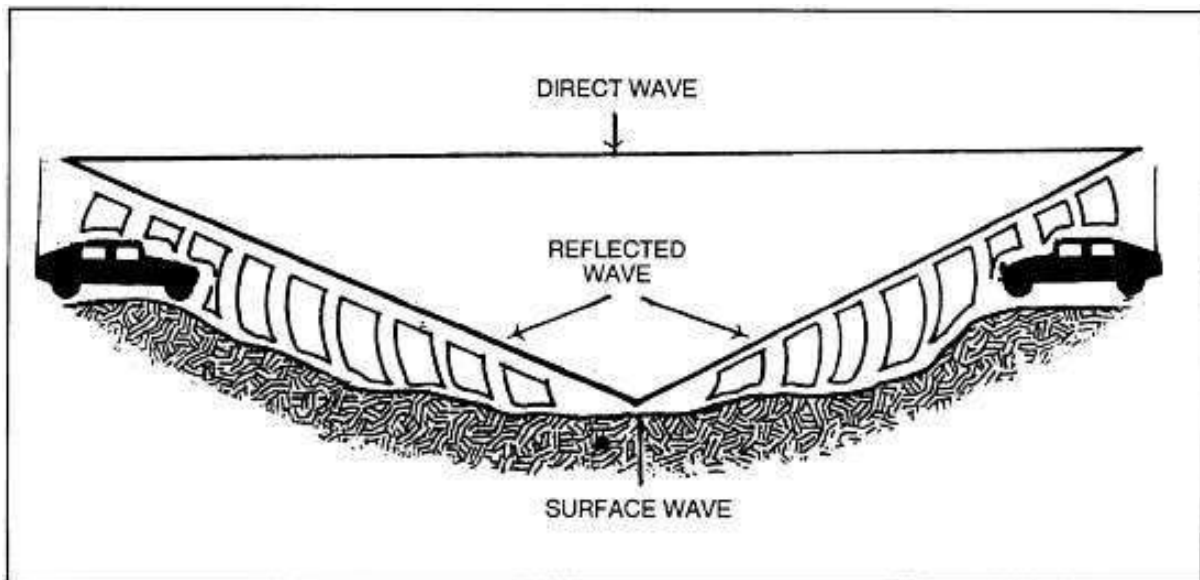
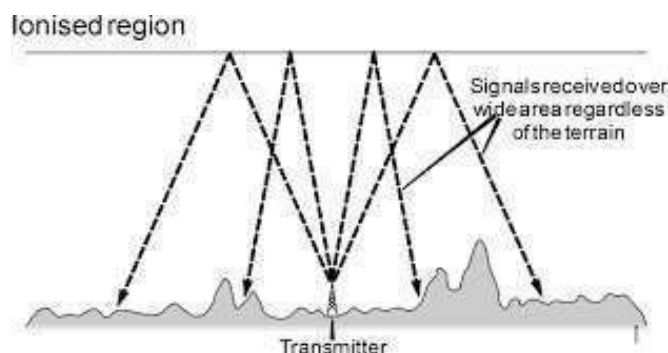


Figure Components of ground wave.

The above figure depicts ground wave propagation. The wave when propagates through the Earth's atmosphere is known as **ground wave**. The direct wave and reflected wave together contribute the signal at the receiver station. When the wave finally reaches the receiver, the lags are cancelled out. In addition, the signal is filtered to avoid distortion and amplified for clear output.

## SkyWave Propagation

Sky wave propagation is preferred when the wave has to travel a longer distance. Here the wave is projected onto the sky and it is again reflected back onto the earth.



The **sky wave propagation** is well depicted in the above picture. Here the waves are shown to be transmitted from one place and where it is received by many receivers. Hence, it is an example of broadcasting.

The waves, which are transmitted from the transmitter antenna, are reflected from the ionosphere. It consists of several layers of charged particles ranging in altitude from 30-250 miles above the surface of the earth. Such a travel of the wave from transmitter to the ionosphere and from there to the receiver on Earth is known as **Sky Wave Propagation**. Ionosphere is the ionized layer around the Earth's atmosphere, which is suitable for sky wave propagation.

# Ionosphere and its Layers

Earth's atmosphere has several layers. These layers play an important role in the wireless communication. These are mainly classified into three layers.

## **Troposphere**

This is the layer of the earth, which lies just above the ground. We, the flora and fauna live in this layer. The ground wave propagation and LOS propagation take place here.

## **Stratosphere**

This is the layer of the earth, which lies above Troposphere. The birds fly in this region. The airplanes travel in this region. Ozone layer is also present in this region. The ground wave propagation and LOS propagation takes place here.

## **Ionosphere**

This is the upper layer of the Earth's atmosphere, where ionization is appreciable. The energy radiated by the Sun, not only heats this region, but also produces positive and negative ions. Since the Sun constantly radiates UV rays and air pressure is low, this layer encourages ionization of particles.

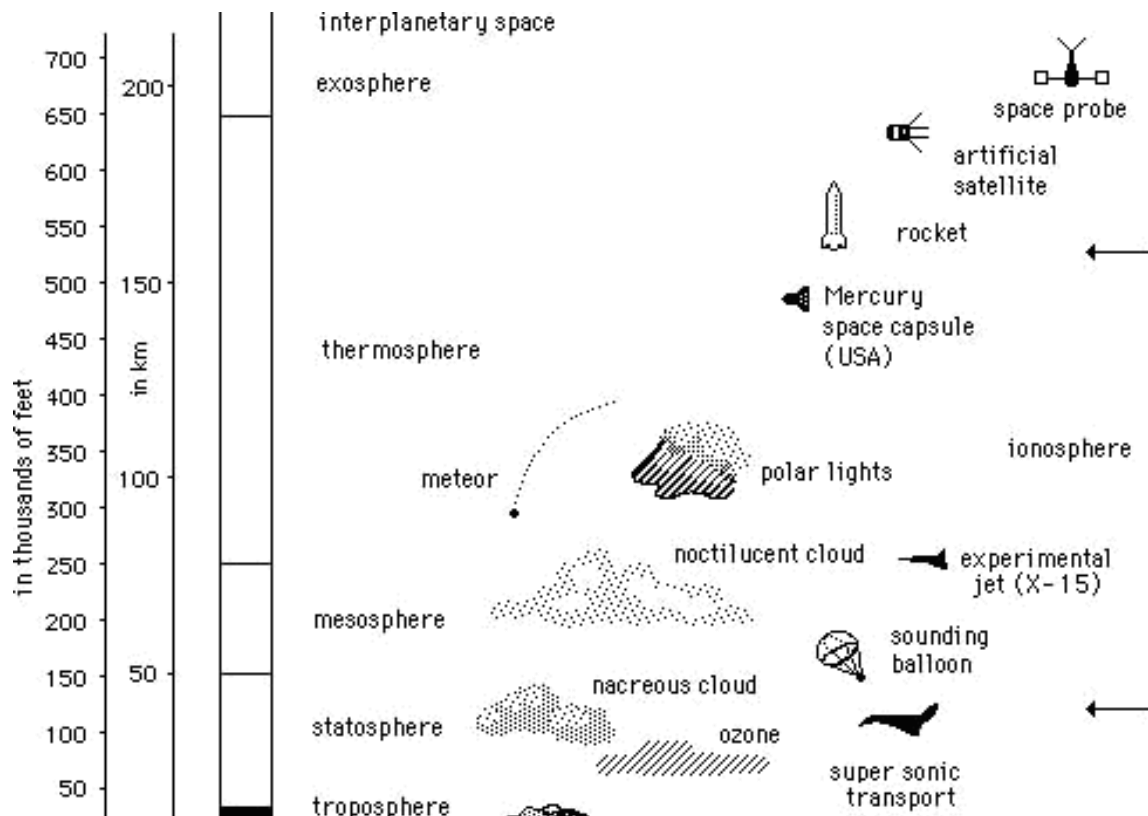
## **Importance of Ionosphere**

The ionosphere layer is a very important consideration in the phase of wave propagation because of the following reasons-

- The layer below ionosphere has higher amount of air particles and lower UV radiation. Due to this, more collisions occur and ionization of particles is minimum and not constant.
- The layer above ionosphere has very low amount of air particles and density of ionization is also quite low. Hence, ionization is not proper.
- The ionosphere has good composition of UV radiation and average air density that does not affect the ionization. Hence, this layer has most influence on the Sky wave propagation.

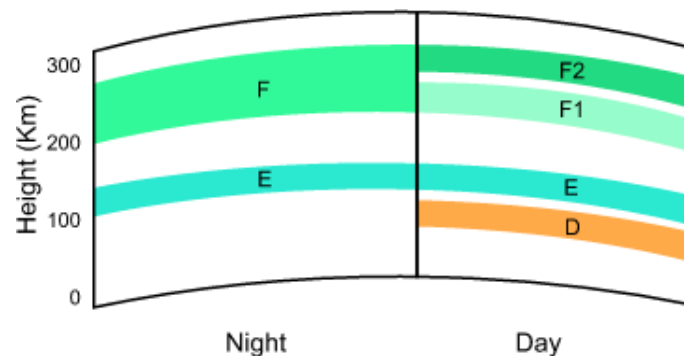
The ionosphere has different gases with different pressures. Different ionizing agents ionize these at different heights. As various levels of ionization are done at each level, having different gases, few layers with different properties are formed in the ionosphere.

The layers of ionosphere can be studied from the following figure.



The number of layers, their heights, the amount of sky wave that can be bent will vary from day to day, month to month and year to year. For each such layer, there is a frequency, above which if the wave is sent upward vertically, it penetrates through the layer.

The function of these layers depends upon the time of the day, i.e., day time and night time. There are three principal layers- E, F1 and F2 during day time. There is another layer called D layer, which lies below E layer. This layer is at 50 to 90kms above the troposphere. This D layer is responsible for the day time attenuation of HF waves. During night time, this D layer almost vanishes out and the F1 and F2 layers combine together to form F layer. Hence, there are only two **layers E and F** present at the **night time**.

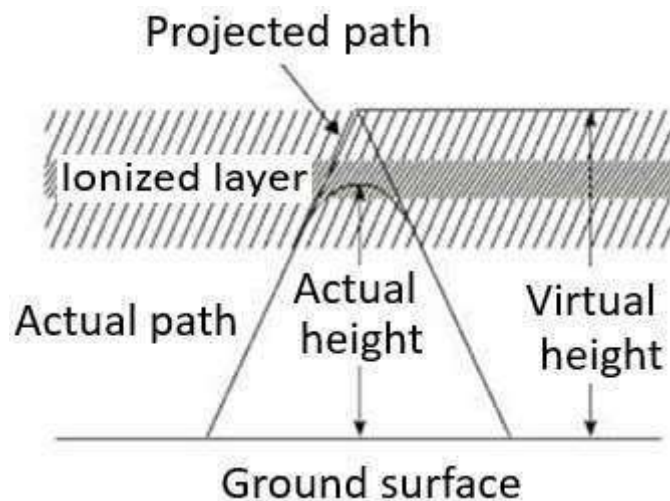


# Terms in Wave Propagation

In the process of propagation of a wave, there are few terms which we come across quite often. Let us discuss about these terms one by one.

## Virtual Height

When a wave is refracted, it is bent down gradually, but not sharply. However, the path of incident wave and reflected wave are same if it is reflected from a surface located at a greater height of this layer. Such a greater height is termed as **virtual height**.



The figure clearly distinguishes the **virtual height** (height of wave, supposed to be reflected) and **actual height** (the refracted height). If the virtual height is known, the angle of incidence can be found.

## Critical Frequency

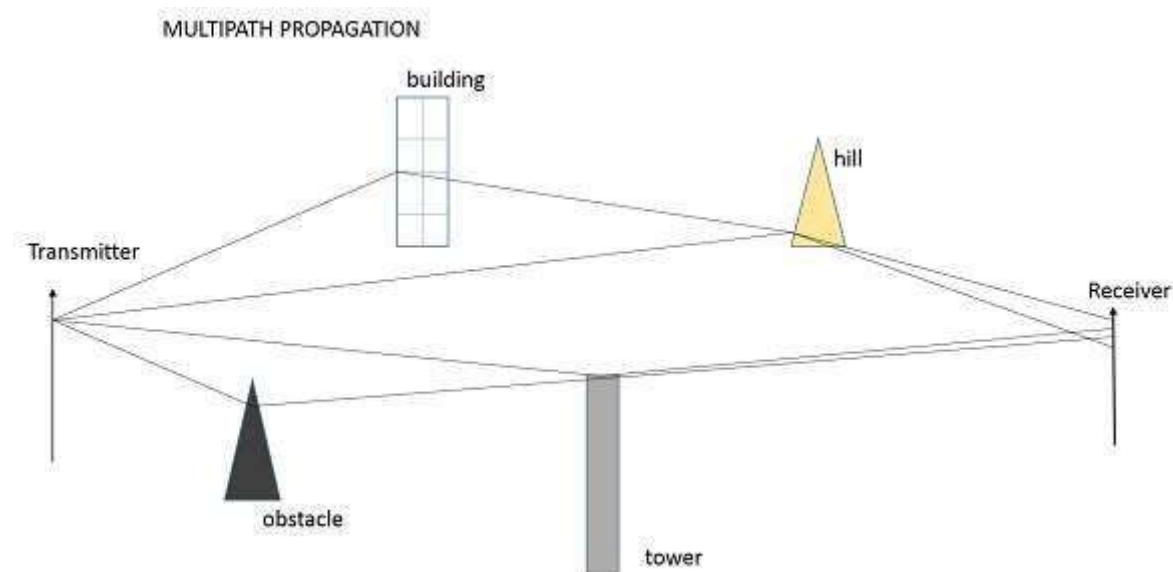
Critical frequency for a layer determines the highest frequency that will be returned down to the earth by that layer, after having been beamed by the transmitter, straight up into the sky.

The rate of ionization density, when changed conveniently through the layers, the wave will be bent downwards. The maximum frequency that gets bent and reaches the receiver station with minimum attenuation, can be termed as **critical frequency**. This is denoted by  $f_c$ .

## Multi-path

---

For the frequencies above 30 MHz, the sky wave propagation exists. Signal multipath is the common problem for the propagation of electromagnetic waves going through Sky wave. The wave, which is reflected from the ionosphere, can be called as a **hop** or **skip**. There can be a number of hops for the signal as it may move back and forth from the ionosphere and earth surface many times. Such a movement of signal can be termed as **multipath**.



The above figure shows an example of multi-path propagation. Multipath propagation is a term, which describes the multiple paths a signal travels to reach the destination. These paths include a number of hops. The paths may be the results of reflection, refraction or even diffraction. Finally, when the signal from such different paths gets to the receiver, it carries propagation delay, additional noise, phase differences etc., which decrease the quality of the received output.

## Fading

---

The decrease in the quality of the signal can be termed as **fading**. This happens because of atmospheric effects or reflections due to multipath.

Fading refers to the variation of the signal strength with respect to time/distance. It is widely prevalent in wireless transmissions. The most common causes of fading in the wireless environment are multipath propagation and mobility (of objects as well as the communicating devices).

## Skip Distance

---

The measurable distance on the surface of the Earth from transmitter to receiver, where the signal reflected from the ionosphere can reach the receiver with minimum hops or skips, is known as **skip distance**.

## Maximum Usable Frequency(MUF)

---

The **Maximum Usable Frequency (MUF)** is the highest frequency delivered by the transmitter regardless of the power of the transmitter. The highest frequency, which is reflected from the ionosphere to the receiver is called as **critical frequency,  $f_c$** .

$$MUF = \frac{\text{Critical frequency}}{\cos \theta} = f_c \sec \theta$$

## Optimum Working Frequency(OWF)

---

The frequency, which is being used mostly for a particular transmission and which has been predicted to be used over a particular period of time, over a path, is termed as **Optimum Working Frequency (OWF)**.

## Inter Symbol Interference

---

**Inter symbol interference (ISI)** occurs more commonly in communication system. This is the main reason for signal multipath also. When signals arrive at the receiving stations via different propagation paths, they cancel out each other, which is known as the phenomenon of **signal fading**. Here, it should be remembered that the signals cancel out themselves in vector way.

## Skin Depth

---

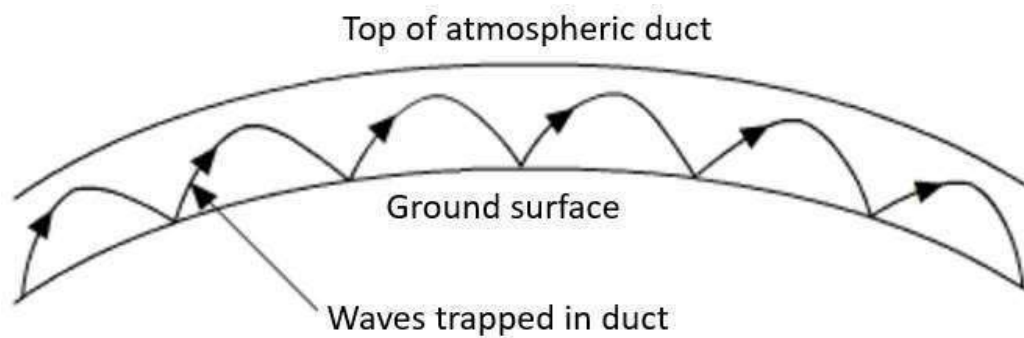
Electromagnetic waves are not suitable for underwater propagations. However, they can propagate under water provided we make the frequency of propagation extremely low. The attenuation of electromagnetic waves under water is expressed in terms of skin depth. **Skin depth** is defined as the distance at which the signal is attenuated by  $1/e$ . It is a measure of depth to which an EM wave can penetrate. Skin depth is represented as  $\delta$  (delta).

## Duct Propagation

---

At a height of around 50 mts from the troposphere, a phenomenon exists; the temperature increases with the height. In this region of troposphere, the higher frequencies or microwave frequencies tend to refract back into the Earth's atmosphere, instead of shooting into ionosphere, to reflect. These waves propagate around the curvature of the earth even up to a distance of 1000km.

This refraction goes on continuing in this region of troposphere. This can be termed as **Super refraction** or **Duct propagation**.



The above image shows the process of **Duct Propagation**. The main requirement for the duct formation is the temperature inversion. The increase of temperature with height, rather than the decrease in the temperature is known as the phenomenon of temperature inversion.

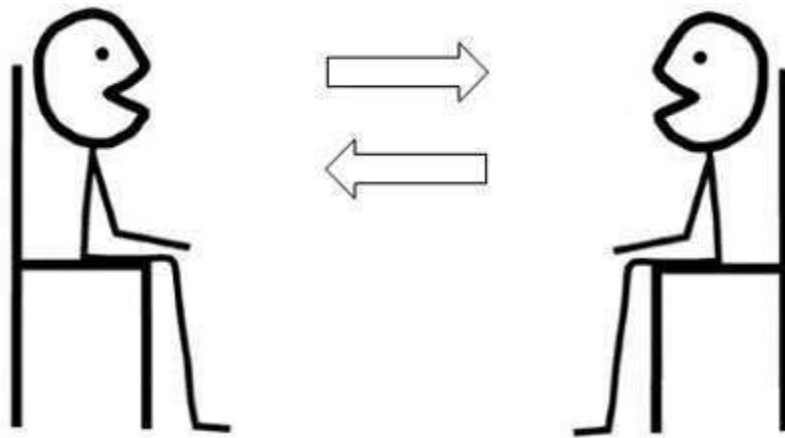
We have discussed the important parameters, which we come across in wave propagation. The waves of higher frequencies are transmitted and received using this wave propagation technique.

# **Antenna – Basic Terms**

# Antenna – Fundamentals

A person, who needs to convey a thought, an idea or a doubt, can do so by **voice communication**.

The following illustration shows two individuals communicating with each other. Here, communication takes place through sound waves. However, if two people want to communicate who are at longer distances, then we have to convert these sound waves into electromagnetic waves. The device, which converts the required information signal into electromagnetic waves, is known as an **Antenna**.



## What is an Antenna

An Antenna is a transducer, which converts electrical power into electromagnetic waves and vice versa.

An Antenna can be used either as a **transmitting antenna** or a **receiving antenna**.

- A **transmitting antenna** is one, which converts electrical signals into electromagnetic waves and radiates them.
- A **receiving antenna** is one, which converts electromagnetic waves from the received beam into electrical signals.
- In two-way communication, the same antenna can be used for both transmission and reception.

Antenna can also be termed as an **Aerial**. Plural of it is, **antennae** or **antennas**. Now-a-days, antennas have undergone many changes, in accordance with their size and shape. There are many types of antennas depending upon their wide variety of applications.

Following pictures are examples of different types of Antennas.



In this chapter, you are going to learn the basic concepts of antenna, specifications and different types of antennas.

## **Need of Antenna**

In the field of communication systems, whenever the need for wireless communication arises, there occurs the necessity of an antenna. **Antenna** has the capability of sending or receiving the electromagnetic waves for the sake of communication, where you cannot expect to lay down a wiring system. The following scenario explains this.

### **Scenario**

In order to contact a remote area, the wiring has to be laid down throughout the whole route along the valleys, the mountains, the tedious paths, the tunnels etc., to reach the remote location. The evolution of wireless technology has made this whole process very simple. Antenna is the key element of this wireless technology.



In the above image, the antennas help the communication to be established in the whole area, including the valleys and mountains. This process would obviously be easier than laying a wiring system throughout the area.

## **Radiation Mechanism of an Antenna**

---

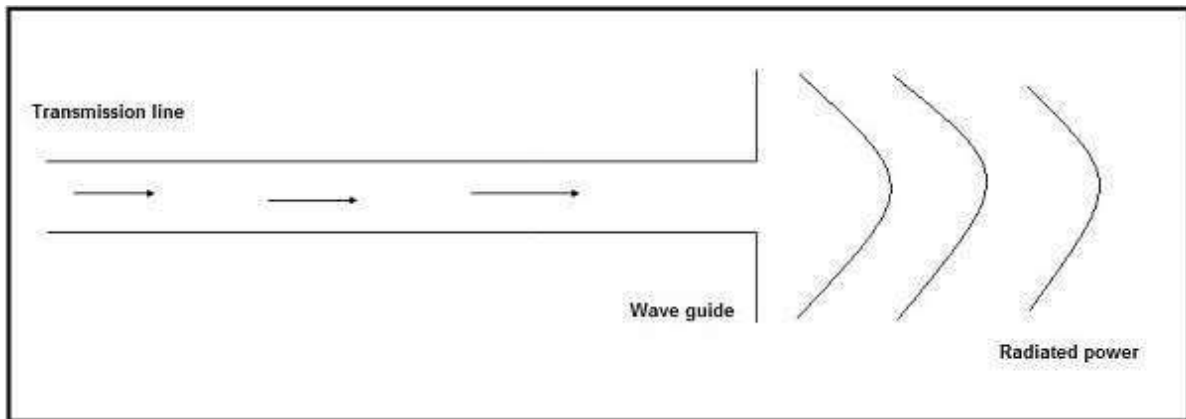
The sole functionality of an antenna is **power radiation** or reception. Antenna (whether it transmits or receives or does both) can be connected to the circuitry at the station through a transmission line. The functioning of an antenna depends upon the radiation mechanism of a transmission line.

A conductor, which is designed to carry current over large distances with minimum losses, is termed as a **transmission line**. For example, a wire, which is connected to an antenna. A transmission line conducting current with uniform velocity, and the line being a straight one with infinite extent, **radiates no power**.

For a transmission line, to become a waveguide or to radiate power, has to be processed as such

- If the power has to be radiated, though the current conduction is with uniform velocity, the wire or transmission line should be bent, truncated or terminated.
- If this transmission line has current, which accelerates or decelerates with a time-varying constant, then it radiates the power even though the wire is straight.
- The device or tube, if bent or terminated to radiate energy, then it is called as **waveguide**. These are especially used for the microwave transmission or reception.

This can be well understood by observing the following diagram-



The above diagram represents a waveguide, which acts as an antenna. The power from the transmission line travels through the waveguide which has an aperture, to radiate the energy.

## Basic Types of Antennas

---

Antennas may be divided into various types depending upon-

- The physical structure of the antenna.
- The frequency ranges of operation.
- The mode of applications etc.

### Physical structure

Following are the types of antennas according to the physical structure. You will learn about these antennas in later chapters.

- Wire antennas
- Aperture antennas
- Reflector antennas
- Lens antennas
- Micro strip antennas
- Array antennas

### Frequency of operation

Following are the types of antennas according to the frequency of operation.

- Very Low Frequency (VLF)
- Low Frequency (LF)
- Medium Frequency (MF)
- High Frequency (HF)
- Very High Frequency (VHF)

- Ultra High Frequency (UHF)
- Super High Frequency (SHF)
- Micro wave
- Radio wave

### **Mode of Applications**

Following are the types of antennas according to the modes of applications-

- Point-to-point communications
- Broadcasting applications
- Radar communications
- Satellite communications

# Antenna – Basic Parameters

The basic communication parameters are discussed in this chapter to have a better idea about the wireless communication using antennas. The wireless communication is done in the form of waves. Hence, we need to have a look at the properties of waves in the communications.

In this chapter, we are going to discuss about the following parameters-

- Frequency
- Wavelength
- Impedance matching
- VSWR & reflected power
- Bandwidth
- Percentage bandwidth
- Radiation intensity

Now, let us learn them in detail.

## Frequency

---

According to the standard definition, "The rate of repetition of a wave over a particular period of time, is called as **frequency**."

Simply, frequency refers to the process of how often an event occurs. A periodic wave repeats itself after every '**T**' seconds (time period). **Frequency** of periodic wave is nothing but the reciprocal of time period (T).

## Mathematical Expression

Mathematically, it is written as shown below.

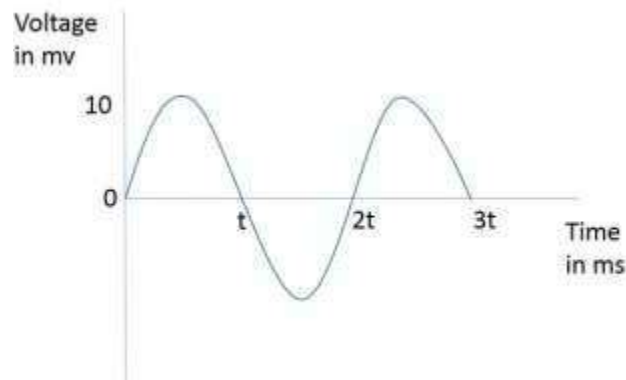
$$f = \frac{1}{T}$$

Where

- **f** is the frequency of periodic wave.
- **T** is the time period at which the wave repeats.

## Units

The unit of frequency is **Hertz**, abbreviated as **Hz**.



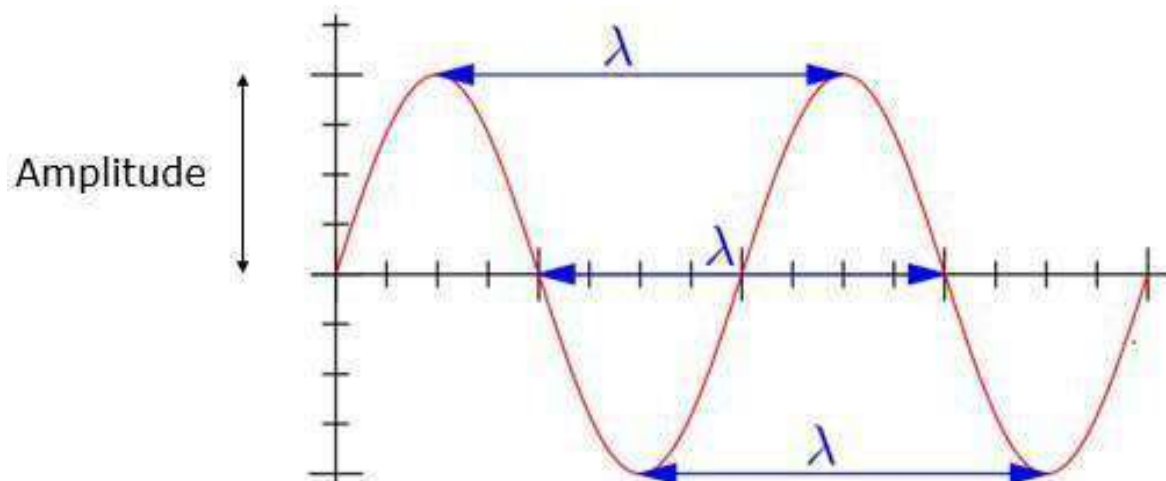
The figure given above represents a sine wave, which is plotted here for Voltage in millivolts against time in milliseconds. This wave repeats after every  $2t$  milliseconds. So, time period,  $T=2t$  milliseconds and frequency,  $f = \frac{1}{2t} \text{ KHz}$ .

## Wavelength

According to the standard definition, "The distance between two consecutive maximum points (crests) or between two consecutive minimum points (troughs) is known as the **wavelength**."

Simply, the distance between two immediate positive peaks or two immediate negative peaks is nothing but the length of that wave. It can be termed as the **Wavelength**.

The following figure shows a periodic waveform. The **wavelength ( $\lambda$ )** and amplitude are denoted in the figure. The higher the frequency, the lesser will be the wavelength and vice versa.



## Mathematical Expression

The formula for wavelength is,

$$\lambda = \frac{c}{f}$$

Where-

- **$\lambda$**  is the wavelength
- **$c$**  is the speed of light ( $3 \times 10^8$  meters/second)
- **$f$**  is the frequency

## Units

The wavelength  **$\lambda$**  is expressed in the units of length such as meters, feet or inches. The commonly used term is **meters**.

## Impedance Matching

---

According to the standard definition, "The approximate value of impedance of a transmitter, when equals the approximate value of the impedance of a receiver, or vice versa, it is termed as **Impedance matching**."

Impedance matching is necessary between the antenna and the circuitry. The impedance of the antenna, the transmission line, and the circuitry should match so that **maximum power transfer** takes place between the antenna and the receiver or the transmitter.

## Necessity of Matching

A resonant device is one, which gives better output at certain narrow band of frequencies. Antennas are such **resonant devices** whose impedance if matched, delivers a better output.

- The power radiated by an antenna, will be effectively radiated, if the **antenna impedance** matches the free space impedance.
- For a **receiver antenna**, antenna's output impedance should match with the input impedance of the receiver amplifier circuit.
- For a **transmitter antenna**, antenna's input impedance should match with transmitter amplifier's output impedance, along with the transmission line impedance.

## Units

The unit of impedance ( **$Z$** ) is **Ohms**.

## VSWR & Reflected Power

---

According to the standard definition, "The ratio of the maximum voltage to the minimum voltage in a standing wave is known as **Voltage Standing Wave Ratio**."

If the impedance of the antenna, the transmission line and the circuitry do not match with each other, then the power will not be radiated effectively. Instead, some of the power is reflected back.

The key features are-

- The term, which indicates the impedance mismatch is **VSWR**.
- **VSWR** stands for Voltage Standing Wave Ratio. It is also called as **SWR**.
- The higher the impedance mismatch, the higher will be the value of **VSWR**.
- The ideal value of VSWR should be 1:1 for effective radiation.
- Reflected power is the power wasted out of the forward power. Both reflected power and VSWR indicate the same thing.

## Bandwidth

---

According to the standard definition, "A band of frequencies in a wavelength, specified for the particular communication, is known as **bandwidth**."

The signal when transmitted or received, is done over a range of frequencies. This particular range of frequencies are allotted to a particular signal, so that other signals may not interfere in its transmission.

- **Bandwidth** is the band of frequencies between the higher and lower frequencies over which a signal is transmitted.
- The bandwidth once allotted, cannot be used by others.
- The whole spectrum is divided into bandwidths to allot to different transmitters.

The bandwidth, which we just discussed can also be called as **Absolute Bandwidth**.

## Percentage Bandwidth

---

According to the standard definition, "The ratio of absolute bandwidth to the center frequency of that bandwidth can be termed as **percentage bandwidth**."

The particular frequency within a frequency band, at which the signal strength is maximum, is called as **resonant frequency**. It is also called as **center frequency ( $f_c$ )** of the band.

- The higher and lower frequencies are denoted as  **$f_H$**  and  **$f_L$**  respectively.
- The absolute bandwidth is given by-  **$f_H - f_L$** .
- To know how wider the bandwidth is, either **fractional bandwidth** or **percentage bandwidth** has to be calculated.

## Mathematical Expression

The **Percentage bandwidth** is calculated to know how much frequency variation either a component or a system can handle.

$$\text{Percentage bandwidth} = \frac{\text{absolute bandwidth}}{\text{center frequency}} = \frac{(f_H - f_L)}{f_c}$$

Where

- **f<sub>H</sub>** is higher frequency
- **f<sub>L</sub>** is lower frequency
- **f<sub>c</sub>** is center frequency

The higher the percentage bandwidth, the wider will be the bandwidth of the channel.

## Radiation Intensity

---

“**Radiation intensity** is defined as the power per unit solid angle”

Radiation emitted from an antenna which is more intense in a particular direction, indicates the maximum intensity of that antenna. The emission of radiation to a maximum possible extent is nothing but the radiation intensity.

## Mathematical Expression

Radiation Intensity is obtained by multiplying the power radiated with the square of the radial distance.

$$U = r^2 \times W_{rad}$$

Where

- **U** is the radiation intensity
- **r** is the radial distance
- **W<sub>rad</sub>** is the power radiated.

The above equation denotes the radiation intensity of an antenna. The function of radial distance is also indicated as **Φ**.

## Units

The unit of radiation intensity is **Watts/steradian** or **Watts/radian<sup>2</sup>**.

# Antenna – Parameters

Radiation intensity of an antenna is closely related to the direction of the beam focused and the efficiency of the beam towards that direction. In this chapter, let us have a look at the terms that deal with these topics.

## Directivity

---

According to the standard definition, "The ratio of maximum radiation intensity of the subject antenna to the radiation intensity of an isotropic or reference antenna, radiating the same total power is called the **directivity**."

An Antenna radiates power, but the direction in which it radiates matters much. The antenna, whose performance is being observed, is termed as **subject antenna**.

Its **radiation intensity** is focused in a particular direction, while it is transmitting or receiving. Hence, the antenna is said to have its **directivity** in that particular direction.

- The ratio of radiation intensity in a given direction from an antenna to the radiation intensity averaged over all directions, is termed as directivity.
- If that particular direction is not specified, then the direction in which maximum intensity is observed, can be taken as the directivity of that antenna.
- The directivity of a non-isotropic antenna is equal to the ratio of the radiation intensity in a given direction to the radiation intensity of the isotropic source.

## Mathematical Expression

The radiated power is a function of the angular position and the radial distance from the circuit. Hence, it is expressed by considering both the terms  $\theta$  and  $\phi$ .

The mathematical expression for directivity is as follows-

$$\text{Directivity} = \frac{\text{Maximum radiation intensity of subject antenna}}{\text{Radiation intensity of an isotropic antenna}}$$
$$D = \frac{\Phi(\theta, \phi)_{\max} (\text{from subject antenna})}{\Phi_0 (\text{from an isotropic antenna})}$$

Where

- $\Phi(\theta, \phi)_{\max}$  is the maximum radiation intensity of subject antenna.
- $\Phi_0$  is the radiation intensity of an isotropic antenna (antenna with zero losses).

## Aperture Efficiency

---

According to the standard definition, "**Aperture efficiency** of an antenna, is the ratio of the effective radiating area (or effective area) to the physical area of the aperture."

An antenna has an aperture through which the power is radiated. This radiation should be effective with minimum losses. The physical area of the aperture should also be taken into consideration, as the effectiveness of the radiation depends upon the area of the aperture, physically on the antenna.

### Mathematical Expression

The mathematical expression for aperture efficiency is as follows-

$$\epsilon_A = \frac{A_{eff}}{A_p}$$

where

- $\epsilon_A$  is Aperture Efficiency.
- $A_{eff}$  is effective area.
- $A_p$  is physical area.

## Antenna Efficiency

---

According to the standard definition, "**Antenna Efficiency** is the ratio of the radiated power of the antenna to the input power accepted by the antenna."

Simply, an Antenna is meant to radiate power given at its input, with minimum losses. The efficiency of an antenna explains how much an antenna is able to deliver its output effectively with minimum losses in the transmission line.

This is otherwise called as **Radiation Efficiency Factor** of the antenna.

### Mathematical Expression

The mathematical expression for antenna efficiency is given below-

$$\eta_e = \frac{P_{rad}}{P_{input}}$$

Where

- $\eta_e$  is the antenna efficiency.
- $P_{rad}$  is the power radiated.
- $P_{input}$  is the input power for the antenna.

## Gain

---

According to the standard definition, "**Gain** of an antenna is the ratio of the radiation intensity in a given direction to the radiation intensity that would be obtained if the power accepted by the antenna were radiated isotropically."

Simply, gain of an antenna takes the directivity of antenna into account along with its effective performance. If the power accepted by the antenna was radiated isotropically (that means in all directions), then the radiation intensity we get can be taken as a referential.

- The term **antenna gain** describes how much power is transmitted in the direction of peak radiation to that of an isotropic source.
- **Gain** is usually measured in **dB**.
- Unlike directivity, antenna gain takes the losses that occur also into account and hence focuses on the efficiency.

### Mathematical Expression

The equation of gain,  $G$  is as shown below

$$G = \eta_e D$$

Where

- **G** is gain of the antenna.
- $\eta_e$  is the antenna's efficiency.
- **D** is the directivity of the antenna.

### Units

The unit of gain is **decibels** or simply **dB**.

# Antenna – Near and Far Fields

After the antenna parameters discussed in the previous chapter, another important topic of consideration is the near field and the far field regions of the antenna.

The radiation intensity when measured nearer to the antenna, differs from what is away from the antenna. Though the area is away from the antenna, it is considered effective, as the radiation intensity is still high there.

## Near Field

The field, which is nearer to the antenna, is called as **near-field**. It has an inductive effect and hence it is also known as **inductive field**, though it has some radiation components.

## Far field

The field, which is far from the antenna, is called as **far-field**. It is also called as **radiation field**, as the radiation effect is high in this area. Many of the antenna parameters along with the antenna directivity and the radiation pattern of the antenna are considered in this region only.

## Field Pattern

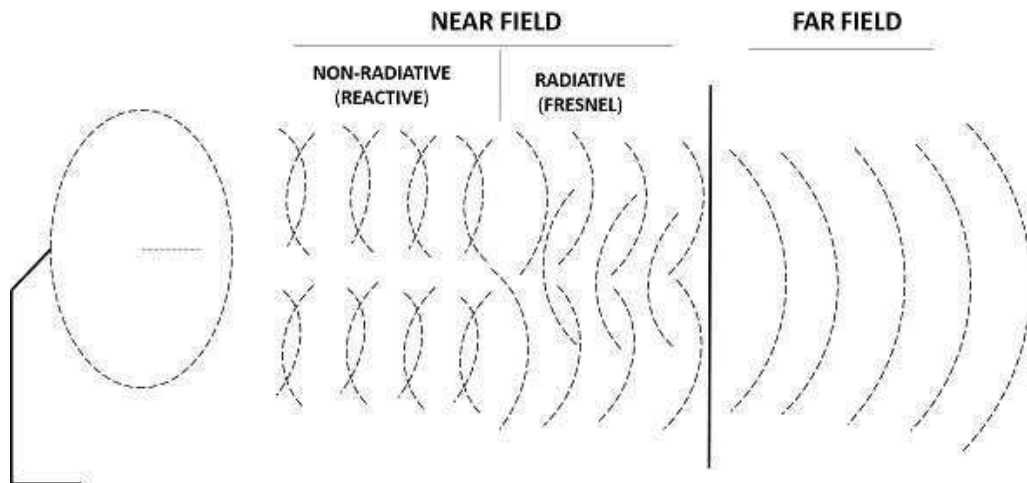
---

The field distribution can be quantifying in terms of field intensity is referred to as field pattern. That means, the radiated power from the antenna when plotted, is expressed in terms of electric field,  $E$  (v/m). Hence, it is known as **field pattern**. If it is quantified in terms of power (W), then it is known as **power pattern**.

The graphical distribution of radiated field or power will be as a function of

- spatial angles ( $\theta$ ,  $\phi$ ) for far-field.
- spatial angles ( $\theta$ ,  $\phi$ ) and radial distance( $r$ ) for near-field.

The distribution of near and far field regions can be well understood with the help of a diagram.



The field pattern can be classified as-

- Reactive near-field region and Radiating near-field region – both termed as near-field.
- Radiating far-field region – simply called as far-field.

The field, which is very near to the antenna is **reactive near field** or **non-radiative field** where the radiation is not pre-dominant. The region next to it can be termed as **radiating near field** or **Fresnel's field** as the radiation predominates and the angular field distribution, depends on the physical distance from the antenna.

The region next to it is **radiating far-field** region. In this region, field distribution is independent of the distance from antenna. The effective radiation pattern is observed in this region.

# Antenna – Radiation Pattern

Radiation is the term used to represent the emission or reception of wave front at the antenna, specifying its strength. In any illustration, the sketch drawn to represent the radiation of an antenna is its **radiation pattern**. One can simply understand the function and directivity of an antenna by having a look at its radiation pattern.

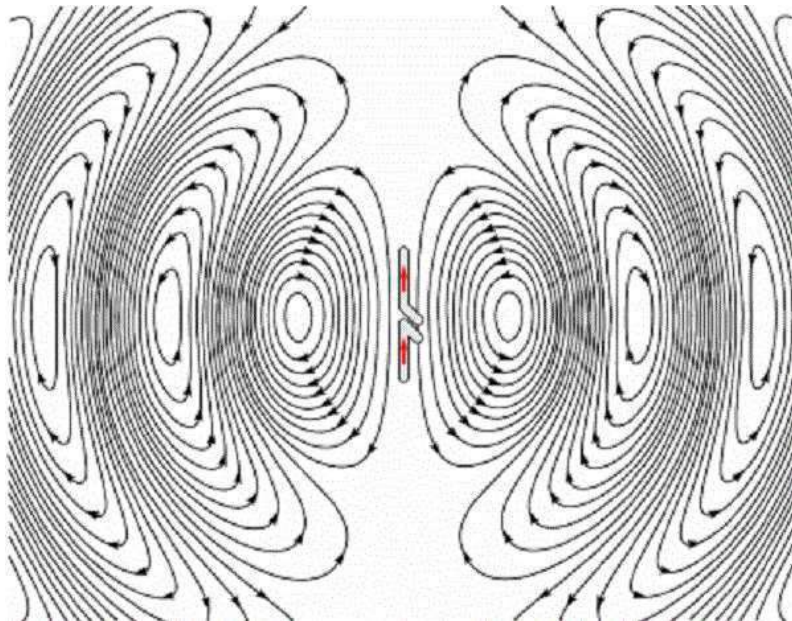
The power when radiated from the antenna has its effect in the near and far field regions.

- Graphically, radiation can be plotted as a function of **angular position** and **radial distance** from the antenna.
- This is a mathematical function of radiation properties of the antenna represented as a function of spherical co-ordinates,  $E(\theta, \phi)$  and  $H(\theta, \phi)$ .

## Radiation Pattern

The energy radiated by an antenna is represented by the **Radiation pattern** of the antenna. Radiation Patterns are diagrammatical representations of the distribution of radiated energy into space, as a function of direction.

Let us look at the pattern of energy radiation.



The figure given above shows radiation pattern of a dipole antenna. The energy being radiated is represented by the patterns drawn in a particular direction. The arrows represent directions of radiation.

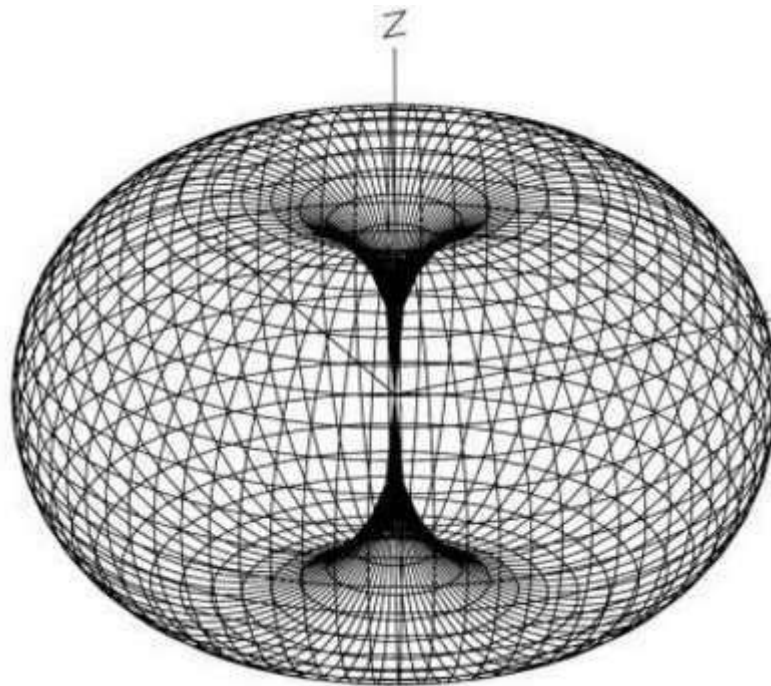
The radiation patterns can be field patterns or power patterns.

- The **field patterns** are plotted as a function of electric and magnetic fields. They are plotted on logarithmic scale.

- The **power patterns** are plotted as a function of square of the magnitude of electric and magnetic fields. They are plotted on logarithmic or commonly on dB scale.

### Radiation Pattern in 3D

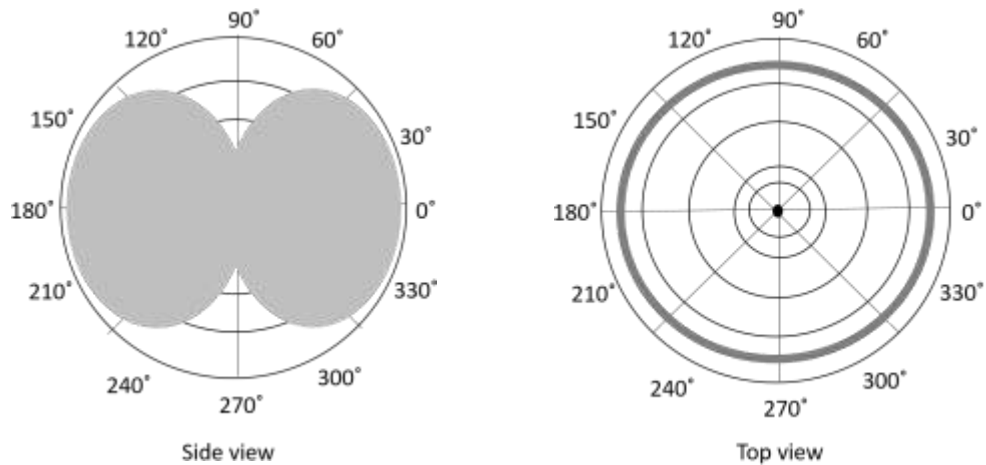
The radiation pattern is a three-dimensional figure and represented in spherical coordinates  $(r, \theta, \Phi)$  assuming its origin at the center of spherical coordinate system. It looks like the following figure-



The given figure is a three dimensional radiation pattern for an **Omni directional pattern**. This clearly indicates the three co-ordinates  $(x, y, z)$ .

### Radiation Pattern in 2D

Two-dimensional pattern can be obtained from three-dimensional pattern by dividing it into horizontal and vertical planes. These resultant patterns are known as **Horizontal pattern** and **Vertical pattern** respectively.

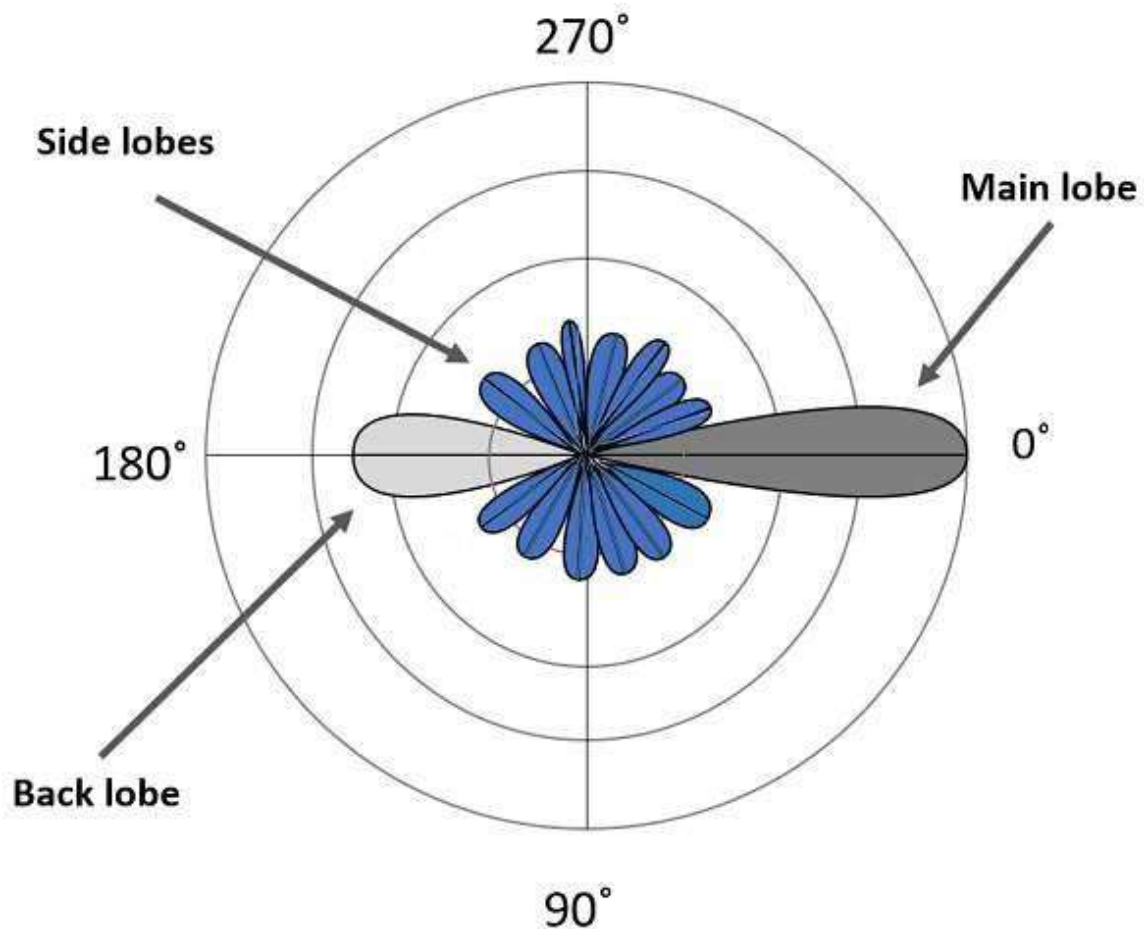


The figures show the Omni directional radiation pattern in H and V planes as explained above. H-plane represents the Horizontal pattern, whereas V-plane represents the Vertical pattern.

## Lobe Formation

In the representation of radiation pattern, we often come across different shapes, which indicate the major and minor radiation areas, by which the **radiation efficiency** of the antenna is known.

To have a better understanding, consider the following figure, which represents the radiation pattern of a dipole antenna.



Here, the radiation pattern has main lobe, side lobes and back lobe.

- The major part of the radiated field, which covers a larger area, is the **main lobe** or **major lobe**. This is the portion where maximum radiated energy exists. The direction of this lobe indicates the directivity of the antenna.
- The other parts of the pattern where the radiation is distributed side wards are known as **side lobes** or **minor lobes**. These are the areas where the power is wasted.
- There is other lobe, which is exactly opposite to the direction of main lobe. It is known as **back lobe**, which is also a minor lobe. A considerable amount of energy is wasted even here.

### Example

If the antennas used in radar systems produce side lobes, target tracing becomes very difficult. This is because, false targets are indicated by these side lobes. It is messy to trace out the real ones and to identify the fake ones. Hence, **elimination of these side lobes** is must, in order to improve the performance and save the energy.

## Remedy

The radiated energy, which is being wasted in such forms needs to be utilized. If these minor lobes are eliminated and this energy is diverted into one direction (that is towards the major lobe), then the **directivity** of the antenna gets increased which leads to antenna's better performance.

## Types of Radiation patterns

The common types of Radiation patterns are-

- Omni-directional pattern (also called non-directional pattern): The pattern usually has a doughnut shape in three-dimensional view. However, in two-dimensional view, it forms a figure-of-eight pattern.
- Pencil-beam pattern: The beam has a sharp directional pencil shaped pattern.
- Fan-beam pattern: The beam has a fan-shaped pattern.
- Shaped beam pattern: The beam, which is non-uniform and patternless is known as shaped beam.

A referential point for all these types of radiation is the isotropic radiation. It is important to consider the isotropic radiation even though it is impractical.

# Antenna – Isotropic Radiation

In the previous chapter, we have gone through the radiation pattern. To have a better analysis regarding the radiation of an antenna, a referential point is necessary. The radiation of an isotropic antenna, fills this space.

## Definition

**Isotropic radiation** is the radiation from a point source, radiating uniformly in all directions, with same intensity regardless of the direction of measurement.

The improvement of radiation pattern of an antenna is always assessed using the isotropic radiation of that antenna. If the radiation is equal in all directions, then it is known as **isotropic radiation**.

- The point source is an example of isotropic radiator. However, this isotropic radiation is practically impossible, because every antenna radiates its energy with some directivity.
- The isotropic radiation is nothing but **Omni-directional radiation**.
- It has a doughnut-shaped pattern when viewed in 3D and a figure-of-eight pattern when viewed in 2D.

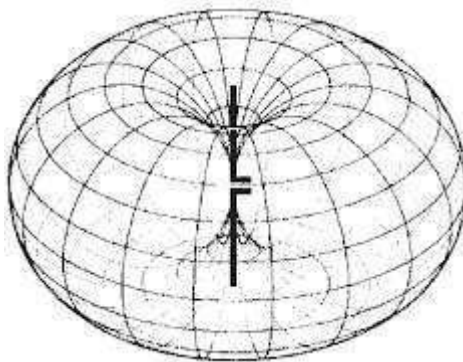


Figure 1

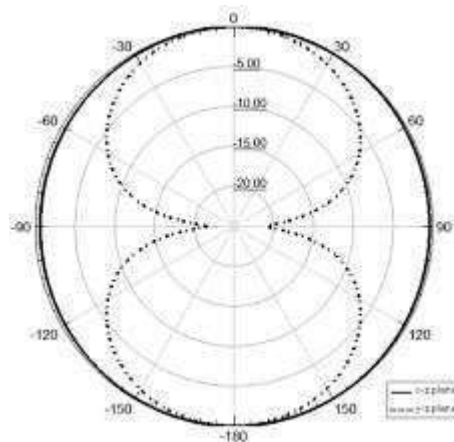


Figure 2

The figures given above show the radiation pattern of an isotropic or Omni-directional pattern. Figure 1 illustrates the doughnut shaped pattern in 3D and Figure 2 illustrates the figure-of-eight pattern in 2D.

## Gain

The isotropic radiator has unity gain, which means having a gain factor of 1 in all directions. In terms of dB, it can be called as 0dB gain (zero loss).

## **Equivalent Isotropic Radiated Power**

According to the standard definition, "The amount of power that an isotropical antenna radiates to produce the peak power density observed in the direction of maximum antenna gain, is called as **Equivalent Isotropic Radiated Power**."

If the radiated energy of an antenna is made to concentrate on one side or a particular direction, where the radiation is equivalent to that antenna's isotropic radiated power, such a radiation would be termed as EIRP i.e. Equivalent Isotropic Radiated Power.

### **Gain**

Though isotropic radiation is an imaginary one, it is the best an antenna can give. The gain of such antenna will be 3dBi where 3dB is a factor of 2 and 'i' represents factor of isotropic condition.

If the radiation is focused in certain angle, then EIRP increases along with the antenna gain. Gain of the antenna is best achieved by focusing the antenna in certain direction.

## **Effective Radiated Power**

If the radiated power is calculated by taking half-wave dipole as the reference, rather than an isotropic antenna, then it can be termed as **ERP (Effective Radiated Power)**.

$$ERP(dBW) = EIRP(dBW) - 2.15 dBi$$

If EIRP is known, then ERP can be calculated from formula given above.

# Antenna – Beam & Polarization

This chapter deals with the parameters of radiated beam of the antenna. These parameters help us to know about the beam specifications.

## Beam Area

---

According to the standard definition, "Beam area is the solid angle through which all the power radiated by the antenna would stream if  $P(\theta, \phi)$  maintained its maximum value over  $\Omega_A$  and was zero elsewhere."

The radiated beam of the antenna comes out from an angle at the antenna, known as solid angle, where the power radiation intensity is maximum. This **solid beam angle** is termed as the **beam area**. It is represented by  $\Omega_A$ .

The radiation intensity  $P(\theta, \phi)$  should be maintained constant and maximum throughout the solid beam angle  $\Omega_A$ , its value being zero elsewhere.

$$\text{Power radiated} = P(\theta, \phi)\Omega_A \text{ watts}$$

Beam angle is a set of angles between the half power points of the main lobe.

## Mathematical Expression

The mathematical expression for beam area is

$$\Omega_A = \int_0^{2\pi} \int_0^{\pi} P_{\pi}(\theta, \phi) d\Omega \text{ watts}$$

$$d\Omega = \sin\theta d\theta d\phi \text{ watts}$$

where

- $\Omega_A$  is the solid beam angle.
- $\theta$  is the function of angular position.
- $\phi$  is the function of radial distance.

## Units

The unit of beam area is **watts**.

## Beam Efficiency

---

According to the standard definition, "The **beam efficiency** states the ratio of the beam area of the main beam to the total beam area radiated."

The energy when radiated from an antenna, is projected according to the antenna's directivity. The direction in which an antenna radiates more power has maximum efficiency, while some of the energy is lost in side lobes. The maximum energy radiated by the beam, with minimum losses can be termed as **beam efficiency**.

## Mathematical Expression

The mathematical expression for beam efficiency is-

$$\eta_B = \frac{\Omega_{MB}}{\Omega_A}$$

Where,

- $\eta_B$  is the beam efficiency.
- $\Omega_{MB}$  is beam area of the main beam.
- $\Omega_A$  is total solid beam angle (beam area).

## Antenna Polarization

---

An Antenna can be polarized depending upon our requirement. It can be linearly polarized or circularly polarized. The type of antenna polarization decides the pattern of the beam and polarization at the reception or transmission.

### Linear polarization

When a wave is transmitted or received, it may be done in different directions. The **linear polarization** of the antenna helps in maintaining the wave in a particular direction, avoiding all the other directions. Though this linear polarization is used, the electric field vector stays in the same plane. Hence, we use this linear polarization to improve the **directivity** of the antenna.

### Circular polarization

When a wave is circularly polarized, the electric field vector appears to be rotated with all its components losing orientation. The mode of rotation may also be different at times. However, by using **circular polarization**, the effect of multi-path gets reduced and hence it is used in satellite communications such as **GPS**.

### Horizontal polarization

Horizontal polarization makes the wave weak, as the reflections from the earth surface affect it. They are usually weak at low frequencies below 1GHz. **Horizontal polarization** is used in the transmission of **TV signals** to achieve a better signal to noise ratio.

### Vertical polarization

The low frequency vertically polarized waves are advantageous for ground wave transmission. These are not affected by the surface reflections like the horizontally polarized ones. Hence, the **vertical polarization** is used for **mobile communications**.

Each type of polarization has its own advantages and disadvantages. A RF system designer is free to select the type of polarization, according to the system requirements.

# Antenna – Beam Width

In this chapter, we shall discuss about another important factor in the radiation pattern of an antenna, known as **beam width**. In the radiation pattern of an antenna, the main lobe is the main beam of the antenna where maximum and constant energy radiated by the antenna flows.

**Beam width** is the aperture angle from where most of the power is radiated. The two main considerations of this beam width are Half Power Beam Width (**HPBW**) and First Null Beam Width (**FNBW**)

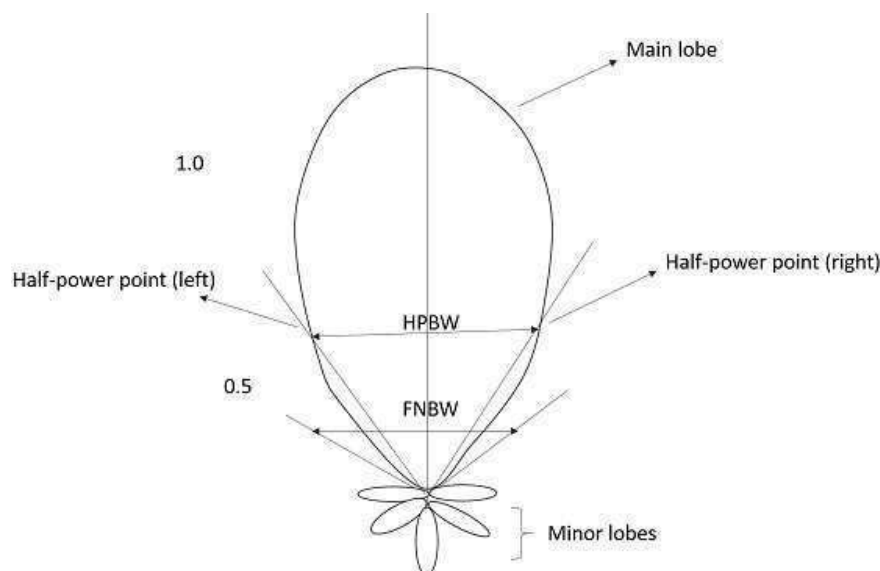
## Half-Power Beam Width

According to the standard definition, "The angular separation, in which the magnitude of the radiation pattern decreases by 50% (or -3dB) from the peak of the main beam, is called the **Half Power Beam Width**."

In other words, Beam width is the area where most of the power is radiated, which is the peak power. **Half power beam width** is the angle in which relative power is more than 50% of the peak power, in the effective radiated field of the antenna.

### Indication of HPBW

When a line is drawn between radiation pattern's origin and the half power points on the major lobe, on both the sides, the angle between those two vectors is termed as **HPBW**, half power beam width. This can be well understood with the help of the following diagram.



The figure shows half-power points on the major lobe and HPBW.

## Mathematical Expression

The mathematical expression for half power beam width is-

$$\text{Half power Beam width} = 70\lambda/D$$

Where

- $\lambda$  is wavelength ( $\lambda = 0.3/\text{frequency}$ ).
- $D$  is Diameter.

## Units

The unit of HPBW is **decibels** or simply **dB**.

## First Null Beam Width

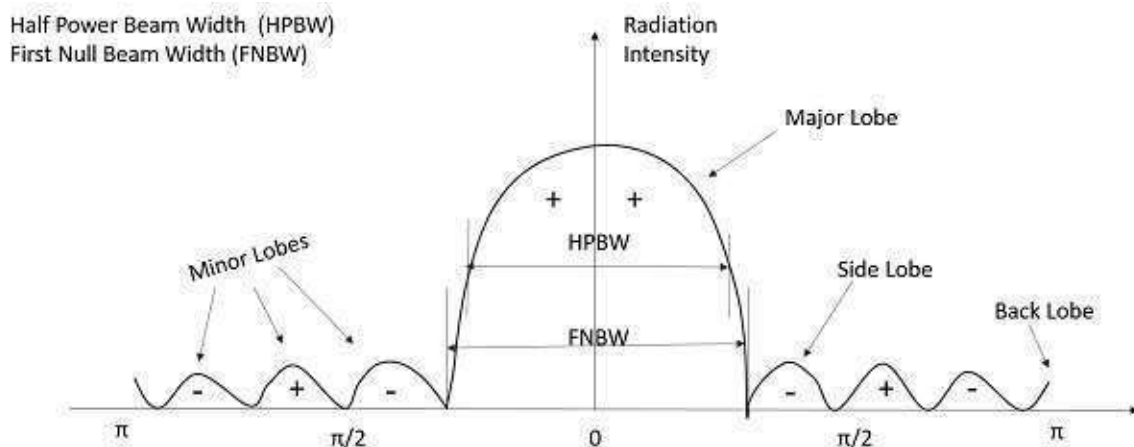
According to the standard definition, "The angular span between the first pattern nulls adjacent to the main lobe, is called as the **First Null Beam Width**."

Simply, FNBW is the angular separation, quoted away from the main beam, which is drawn between the null points of radiation pattern, on its major lobe.

## Indication of FNBW

Draw tangents on both sides starting from the origin of the radiation pattern, tangential to the main beam. The angle between those two tangents is known as First Null Beam Width (**FNBW**).

This can be better understood with the help of the following diagram.



Antenna Parameters illustrated over a typical directional antenna radiation Pattern

The above image shows the half power beam width and first null beam width, marked in a radiation pattern along with minor and major lobes.

## Mathematical Expression

The mathematical expression of First Null Beam Width is

$$FNBW = 2 \text{ HPBW}$$

$$FNBW = 2 (70\lambda/D) = 140 \lambda/D$$

Where

- $\lambda$  is wavelength ( $\lambda = 0.3/\text{frequency}$ ).
- $D$  is Diameter.

## Units

The unit of FNBW is **decibels** or simply **dB**.

## Effective Length & Effective Area

---

Among the antenna parameters, the effective length and effective area are also important. These parameters help us to know about the antenna's performance.

### Effective length

Antenna Effective length is used to determine the polarization efficiency of the antenna.

**Definition:** "The **Effective length** is the ratio of the magnitude of voltage at the open terminals of the receiving antenna to the magnitude of the field strength of the incident wave front, in the same direction of antenna polarization."

When an incident wave arrives at the antenna's input terminals, this wave has some field strength, whose magnitude depends upon the antenna's polarization. This polarization should match with the magnitude of the voltage at receiver terminals.

## Mathematical Expression

The mathematical expression for effective length is-

$$l_e = \frac{V_{oc}}{E_i}$$

Where

- $l_e$  is the effective length.
- $V_{oc}$  is open-circuit voltage.
- $E_i$  is the field strength of the incident wave.

### Effective area

**Definition:** "**Effective area** is the area of the receiving antenna, which absorbs most of the power from the incoming wave front, to the total area of the antenna, which is exposed to the wave front."

The whole area of an antenna while receiving, confronts the incoming electromagnetic waves, whereas only some portion of the antenna, receives the signal, known as the **effective area**.

Only some portion of the received wave front is utilized because some portion of the wave gets scattered while some gets dissipated as heat. Hence, without considering the losses, the area, which utilizes the maximum power obtained to the actual area, can be termed as **effective area**.

Effective area is represented by  **$A_{\text{eff}}$** .

# Antenna – Reciprocity

An antenna can be used as both transmitting antenna and receiving antenna. While using so, we may come across a question whether the properties of the antenna might change as its operating mode is changed. Fortunately, we need not worry about that. The properties of antenna being unchangeable is called as the property of **reciprocity**.

## Properties under Reciprocity

The properties of transmitting and receiving antenna that exhibit the reciprocity are-

- Equality of Directional patterns.
- Equality of Directivities.
- Equality of Effective lengths.
- Equality of Antenna impedances.

Let us see how these are implemented.

### **Equality of Directional patterns**

The **radiation pattern** of transmitting antenna1, which transmits to the receiving antenna2 is equal to the radiation pattern of antenna2, if it transmits and antenna1 receives the signal.

### **Equality of Directivities**

**Directivity** is same for both transmitting and receiving antennas, if the value of directivity is same for both the cases i.e. the directivities are same whether calculated from transmitting antenna's power or receiving antenna's power.

### **Equality of Effective lengths**

The value of maximum effective aperture is same for both transmitting and receiving antennas. **Equality** in the **lengths** of both transmitting and receiving antennas is maintained according to the value of the wavelength.

### **Equality in Antenna Impedances**

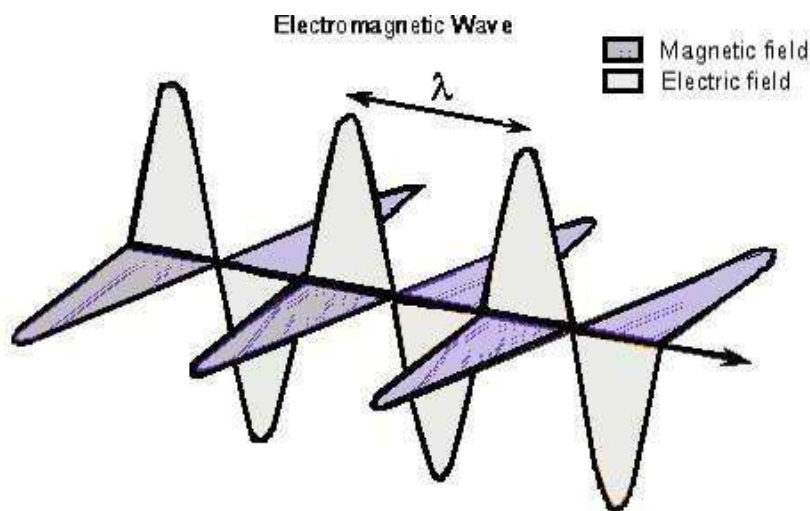
The output impedance of a transmitting antenna and the input impedance of a receiving antenna are equal in an effective communication.

These properties will not change though the same antenna is operated as a transmitter or as a receiver. Hence, the **property of reciprocity** is followed.

# Antenna – Poynting Vector

Antennas radiate Electromagnetic energy to transmit or to receive information. Therefore, the terms **Energy** and **Power** are associated with these electromagnetic waves and we have to discuss them. An electromagnetic wave has both electric and magnetic fields.

Consider the wave at any instant, which can be viewed in both the vectors. The following figure shows the representation of electric and magnetic field components in an Electromagnetic wave.



The electric wave is present vertical to the propagation of EM wave, while the magnetic wave is horizontally located. Both the fields are at right angles to each other.

## Poynting Vector

Poynting vector describes the energy of the EM Wave per unit time per unit area at any given instant of time. **John Henry Poynting** first derived this vector in 1884 and hence it was named after him.

**Definition:** "Poynting vector gives the rate of energy transfer per unit area"

or

"The energy that a wave carries per unit time per unit area is given by the Poynting vector."

Poynting vector is represented by  $\hat{S}$ .

## Units

The SI unit of Poynting vector is **W/m<sup>2</sup>**.

## Mathematical Expression

The quantity that is used to describe the power associated with the electromagnetic waves is the instantaneous **Poynting vector**, which is defined as

$$\hat{S} = \hat{E} \times \hat{H}$$

Where

- $\hat{S}$  is the instantaneous Poynting vector ( $\mathbf{W/m^2}$ ).
- $\hat{E}$  is the instantaneous electric field intensity ( $\mathbf{V/m}$ ).
- $\hat{H}$  is the instantaneous magnetic field intensity ( $\mathbf{A/m}$ ).

The important point to be noted here is that the magnitude of E is greater than H within an EM wave. However, both of them contribute the same amount of energy.  $\hat{S}$  is the vector, which has both direction and magnitude. The direction of  $\hat{S}$  is same as the velocity of the wave. Its magnitude depends upon the E and H.

## Derivation of Poynting Vector

To have a clear idea on Poynting vector, let us go through the derivation of this Poynting vector, in a step-by-step process.

Let us imagine that an EM Wave, passes an area (A) perpendicular to the X-axis along which the wave travels. While passing through A, in infinitesimal time (dt), the wave travels a distance (dx).

$$dx = C dt$$

where

$$C = \text{velocity of light} = 3 \times 10^8 \text{ m/s}$$

$$\text{volume, } dv = A dx = AC dt$$

$$d\mu = \mu dv = (\epsilon_0 E^2)(A C dt)$$

$$= \epsilon_0 A C E^2 dt$$

Therefore, Energy transferred in time (dt) per area (A) is:

$$S = \frac{\text{Energy}}{\text{Time} \times \text{Area}} = \frac{dW}{dt A} = \frac{\epsilon_0 A C E^2 dt}{dt A} = \epsilon_0 C E^2$$

Since

$$\frac{E}{H} = \sqrt{\frac{\mu_0}{\epsilon_0}} \quad \text{then} \quad S = \frac{C B^2}{\mu_0}$$

Since

$$C = \frac{E}{H} \quad \text{then} \quad S = \frac{EB}{\mu_0}$$

$$= \hat{S} = \frac{1}{\mu_0} (\hat{E} \hat{H})$$

$\hat{S}$  denotes the Poynting vector.

The above equation gives us the energy per unit time, per unit area at any given instant of time, which is called as **Poynting vector**.

# Types of Antennas

# Types of Antennas

Antennas have to be classified to understand their physical structure and functionality more clearly. There are many types of antennas depending upon the applications.

The following table gives you the list of various types of antennas along with the examples and applications.

| Type of antenna             | Examples                                                                            | Applications                                                                             |
|-----------------------------|-------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------|
| <b>Wire Antennas</b>        | Dipole antenna, Monopole antenna, Helix antenna, Loop antenna                       | Personal applications, buildings, ships, automobiles, space crafts                       |
| <b>Aperture Antennas</b>    | Waveguide (opening), Horn antenna                                                   | Flush-mounted applications, air-craft, space craft                                       |
| <b>Reflector Antennas</b>   | Parabolic reflectors, Corner reflectors                                             | Microwave communication, satellite tracking, radio astronomy                             |
| <b>Lens Antennas</b>        | Convex-plane, Concave-plane, Convex-convex, Concave-concave lenses                  | Used for very high-frequency applications                                                |
| <b>Micro strip Antennas</b> | Circular-shaped, Rectangular-shaped metallic patch above the ground plane           | Air-craft, space-craft, satellites, missiles, cars, mobile phones etc.                   |
| <b>Array Antennas</b>       | Yagi-Uda antenna, Micro strip patch array, Aperture array, Slotted wave guide array | Used for very high gain applications, mostly when needs to control the radiation pattern |

Let us discuss the above-mentioned types of antennas in detail, in the coming chapters.

# Wire Antennas

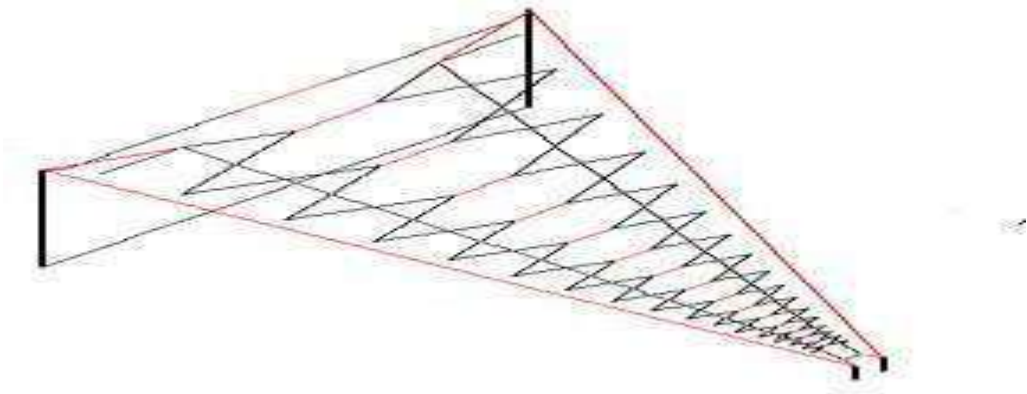
Wire antennas are the basic types of antennas. These are well known and widely used antennas. To have a better idea of these wire antennas, first let us have a look at the transmission lines.

## Transmission Lines

---

The wire or the **transmission line** has some power, which travels from one end to the other end. If both the ends of transmission line are connected to circuits, then the information will be transmitted or received using this wire between these two circuits.

If one end of this wire is not connected, then the power in it tries to escape. This leads to wireless communication. If one end of the wire is bent, then the energy tries to escape from the transmission line, more effectively than before. This purposeful escape is known as **Radiation**.



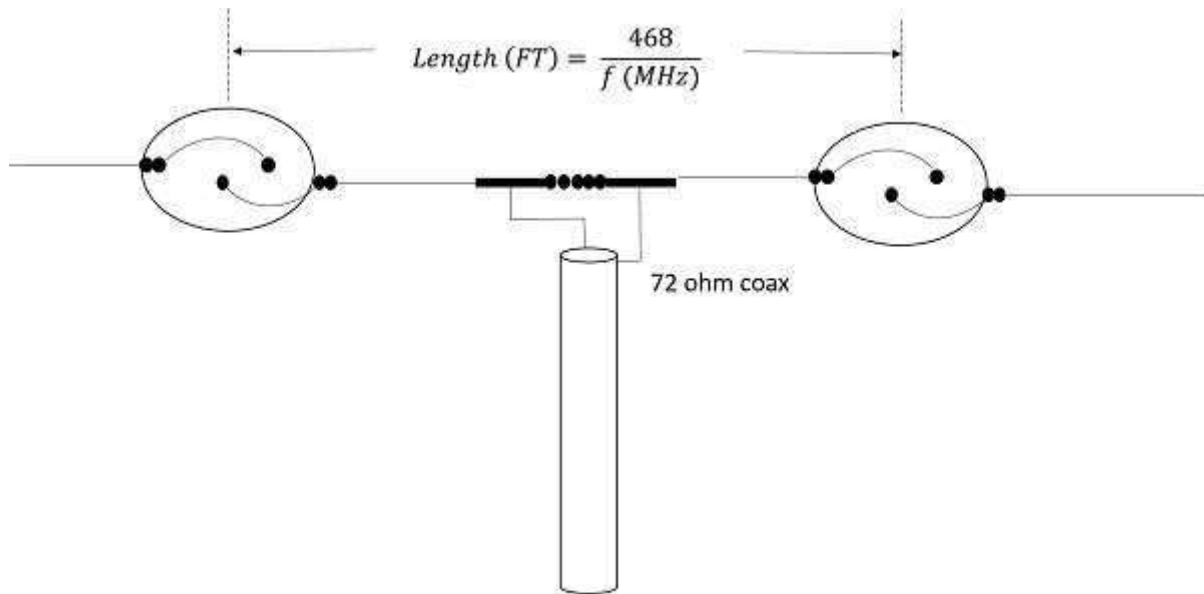
For the radiation to take place effectively, the impedance of the open end of the transmission line should match with the impedance of the free-space. Consider a transmission line of a quarter-wave length size. The far end of it is kept open and bent to provide high impedance. This acts as a **half-wave dipole antenna**. Already, it has low impedance at one end of the transmission line. The open end, which has high impedance, matches with the impedance of free space to provide better radiation.

## Dipole

The radiation of energy when done through such a bent wire, the end of such transmission line is termed as **dipole** or dipole antenna.

The reactance of the input impedance is a function of the radius and length of the dipole. The smaller the radius, the larger the amplitude of the reactance. It is proportional to the wavelength. Hence, the length and radius of the dipole should also be taken into consideration. Normally, its impedance is around  $72\Omega$ .

This is better understood with the help of the following figure.



A dipole is a half-wave antenna fed at the center.  
Here, the impedance at the center is near 72 ohms.

The figure shows the circuit diagram of a normal dipole connected to a transmission line. The current for a dipole is maximum at the center and minimum at its ends. The voltage is minimum at its center and maximum at its ends.

The types of wire antennas include Half-wave dipole, Half-wave folded dipole, Full-wave dipole, Short dipole, and Infinitesimal dipole. All of these antennas will be discussed in further chapters.

# Half-wave Dipole Antenna

The dipole antenna is cut and bent for effective radiation. The length of the total wire, which is being used as a dipole, equals half of the wavelength (i.e.,  $l = \lambda/2$ ). Such an antenna is called as **half-wave dipole antenna**. This is the most widely used antenna because of its advantages. It is also known as **Hertz antenna**.

## Frequency range

The range of frequency in which half-wave dipole operates is around 3KHz to 300GHz. This is mostly used in radio receivers.

## Construction & Working of Half-wave Dipole

It is a normal dipole antenna, where the frequency of its operation is **half of its wavelength**. Hence, it is called as half-wave dipole antenna.

The edge of the dipole has maximum voltage. This voltage is alternating (**AC**) in nature. At the positive peak of the voltage, the electrons tend to move in one direction and at the negative peak, the electrons move in the other direction. This can be explained by the figures given below.

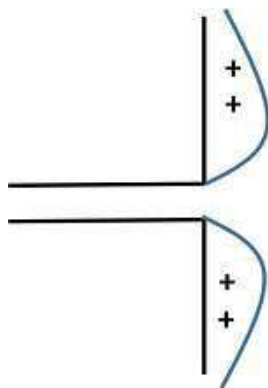


Fig 1

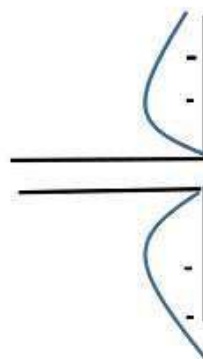


Fig 2

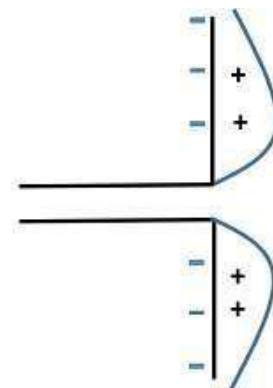
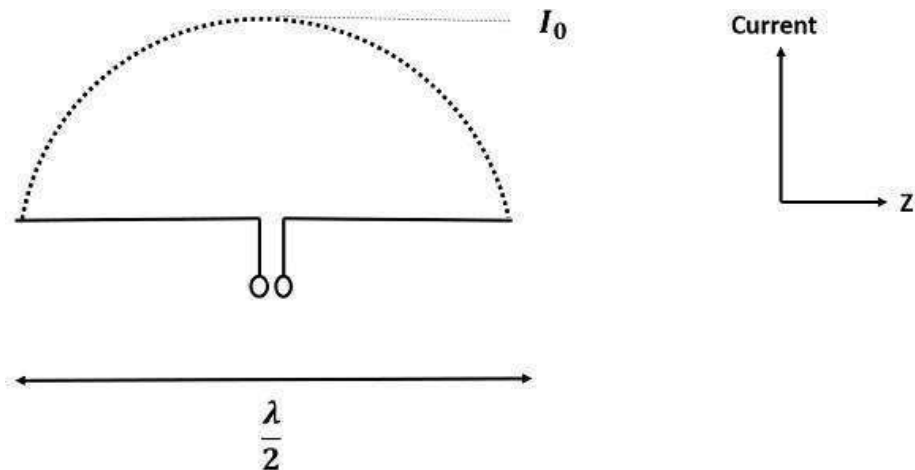


Fig 3

The figures given above show the working of a half-wave dipole.

- Fig 1 shows the dipole when the charges induced are in positive half cycle. Now the electrons tend to move towards the charge.
- Fig 2 shows the dipole with negative charges induced. The electrons here tend to move away from the dipole.
- Fig 3 shows the dipole with next positive half cycle. Hence, the electrons again move towards the charge.

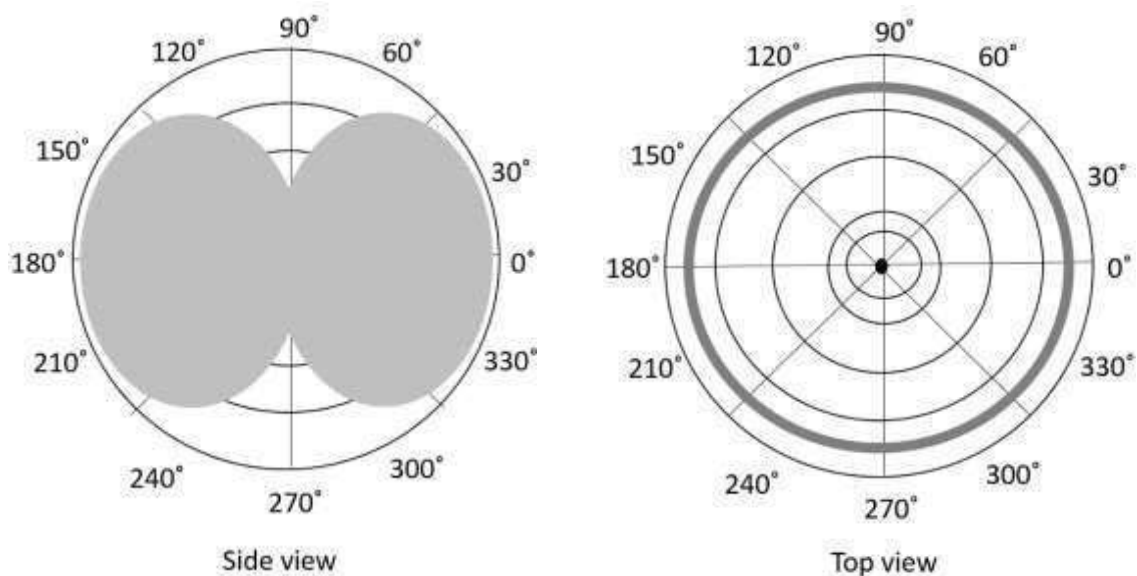
The cumulative effect of this produces a varying field effect which gets radiated in the same pattern produced on it. Hence, the output would be an effective radiation following the cycles of the output voltage pattern. Thus, a half-wave dipole **radiates effectively**.



The above figure shows the current distribution in half wave dipole. The directivity of half wave dipole is 2.15dBi, which is reasonably good. Where, 'i' represents the isotropic radiation.

## Radiation Pattern

The radiation pattern of this half-wave dipole is **Omni-directional** in the H-plane. It is desirable for many applications such as mobile communications, radio receivers etc.



The above figure indicates the radiation pattern of a half wave dipole in both H-plane and V-plane.

The radius of the dipole does not affect its input impedance in this half wave dipole, because the length of this dipole is half wave and it is the first resonant length. An antenna works effectively at its **resonant frequency**, which occurs at its resonant length.

## Advantages

The following are the advantages of half-wave dipole antenna-

- Input impedance is not sensitive.
- Matches well with transmission line impedance.
- Has reasonable length.
- Length of the antenna matches with size and directivity.

### **Disadvantages**

The following are the disadvantages of half-wave dipole antenna-

- Not much effective due to single element.
- It can work better only with a combination.

### **Applications**

The following are the applications of half-wave dipole antenna-

- Used in radio receivers.
- Used in television receivers.
- When employed with others, used for wide variety of applications.

# Half-wave Folded Dipole Antenna

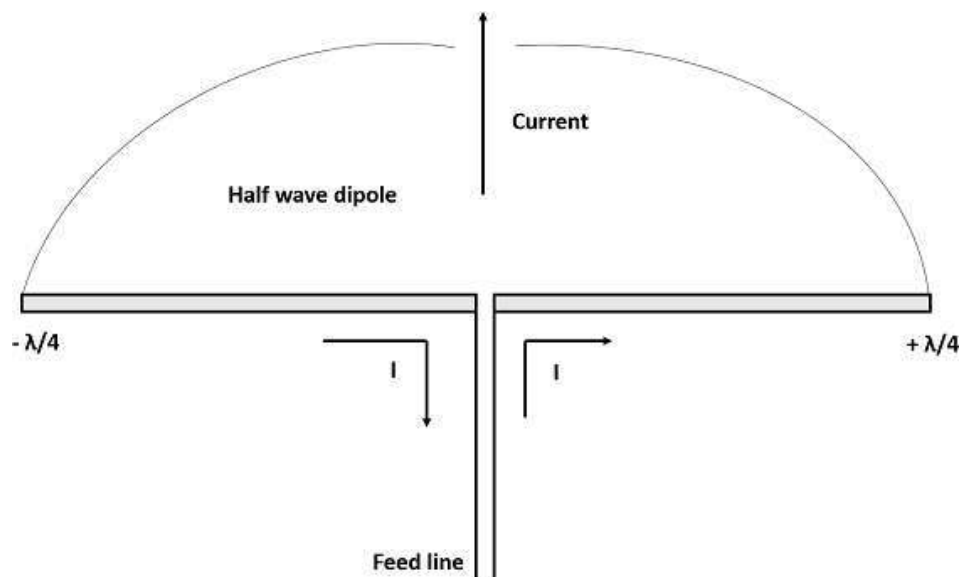
A folded dipole is an antenna, with two conductors connected on both sides, and folded to form a cylindrical closed shape, to which feed is given at the center. The length of the dipole is half of the wavelength. Hence, it is called as **half wave folded dipole antenna**.

## Frequency range

The range of frequency in which half wave folded dipole operates is around **3KHz to 300GHz**. This is mostly used in television receivers.

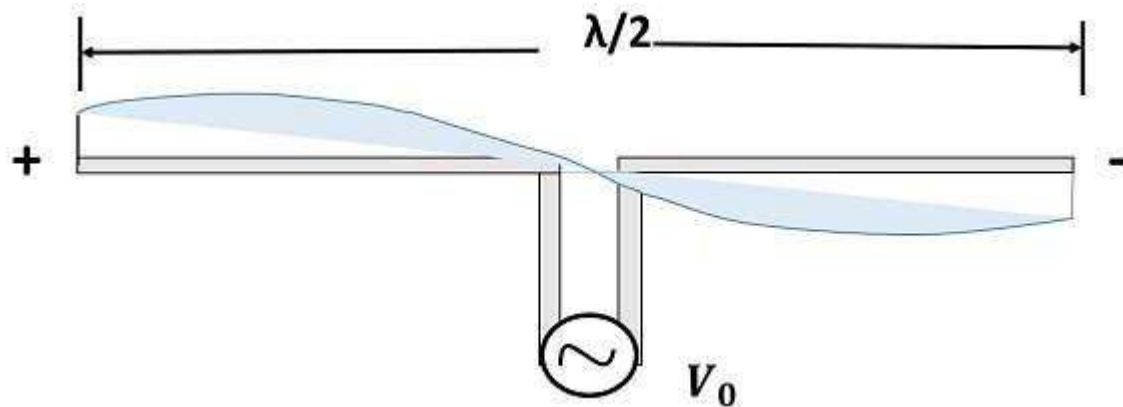
## Construction & Working of Half-wave Folded Dipole

This antenna is commonly used with the array type antennas to increase the feed resistance. The most commonly used one is with Yagi-Uda antenna. The following figure shows a half-wave folded dipole antenna.



This antenna uses an extra conducting element (a wire or a rod) when compared with previous dipole antenna. This is continued by placing few conducting elements in parallel, with insulation in-between, in array type of antennas.

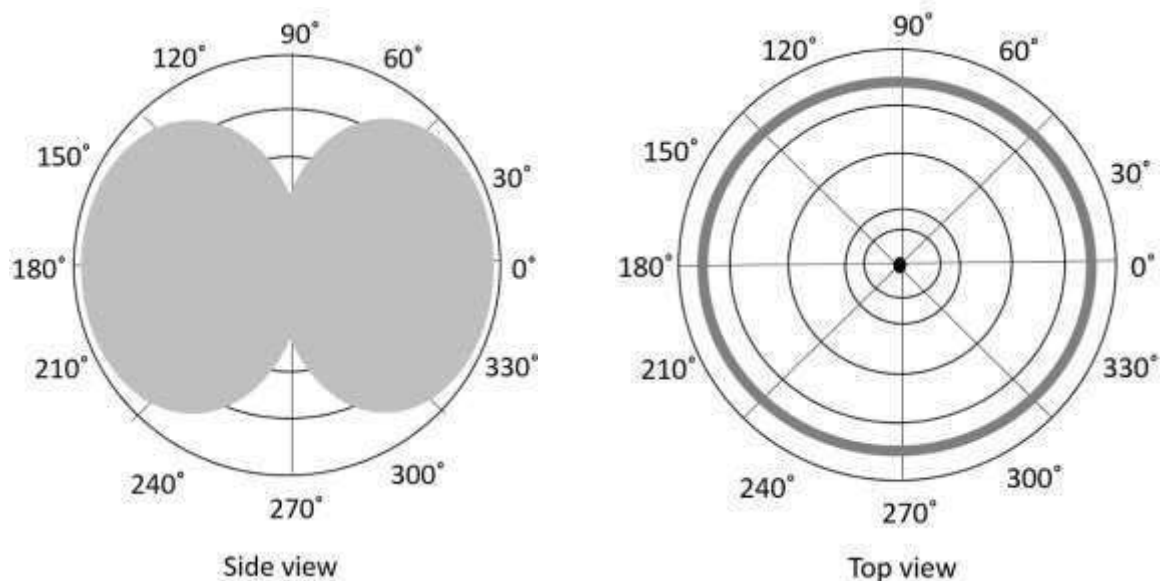
The following figure explains the working of a half-wave folded dipole antenna, when it is provided with excitation.



If the diameter of the main conductor and the folded dipole are same, then there will be four folded (two times of squared one) increase in the feed impedance of the antenna. This increase in feed impedance is the main reason for the popular usage of this folded dipole antenna. Due of the twin-lead, the impedance will be around  $300\Omega$ .

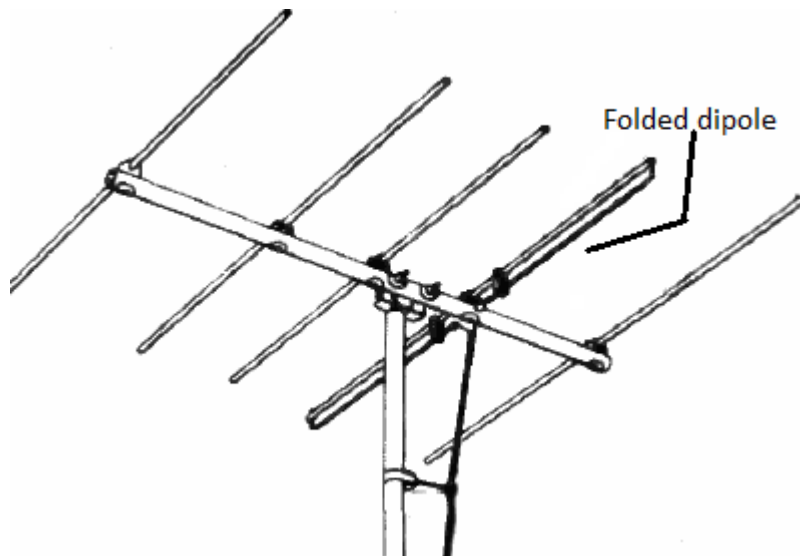
## Radiation Pattern

The radiation pattern of half-wave folded dipoles is the same as that of the half-wave dipole antennas. The following figure shows the radiation pattern of half-wave folded dipole antenna, which is **Omni-directional** pattern.



Half-wave folded dipole antennas are used where optimum power transfer is needed and where large impedances are needed.

This folded dipole is the main element in **Yagi-Uda antenna**. The following figure shows a **Yagi-Uda antenna**, which we will study later. The main element used here is this folded dipole, to which the antenna feed is given. This antenna has been used extensively for television reception over the last few decades.



## Advantages

The following are the advantages of half-wave folded dipole antenna-

- Reception of balanced signals.
- Receives a particular signal from a band of frequencies without losing the quality.
- A folded dipole maximizes the signal strength.

## Disadvantages

The following are the disadvantages of half-wave folded dipole antenna-

- Displacement and adjustment of antenna is a hassle.
- Outdoor management can be difficult when antenna size increases.

## Applications

The following are the applications of half-wave folded dipole antenna-

- Mainly used as a feeder element in Yagi antenna, Parabolic antenna, turnstile antenna, log periodic antenna, phased and reflector arrays, etc.
- Generally used in radio receivers.
- Most commonly used in TV receiver antennas.

# Full-wave Dipole Antenna

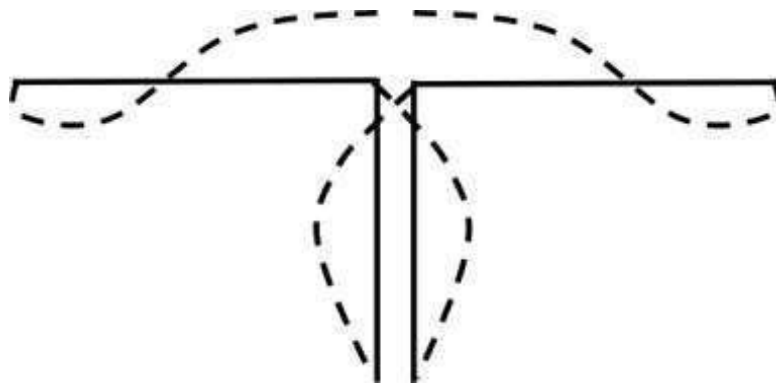
If the length of the dipole, i.e. the total wire, equals the full wavelength  $\lambda$ , then it is called as **full wave dipole**. If a full wavelength dipole is used either for transmission or for reception, let us see how the radiation will be.

## Construction & Working of Full-wave Dipole

---

The full-wave dipole with its voltage and current distribution is shown here. Both the positive and negative peaks of the wave induce positive and negative voltages respectively. However, as the induced voltages cancel out each other, there is no question of radiation.

The above figure shows the voltage distribution of full-wave dipole whose length is  $\lambda$ . It is seen that two half-wave dipoles are joined to make a full-wave dipole.



The voltage pattern when induces its positive charges and negative charges at the same time, cancel out each other as shown in the figure. The induced charges make no further attempt of radiation since they are cancelled. The output radiation will be **zero** for a full-wave transmission dipole.

## Radiation Pattern

---

As there is no radiation, there is no question of radiation pattern for this full-wave dipole.

## Disadvantages

The following are the disadvantages of full-wave dipole antenna.

- Heat dissipation
- Wastage of power
- No radiation pattern
- No directivity and no gain

Due to these drawbacks, the full-wave dipole is seldom used.

# Short Dipole Antenna

A **short dipole** is a simple wire antenna. One end of it is open-circuited and the other end is fed with AC source. This dipole got its name because of its length.

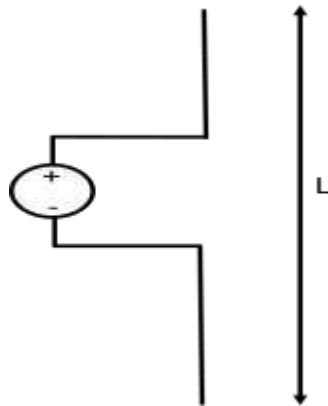
## Frequency range

The range of frequency in which short dipole operates is around 3KHz to 30MHz. This is mostly used in low frequency receivers.

## Construction&Working of Short Dipole

---

The **Short dipole** is the dipole antenna having the length of its wire shorter than the wavelength. A voltage source is connected at one end while a dipole shape is made, i.e., the lines are terminated at the other end.



The circuit diagram of a short dipole with length  $L$  is shown. The actual size of the antenna does not matter. The wire that leads to the antenna must be less than one-tenth of the wavelength. That is

$$L < \frac{\lambda}{10}$$

Where

- $L$  is the length of the wire of the short dipole.
- $\lambda$  is the wavelength.

Another type of short dipole is infinitesimal dipole, whose length is far less than its wavelength. Its construction is similar to it, but uses a capacitor plate.

## Infinitesimal Dipole

---

A dipole whose length is far less than wavelength is **infinitesimal dipole**. This antenna is actually impractical. Here, the length of the dipole is less than even fiftith part of the wavelength.

The length of the dipole,  $\Delta l \ll \lambda$ . Where,  $\lambda$  is the wavelength.

$$\Delta l = \frac{\lambda}{50}$$

Hence, this is the infinitely small dipole, as the name implies.

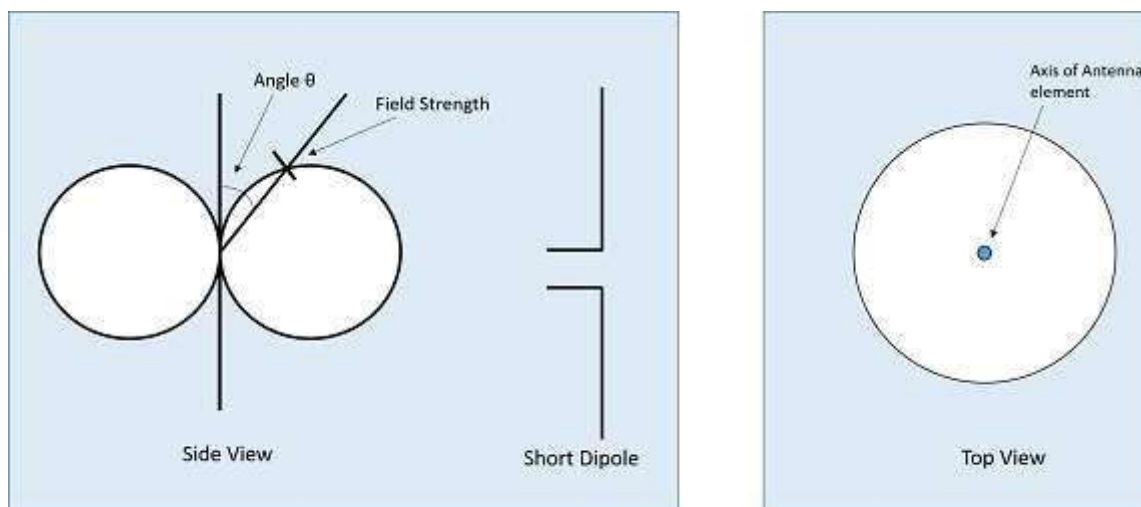
As the length of these dipoles is very small, the current flow in the wire will be  $dI$ . These wires are generally used with capacitor plates on both sides, where low mutual coupling is needed. Because of the capacitor plates, we can say that uniform distribution of current is present. Hence the current is not zero here.

The capacitor plates can be simply conductors or the wire equivalents. The fields radiated by the radial currents tend to cancel each other in the far field so that the far fields of the capacitor plate antenna can be approximated by the infinitesimal dipole.

## Radiation Pattern

The radiation pattern of a short dipole and infinitesimal dipole is similar to a half wave dipole. If the dipole is vertical, the pattern will be circular. The radiation pattern is in the shape of "**figure of eight**" pattern, when viewed in two-dimensional pattern.

The following figure shows the radiation pattern of a short dipole antenna, which is in **omni-directional pattern**.



Short dipole antenna radiation pattern

## Advantages

The following are the advantages of short dipole antenna-

- Ease of construction, due to small size
- Power dissipation efficiency is higher

## **Disadvantages**

The following are the disadvantages of short dipole antenna-

- High resistive losses
- High power dissipation
- Low Signal-to-noise ratio
- Radiation is low
- Not so efficient

## **Applications**

The following are the applications of short dipole antenna-

- Used in narrow band applications.
- Used as an antenna for tuner circuits.

In this chapter, the popular and most widely used short-wire antennas were discussed. We will discuss the Long-wire antennas in the coming chapters.

# Long-wire Antennas

We have gone through different types of short wire antennas. Now, let's look at the long wire antennas. The **long wire antennas** are formed by using a number of dipoles. The length of the wire in these type of antennas is **n** times  $\lambda/2$

$$L = n \lambda/2$$

Where,

**L** is the length of the antenna,

**n** is the number of elements,

$\lambda$  is the wavelength

As 'n' increases, the directional properties also increase.

## Types of Long-wire Antennas

Long wire antennas are divided into two types namely- **Resonant Antennas** and **Non-resonant Antennas**.

### Resonant Antennas

Resonant Antennas are those for which a sharp peak in the radiated power is intercepted by the antenna at certain frequency, to form a standing wave. The radiation pattern of the radiated wave is not matched with the load impedance in this type of antenna.

The resonant antennas are periodic in nature. They are also called as bi-directional travelling wave antennas, as the radiated wave moves in two directions, which means both incident and reflected waves occur here. In these antennas, the length of the antenna and frequency are proportional to each other.

### Non-resonant Antennas

Non-resonant Antennas are those for which resonant frequency does not occur. The wave moves in forward direction and hence do not form a standing wave. The radiation pattern of the radiated wave matches with the load impedance in the non-resonant antennas.

These non-resonant antennas are non-periodic in nature. They are also called as Uni-directional travelling wave antennas, as the radiated wave moves in forward direction only, which means that only incident wave is present. As the frequency increases, the length of the antenna decreases and vice versa. Hence, the frequency and length are inversely proportional to each other.

These long-wire antennas are the basic elements for the construction of V-shaped antennas or the Rhombic antennas.

# Rhombic Antenna

The **Rhombic Antenna** is an equilateral parallelogram shaped antenna. Generally, it has two opposite acute angles. The tilt angle,  $\theta$  is approximately equal to  $90^\circ$  minus the angle of major lobe. Rhombic antenna works under the principle of travelling wave radiator. It is arranged in the form of a rhombus or diamond shape and suspended horizontally above the surface of the earth.

## Frequency Range

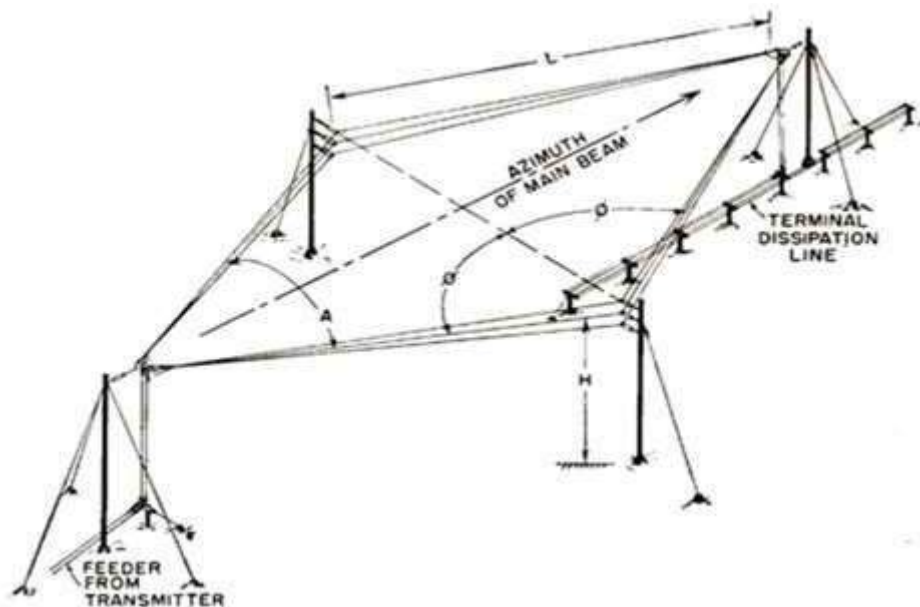
The frequency range of operation of a Rhombic antenna is around **3MHz to 300MHz**. This antenna works in **HF** and **VHF** ranges.

## Construction of Rhombic Antenna

Rhombic antenna can be regarded as two V-shaped antennas connected end-to-end to form obtuse angles. Due to its simplicity and ease of construction, it has many uses-

- In HF transmission and reception
- Commercial point-to-point communication

The construction of the rhombic antenna is in the form a rhombus, as shown in the figure.



The two sides of rhombus are considered as the conductors of a two-wire transmission line. When this system is properly designed, there is a concentration of radiation along the main axis of radiation. In practice, half of the power is dissipated in the terminating resistance of the antenna. The rest of the power is radiated. The wasted power contributes to the minor lobes.

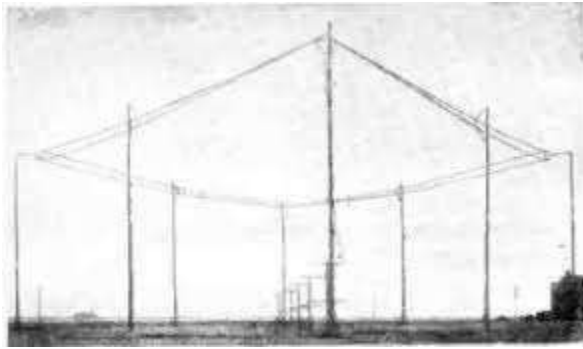
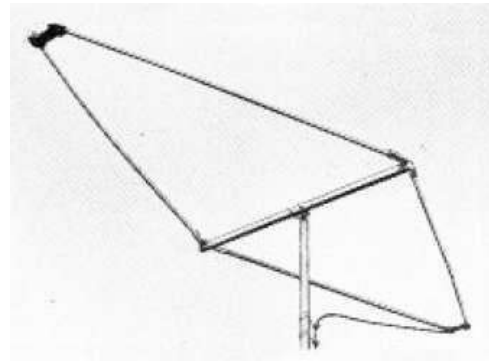
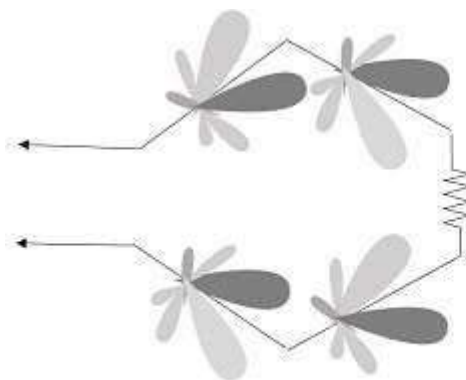
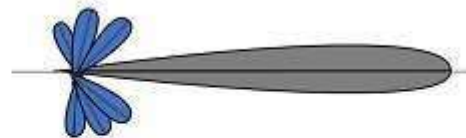
**Figure 1****Figure 2**

Figure 1 shows the construction of **rhombic antenna** for point-to-point communication in olden days. Figure 2 shows the **rhombic UHF antenna** for TV reception, used these days.

The maximum gain from a rhombic antenna is along the direction of the main axis, which passes through the feed point to terminate in free space. The polarization obtained from a horizontal rhombic antenna is in the plane of rhombus, which is horizontal.

## Radiation Pattern

The radiation pattern of the rhombic antenna is shown in the following figure. The resultant pattern is the cumulative effect of the radiation at all four legs of the antenna. This pattern is **uni-directional**, while it can be made bi-directional by removing the terminating resistance.

**INDIVIDUAL RADIATION PATTERNS****RESULTANT RADIATION PATTERNS**

The main disadvantage of rhombic antenna is that the portions of the radiation, which do not combine with the main lobe, result in considerable side lobes having both horizontal and vertical polarization.

## Advantages

The following are the advantages of Rhombic antenna-

- Input impedance and radiation pattern are relatively constant
- Multiple rhombic antennas can be connected
- Simple and effective transmission

## Disadvantages

The following are the disadvantages of Rhombic antenna-

- Wastage of power in terminating resistor
- Requirement of large space
- Reduced transmission efficiency

## Applications

The following are the applications of Rhombic antenna-

- Used in HF communications
- Used in Long distance sky wave propagations
- Used in point-to-point communications

Another method of using long wire is by bending and making the wire into a loop shaped pattern and observing its radiational parameters. This type of antennas are termed as **loop antennas**.

# Loop Antennas

An RF current carrying coil is given a single turn into a loop, can be used as an antenna called as **loop antenna**. The currents through this loop antenna will be in phase. The magnetic field will be perpendicular to the whole loop carrying the current.

## Frequency Range

The frequency range of operation of loop antenna is around **300MHz to 3GHz**. This antenna works in **UHF** range.

## Construction & Working of Loop Antennas

---

A loop antenna is a coil carrying radio frequency current. It may be in any shape such as circular, rectangular, triangular, square or hexagonal according to the designer's convenience.

Loop antennas are of two types.

- Large loop antennas
- Small loop antennas

### Large loop antennas

Large loop antennas are also called as **resonant antennas**. They have high radiation efficiency. These antennas have length nearly equal to the intended wavelength.

$$L = \lambda$$

Where,

- **L** is the length of the antenna
- **$\lambda$**  is the wavelength

The main parameter of this antenna is its perimeter length, which is about a wavelength and should be an enclosed loop. It is not a good idea to meander the loop so as to reduce the size, as that increases capacitive effects and results in low efficiency.

### Small loop antennas

Small loop antennas are also called as **magnetic loop antennas**. These are less resonant. These are mostly used as receivers.

These antennas are of the size of one-tenth of the wavelength.

$$L = \frac{\lambda}{10}$$

Where,

- $L$  is the length of the antenna
- $\lambda$  is the wavelength

The features of small loop antennas are-

- A small loop antenna has low radiation resistance. If multi-turn ferrite core constructions are used, then high radiation resistance can be achieved.
- It has low radiation efficiency due to high losses.
- Its construction is simple with small size and weight.

Due to its high reactance, its impedance is difficult to match with the transmitter. If loop antenna have to act as transmitting antenna, then this impedance mis-match would definitely be a problem. Hence, these loop antennas are better operated as **receiver antennas**.

## Frequently Used Loops

---

Small loop antennas are mainly of two types-

- Circular loop antennas
- Square loop antennas

These two types of loop antennas are mostly widely used. Other types (rectangular, delta, elliptical etc.) are also made according to the designer specifications.



Fig 1: Circular loop antenna



Fig 2: Square loop antenna

The above images show **circular and square loop antennas**. These types of antennas are mostly used as AM receivers because of high Signal-to-noise ratio. They are also easily tunable at the Q-tank circuit in radio receivers.

## Polarization of Loop

---

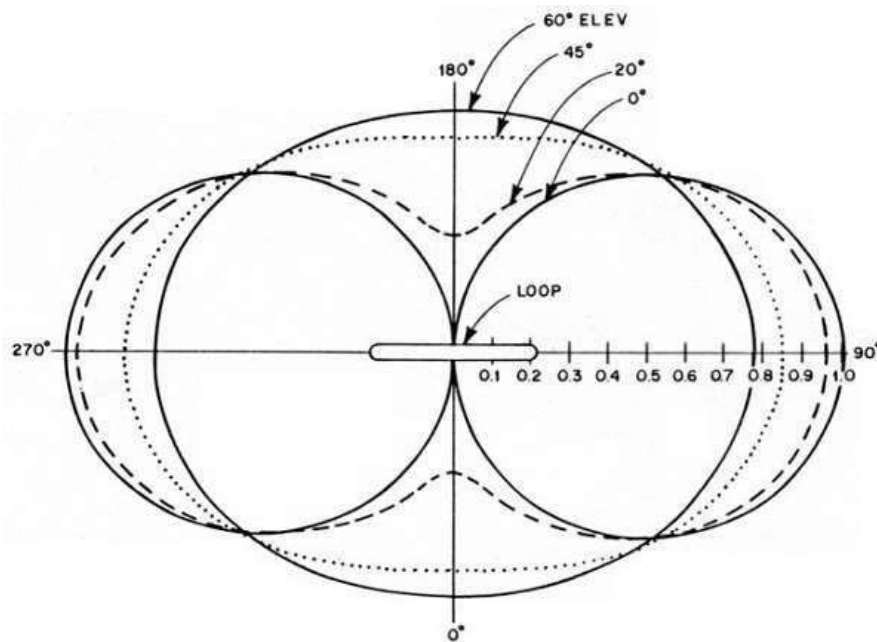
The polarization of the loop antenna will be vertically or horizontally polarized depending upon the feed position. The vertical polarization is given at the center of the vertical side

while the horizontal polarization is given at the center of the horizontal side, depending upon the shape of the loop antenna.

The small loop antenna is generally a **linearly polarized** one. When such a small loop antenna is mounted on top of a portable receiver, whose output is connected to a meter, it becomes a great direction finder.

## Radiation Pattern

The radiation pattern of these antennas will be same as that of short horizontal dipole antenna.



The **radiation pattern** for small, high-efficiency loop antennas is shown in the figure given above. The radiation patterns for different angles of looping are also illustrated clearly in the figure. The tangent line at 0° indicates vertical polarization, whereas the line with 90° indicates horizontal polarization.

## Advantages

The following are the advantages of Loop antenna-

- Compact in size
- High directivity

## Disadvantages

The following are the disadvantages of Loop antenna-

- Impedance matching may not be always good
- Has very high resonance quality factor

## **Applications**

The following are the applications of Loop antenna-

- Used in RFID devices
- Used in MF, HF and Short wave receivers
- Used in Aircraft receivers for direction finding
- Used in UHF transmitters

# Helical Antenna

**Helical antenna** is an example of wire antenna and itself forms the shape of a helix. This is a broadband VHF and UHF antenna.

## Frequency Range

The frequency range of operation of helical antenna is around **30MHz to 3GHz**. This antenna works in **VHF** and **UHF** ranges.

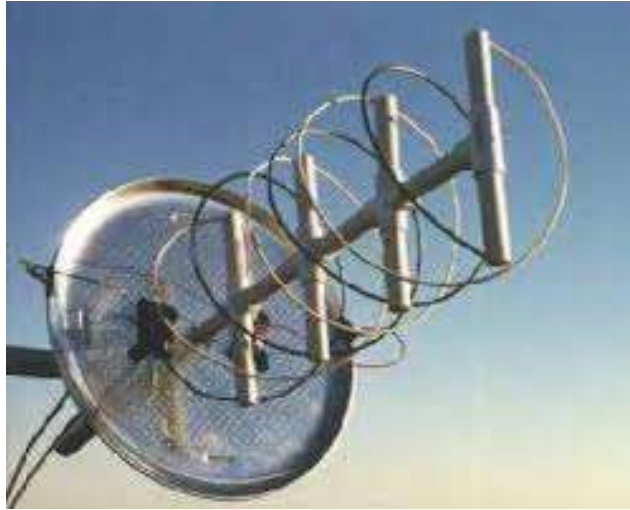
## Construction&Working of Helical Antenna

**Helical antenna** or helix antenna is the antenna in which the conducting wire is wound in helical shape and connected to the ground plane with a feeder line. It is the simplest antenna, which provides **circularly polarized waves**. It is used in extra-terrestrial communications in which satellite relays etc., are involved.



The above image shows a helical antenna system, which is used for satellite communications. These antennas require wider outdoor space.

It consists of a helix of thick copper wire or tubing wound in the shape of a screw thread used as an antenna in conjunction with a flat metal plate called a **ground plate**. One end of the helix is connected to the center conductor of the cable and the outer conductor is connected to the ground plate.



The image of a helix antenna detailing the antenna parts is shown above.

The radiation of helical antenna depends on the diameter of helix, the turn spacing and the pitch angle.

**Pitch angle** is the angle between a line tangent to the helix wire and plane normal to the helix axis.

$$\alpha = \tan^{-1} \left( \frac{S}{\pi D} \right)$$

where,

- **D** is the **diameter** of helix.
- **S** is the **turn spacing** (centre to centre).
- **$\alpha$**  is the **pitch angle**.

## Modes of Operation

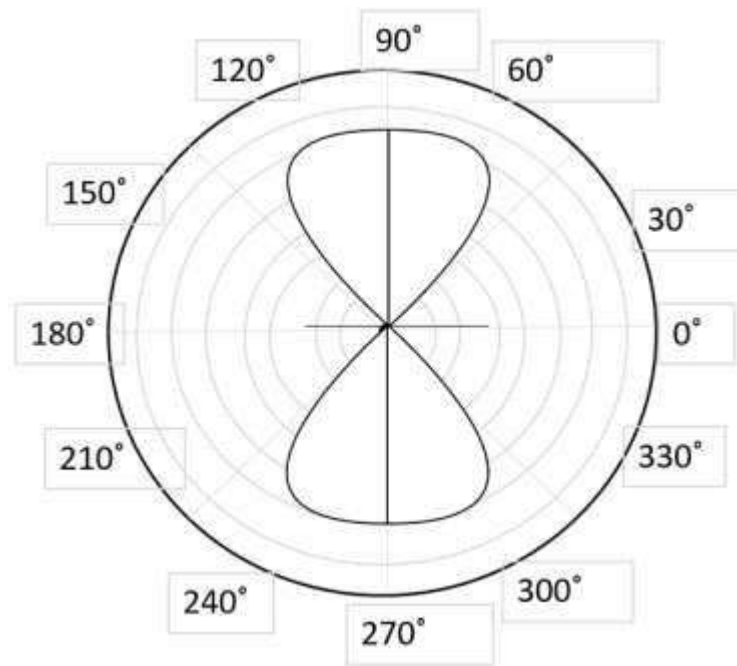
The predominant modes of operation of a helical antenna are-

- **Normal** or perpendicular mode of radiation.
- **Axial** or end-fire or beam mode of radiation.

Let us discuss them in detail.

### **Normal mode**

In **normal mode** of radiation, the radiation field is normal to the helix axis. The radiated waves are circularly polarized. This mode of radiation is obtained if the dimensions of helix are small compared to the wavelength. The radiation pattern of this helical antenna is a combination of short dipole and loop antenna.

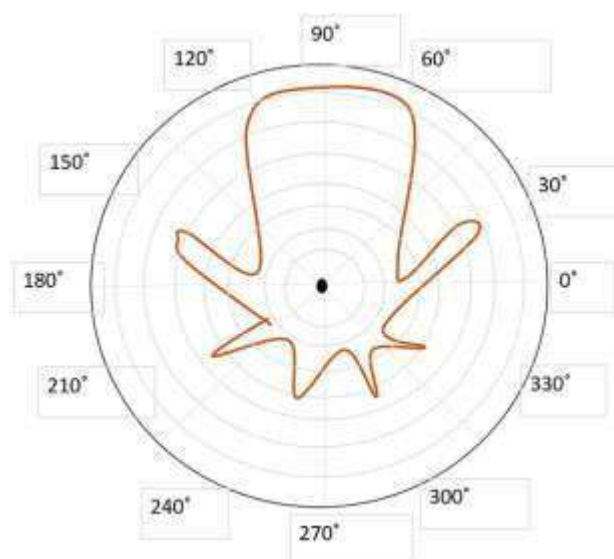


The above figure shows the radiation pattern for normal mode of radiation in helical antenna.

It depends upon the values of diameter of helix, **D** and its turn spacing, **S**. Drawbacks of this mode of operation are low radiation efficiency and narrow bandwidth. Hence, it is hardly used.

### Axial mode

In **axial mode** of radiation, the radiation is in the end-fire direction along the helical axis and the waves are circularly or nearly circularly polarized. This mode of operation is obtained by raising the circumference to the order of one wavelength ( $\lambda$ ) and spacing of approximately  $\lambda/4$ . The radiation pattern is broad and directional along the axial beam producing minor lobes at oblique angles.



The figure shows the radiation pattern for axial mode of radiation in helical antenna.

If this antenna is designed for right-handed circularly polarized waves, then it will not receive left-handed circularly polarized waves and vice versa. This mode of operation is generated with great ease and is **more practically used**.

## Advantages

The following are the advantages of Helical antenna-

- Simple design
- Highest directivity
- Wider bandwidth
- Can achieve circular polarization
- Can be used at HF & VHF bands also

## Disadvantages

The following are the disadvantages of Helical antenna-

- Antenna is larger and requires more space
- Efficiency decreases with number of turns

## Applications

The following are the applications of Helical antenna-

- A single helical antenna or its array is used to transmit and receive VHF signals
- Frequently used for satellite and space probe communications
- Used for telemetry links with ballistic missiles and satellites at Earth stations
- Used to establish communications between the moon and the Earth
- Applications in radio astronomy

# Aperture Antennas

An Antenna with an aperture at the end can be termed as an **Aperture antenna**. Waveguide is an example of aperture antenna. The edge of a transmission line when terminated with an opening, radiates energy. This opening which is an aperture, makes it an **Aperture** antenna.

The main types of aperture antennas are-

- Wave guide antenna
- Horn antenna
- Slot antenna

Let us now have a look at these types of aperture antennas.

## Waveguide Antenna

A **Waveguide** is capable of radiating energy when excited at one end and opened at the other end. The radiation in wave guide is greater than a two-wire transmission line.

### Frequency Range

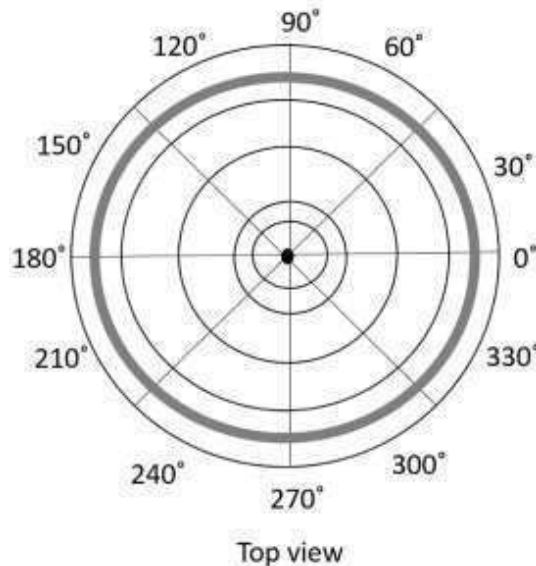
The operational frequency range of a wave guide is around **300MHz** to **300GHz**. This antenna works in **UHF** and **EHF** frequency ranges. The following image shows a waveguide.



This waveguide with terminated end, acts as an antenna. But only a small portion of the energy is radiated while a large portion of it gets reflected back in the open circuit. It means **VSWR** (voltage standing wave ratio, discussed in basic parameters chapter) value increases. The diffraction around the waveguide provides poor radiation and non-directive radiation pattern.

## Radiation Pattern

The radiation of waveguide antenna is poor and the pattern is non-directive, which means **omni-directional**. An omni-directional pattern is the one which has no certain directivity but radiates in all directions, hence it is called as **non-directional radiation pattern**.



The above figure shows a top section view of an omni-directional pattern, which is also called as **non-directional pattern**. The two-dimensional view is a figure-of-eight pattern, as we already know.

### Advantages

The following are the advantages of Aperture antenna-

- Radiation is greater than two-wire transmission line
- Radiation is Omni-directional

### Disadvantages

The following are the disadvantages of Aperture antenna-

- VSWR increases
- Poor radiation

### Applications

The following are the applications of Aperture antenna-

- Micro wave applications
- Surface search radar applications

The waveguide antenna has to be further modified to achieve better performance, which results in the formation of **Horn antenna**.

# Horn Antenna

To improve the radiation efficiency and directivity of the beam, the wave guide should be provided with an extended aperture to make the abrupt discontinuity of the wave into a gradual transformation. So that all the energy in the forward direction gets radiated. This can be termed as **Flaring**. Now, this can be done using a horn antenna.

## Frequency Range

The operational frequency range of a horn antenna is around **300MHz** to **30GHz**. This antenna works in **UHF** and **SHF** frequency ranges.

## Construction & Working of Horn Antenna

---

The energy of the beam when slowly transform into radiation, the losses are reduced and the focussing of the beam improves. A **Horn antenna** may be considered as a **flared out wave guide**, by which the directivity is improved and the diffraction is reduced.



The above image shows the model of a horn antenna. The flaring of the horn is clearly shown. There are several horn configurations out of which, three configurations are most commonly used.

## Sectoral horn

This type of horn antenna, flares out in only one direction. Flaring in the direction of Electric vector produces the **sectorial E-plane horn**. Similarly, flaring in the direction of Magnetic vector, produces the **sectorial H-plane horn**.

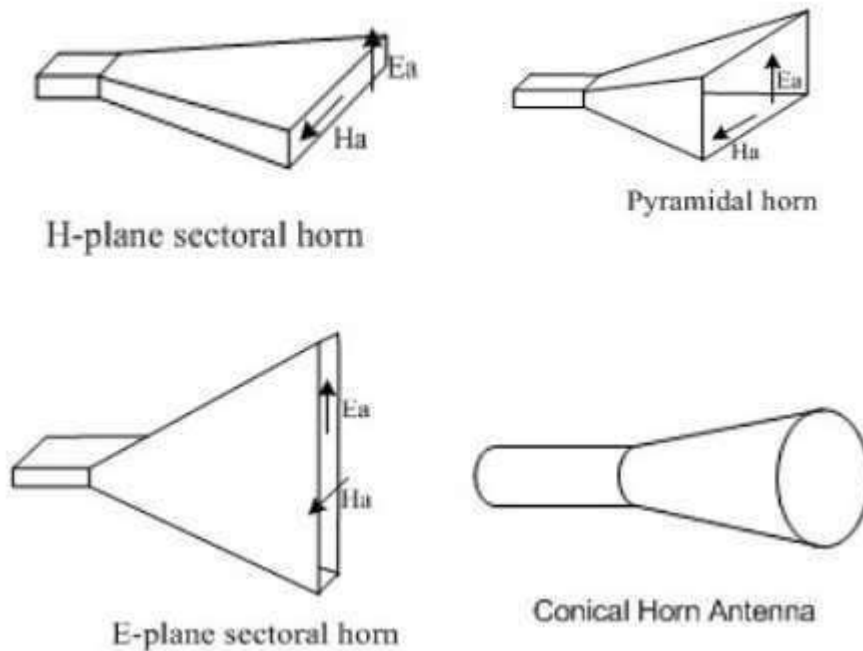
## Pyramidal horn

This type of horn antenna has flaring on both sides. If flaring is done on both the E & H walls of a rectangular waveguide, then **pyramidal horn antenna** is produced. This antenna has the shape of a truncated pyramid.

## Conical horn

When the walls of a circular wave guide are flared, it is known as a **conical horn**. This is a logical termination of a circular wave guide.

# DIFFERENT TYPES OF HORN ANTENNA



The above figures show the types of horn configurations, which were discussed earlier.

Flaring helps to match the antenna impedance with the free space impedance for better radiation. It avoids standing wave ratio and provides greater directivity and narrower beam width. The flared wave guide can be technically termed as **Electromagnetic Horn Radiator**.

Flare angle,  $\Phi$  of the horn antenna is an important factor to be considered. If this is too small, then the resulting wave will be spherical instead of plane and the radiated beam

will not be directive. Hence, the flare angle should have an optimum value and is closely related to its length.

## Combinations

Horn antennas, may also be combined with parabolic reflector antennas to form special type of horn antennas. These are-

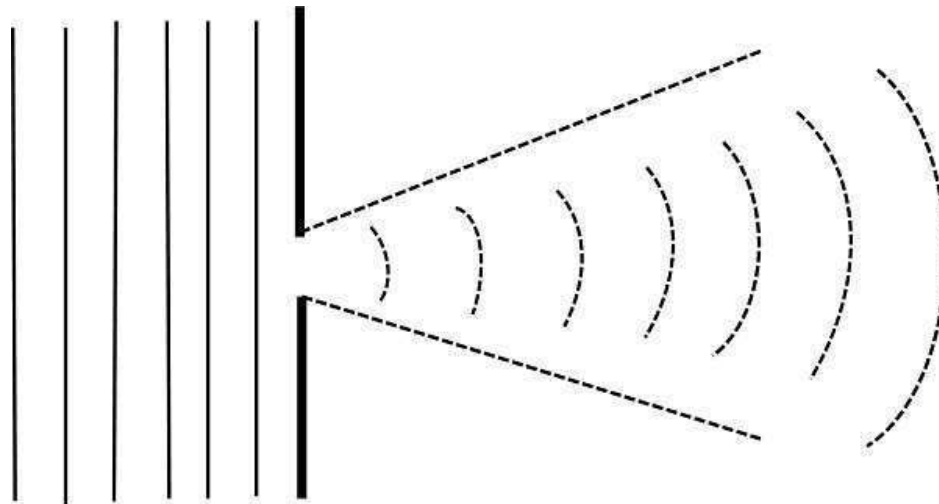
- Cass-horn antenna
- Hog-horn or triply folded horn reflector

In **Cass-horn antenna**, radio waves are collected by the large bottom surface, which is parabolically curved and reflected upward at  $45^\circ$  angle. After hitting top surface, they are reflected to the focal point. The gain and beam width of these are just like parabolic reflectors.

In **hog-horn** antenna, a parabolic cylinder is joined to pyramidal horn, where the beam reaches apex of the horn. It forms a low-noise microwave antenna. The main advantage of hog-horn antenna is that its receiving point does not move, though the antenna is rotated about its axis.

## Radiation Pattern

The radiation pattern of a horn antenna is a Spherical Wave front. The following figure shows the **radiation pattern** of horn antenna. The wave radiates from the aperture, minimizing the diffraction of waves. The flaring keeps the beam focussed. The radiated beam has high directivity.



## Advantages

The following are the advantages of Horn antenna-

- Small minor lobes are formed
- Impedance matching is good
- Greater directivity
- Narrower beam width

- Standing waves are avoided

## **Disadvantages**

The following are the disadvantages of Horn antenna-

- Designing of flare angle, decides the directivity
- Flare angle and length of the flare should not be very small

## **Applications**

The following are the applications of Horn antenna-

- Used for astronomical studies
- Used in microwave applications

# Parabolic Reflector Antennas

**Parabolic Reflectors** are Microwave antennas. For better understanding of these antennas, the concept of parabolic reflector has to be discussed.

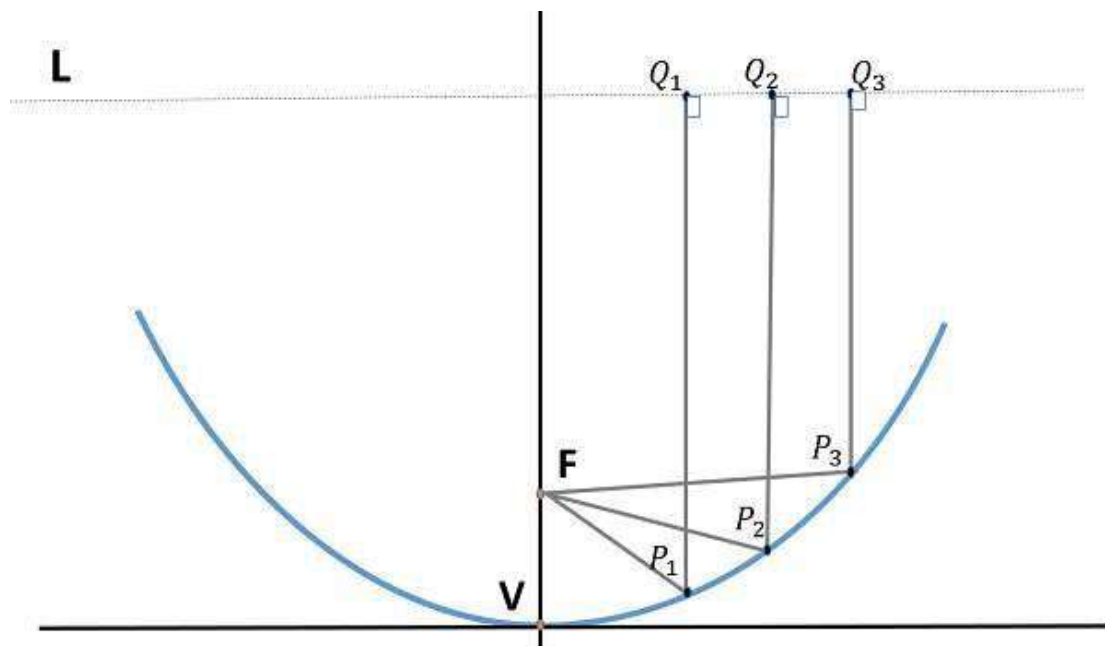
## Frequency Range

The frequency range used for the application of Parabolic reflector antennas is **above 1MHz**. These antennas are widely used for radio and wireless applications.

## Principle of Operation

The standard definition of a parabola is - Locus of a point, which moves in such a way that its distance from the fixed point (called **focus**) plus its distance from a straight line (called **directrix**) is constant.

The following figure shows the geometry of parabolic reflector. The point **F** is the focus (feed is given) and **V** is the vertex. The line joining F and V is the axis of symmetry. PQ are the reflected rays where **L** represents the line directrix on which the reflected points lie (to say that they are being collinear). Hence, as per the above definition, the distance between F and L lie constant with respect to the waves being focussed.



The reflected wave forms a collimated wave front, out of the parabolic shape. The ratio of focal length to aperture size (ie.,  $f/D$ ) known as "**f over D ratio**" is an important parameter of parabolic reflector. Its value varies from **0.25 to 0.50**.

The law of reflection states that the angle of incidence and the angle of reflection are equal. This law when used along with a parabola, helps the beam focus. The shape of the parabola when used for the purpose of reflection of waves, exhibits some properties of the parabola, which are helpful for building an antenna, using the waves reflected.

## Properties of Parabola

- All the waves originating from focus, reflects back to the parabolic axis. Hence, all the waves reaching the aperture are in phase.
- As the waves are in phase, the beam of radiation along the parabolic axis will be strong and concentrated.

Following these points, the parabolic reflectors help in producing high directivity with narrower beam width.

## Construction & Working of a Parabolic Reflector

---

If a Parabolic Reflector antenna is used for transmitting a signal, the signal from the feed, comes out of a dipole or a horn antenna, to focus the wave on to the parabola. It means that, the waves come out of the focal point and strike the Paraboloidal reflector. This wave now gets reflected as **collimated wave front**, as discussed previously, to get transmitted.

The same antenna is used as a receiver. When the electromagnetic wave hits the shape of the parabola, the wave gets reflected onto the feed point. The dipole or the horn antenna, which acts as the receiver antenna at its feed, receives this signal, to convert it into electric signal and forwards it to the receiver circuitry.

The following image shows a Parabolic Reflector Antenna.



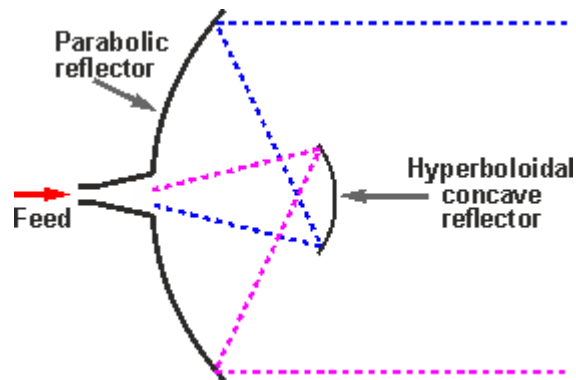
The gain of the paraboloid is a function of aperture ratio ( $D/\lambda$ ). The Effective Radiated Power (**ERP**) of an antenna is the multiplication of the input power fed to the antenna and its power gain.

Usually a wave guide horn antenna is used as a feed radiator for the paraboloid reflector antenna. Along with this technique, we have another type of feed given to the paraboloid reflector antenna, called as Cassegrain feed.

## Cassegrain Feed

---

Casse grain is another type of feed given to the reflector antenna. In this type, the feed is located at the vertex of the paraboloid, unlike in the parabolic reflector. A convex shaped reflector, which acts as a hyperboloid is placed opposite to the feed of the antenna. It is also known as **secondary hyperboloid reflector** or **sub-reflector**. It is placed such that its one of the foci coincides with the focus of the paraboloid. Thus, the wave gets reflected twice.



The above figure shows the working model of cassegrain feed.

## Working of a Cassegrain Antenna

---

When the antenna acts as a transmitting antenna, the energy from the feed radiates through a horn antenna onto the hyperboloid concave reflector, which again reflects back on to the parabolic reflector. The signal gets reflected into the space from there. Hence, wastage of power is controlled and the directivity gets improved.

When the same antenna is used for reception, the electromagnetic waves strike the reflector, gets reflected on to the concave hyperboloid and from there, it reaches to the feed. A wave guide horn antenna presents there to receive this signal and sends to the receiver circuitry for amplification.

Take a look at the following image. It shows a paraboloid reflector with cassegrain feed.



## Advantages

The following are the advantages of Parabolic reflector antenna-

- Reduction of minor lobes
- Wastage of power is reduced
- Equivalent focal length is achieved
- Feed can be placed in any location, according to our convenience
- Adjustment of beam (narrowing or widening) is done by adjusting the reflecting surfaces

## Disadvantage

The following is the disadvantage of a Parabolic reflector antenna-

- Some of the power that gets reflected from the parabolic reflector is obstructed. This becomes a problem with small dimension paraboloid.

## Applications

The following are the applications of Parabolic reflector antenna-

- The cassegrain feed parabolic reflector is mainly used in satellite communications.
- Also used in wireless telecommunication systems.

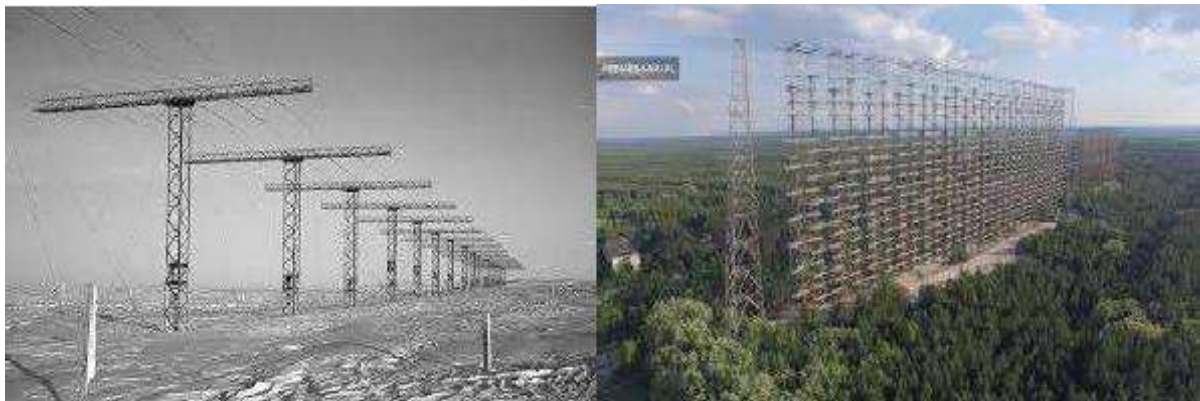
Let us look at the other type of feed called as Gregorian feed for the parabolic reflectors.

# Antenna Arrays

# Antenna Arrays

An antenna, when individually can radiate an amount of energy, in a particular direction, resulting in better transmission, how it would be if few more elements are added it, to produce more efficient output. It is exactly this idea, which led to the invention of **Antenna arrays**.

An antenna array can be better understood by observing the following images. Observe how the antenna arrays are connected.



An **antenna array** is a radiating system, which consists of individual radiators and elements. Each of this radiator, while functioning has its own induction field. The elements are placed so closely that each one lies in the neighbouring one's induction field. Therefore, the radiation pattern produced by them, would be the vector sum of the individual ones. The following image shows another example of an antenna array.



The spacing between the elements and the length of the elements according to the wavelength are also to be kept in mind while designing these antennas.

The antennas radiate individually and while in array, the radiation of all the elements sum up, to form the radiation beam, which has high gain, high directivity and better performance, with minimum losses.

## **Advantages**

The following are the advantages of using antenna arrays-

- The signal strength increases
- High directivity is obtained
- Minor lobes are reduced much
- High Signal-to-noise ratio is achieved
- High gain is obtained
- Power wastage is reduced
- Better performance is obtained

## **Disadvantages**

The following are the disadvantages of array antennas-

- Resistive losses are increased
- Mounting and maintenance is difficult
- Huge external space is required

## **Applications**

The following are the applications of array antennas-

- Used in satellite communications
- Used in wireless communications
- Used in military radar communications
- Used in the astronomical study

## **Types of Arrays**

---

The basic types of arrays are-

- Collinear array
- Broad side array
- End fire array
- Parasitic array
- Yagi-Uda array
- Log-periodic array
- Turnstile array
- Super-turnstile array

We will discuss these arrays in the coming chapters.

# Collinear array

A **Collinear array** consists of two or more half-wave dipoles, which are placed end to end. These antennas are placed on a common line or axis, being parallel or collinear.

The maximum radiation in these arrays is broad side and perpendicular to the line of array. These arrays are also called as **broad cast** or **Omni-directional arrays**.

## Frequency range

The frequency range in which the collinear array antennas operate is around **30 MHz** to **3GHz** which belong to the **VHF** and **UHF** bands.

## Construction of Array

---

These collinear arrays are **uni-directional antennas** having high gain. The main purpose of this array is to increase the power radiated and to provide high directional beam, by avoiding power loss in other directions.

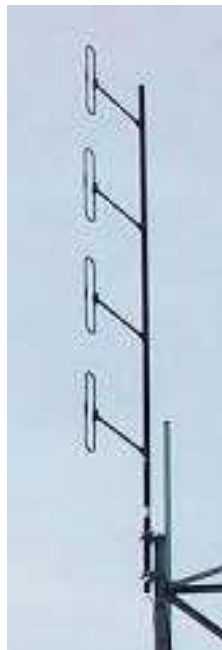


Figure1



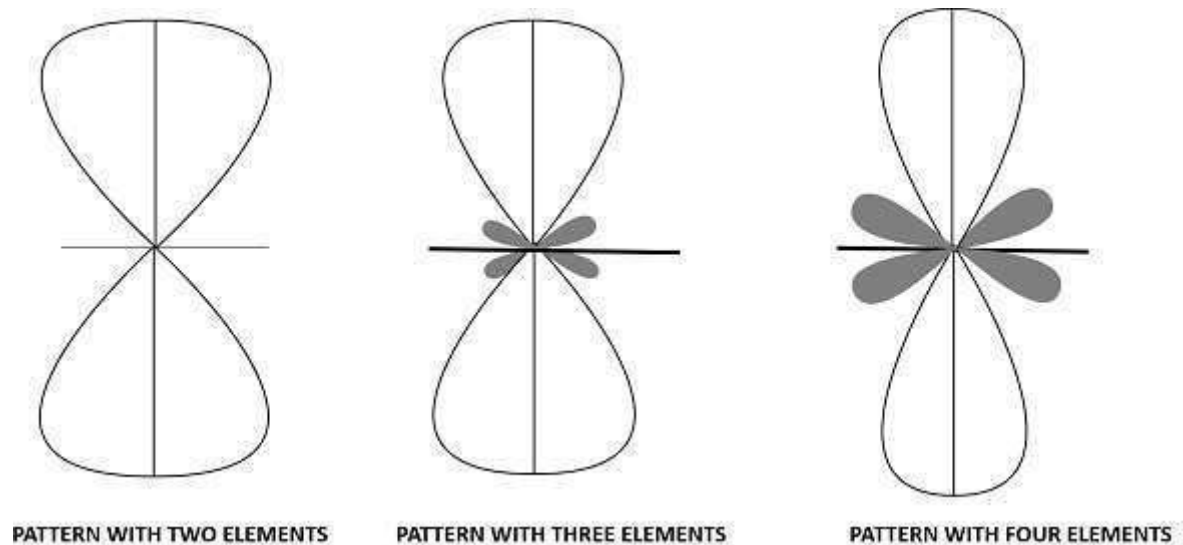
Figure2

The above images show the pictures of collinear arrays. In figure 1, it is seen that collinear array is formed using folded dipoles, while in figure 2, the collinear array is formed by normal dipoles. Both types are half-wave dipoles used commonly.

## Radiation Pattern

---

The radiation pattern of these collinear arrays is similar to that of a single dipole, but the array pattern of increasing number of dipoles, makes the difference.



The radiation pattern of collinear array when made using two elements, three elements and four elements respectively are shown in the figure given above.

The **broad side array** also has the same pattern, in which the direction of maximum radiation is perpendicular to the line of antenna.

### Advantages

The following are the advantages of collinear array antennas-

- Use of array reduces the broad ends and increases the directivity
- Minor lobes are minimised
- Wastage of power is reduced

### Disadvantages

The following are the disadvantages of collinear array antennas-

- Displacement of these antennas is a difficult task
- Used only in outdoor areas

### Applications

The following are the applications of collinear array antennas-

- Used for VHF and UHF bands
- Used in two-way communications
- Used also for broadcasting purposes

# Broad-side Array

The antenna array in its simplest form, having a number of elements of equal size, equally spaced along a straight line or axis, forming collinear points, with all dipoles in the same phase, from the same source together form the **broad side array**.

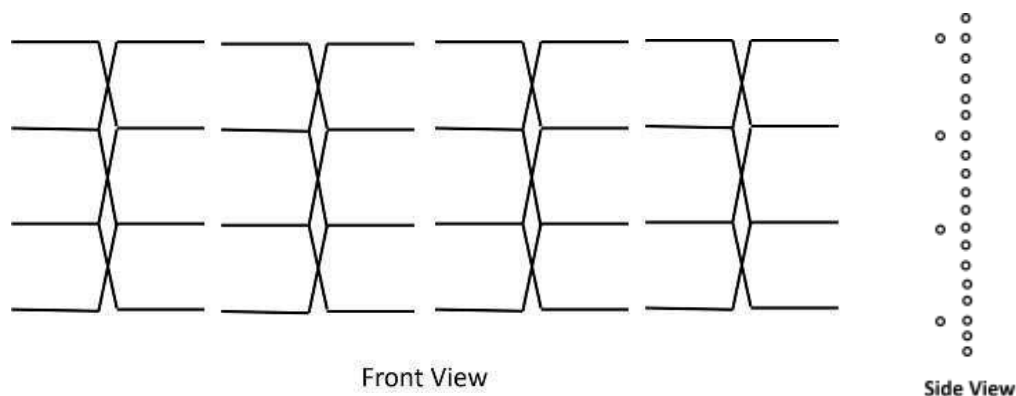
## Frequency range

The frequency range, in which the collinear array antennas operate is around **30 MHz** to **3GHz** which belong to the **VHF** and **UHF** bands.

## Construction & Working of Broad-side Array

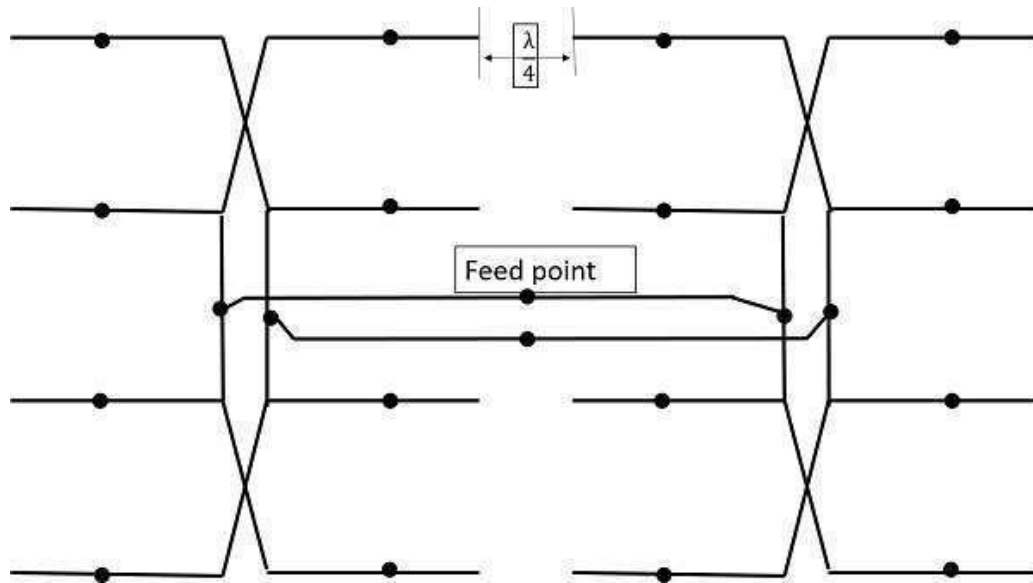
According to the standard definition, "An arrangement in which the principal direction of radiation is perpendicular to the array axis and also to the plane containing the array element" is termed as the **broad side array**. Hence, the radiation pattern of the antenna is perpendicular to the axis on which the array exists.

The following diagram shows the broad side array, in front view and side view, respectively.



The broad side array is strongly directional at right angles to the plane of the array. However, the radiation in the plane will be very less because of the cancellation in the direction joining the center.

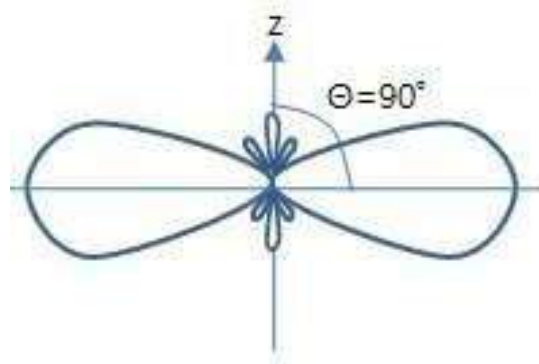
The figure of broad side array with  $\lambda/4$  spacing is shown below.



Typical antenna lengths in the broad side array are from 2 to 10 wavelengths. Typical spacings are  $\lambda/2$  or  $\lambda$ . The feed points of the dipoles are joined as shown in the figure.

## **Radiation Pattern**

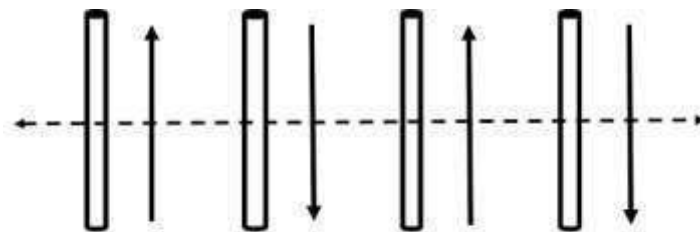
The radiation pattern of this antenna is bi-directional and right angles to the plane. The beam is very narrow with high gain.



The above figure shows the radiation pattern of the broad side array. The beam is a bit wider and minor lobes are much reduced in this.

# End-fire Array

The physical arrangement of **end-fire array** is same as that of the broad side array. The magnitude of currents in each element is same, but there is a phase difference between these currents. This induction of energy differs in each element, which can be understood by the following diagram.



Top View of Array



Side View of Array

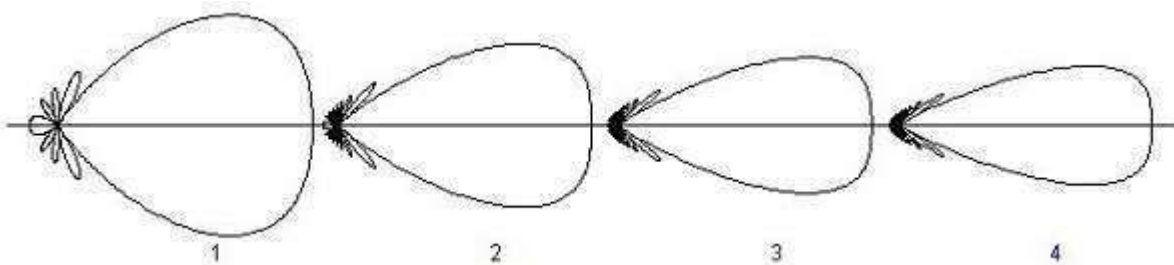
The above figure shows the end-fire array in top and side views respectively.

There is no radiation in the right angles to the plane of the array because of cancellation. The first and third elements are fed out of phase and therefore cancel each other's radiation. Similarly, second and fourth are fed out of phase, to get cancelled.

The usual dipole spacing will be  $\lambda/4$  or  $3\lambda/4$ . This arrangement not only helps to avoid the radiation perpendicular to the antenna plane, but also helps the radiated energy get diverted to the direction of radiation of the whole array. Hence, the minor lobes are avoided and the directivity is increased. The beam becomes narrower with the increased elements.

## Radiation Pattern

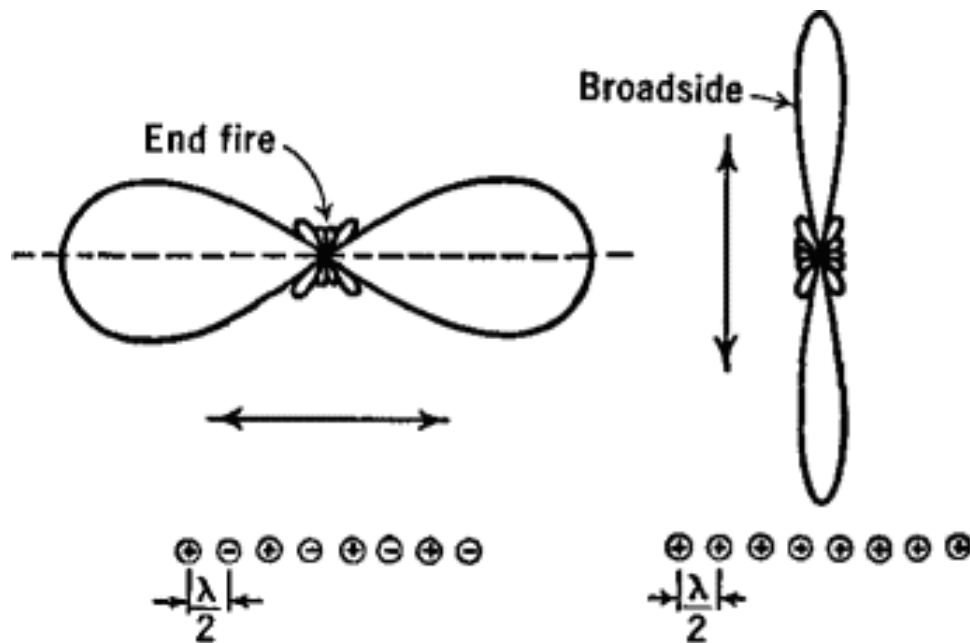
The Radiation pattern of end-fire array is **uni-directional**. A major lobe occurs at one end, where maximum radiation is present, while the minor lobes represent the losses.



The figure explains the radiation pattern of an end-fire array. Figure 1 is the radiation pattern for a single array, while figures 2, 3, and 4 represent the radiation pattern for multiple arrays.

## End-fire Array Vs Broad Side Array

We have studied both the arrays. Let us try to compare the end-fire and broad side arrays, along with their characteristics.



The figure illustrates the radiation pattern of end-fire array and broad side array.

- Both, the end fire array and broad side array, are linear and are resonant, as they consist of resonant elements.
- Due to resonance, both the arrays display narrower beam and high directivity.
- Both of these arrays are used in transmission purposes.
- Neither of them is used for reception, because the necessity of covering a range of frequencies is needed for any kind of reception.

# Yagi – Uda Antenna

**Yagi-Uda antenna** is the most commonly used type of antenna for TV reception over the last few decades. It is the most popular and easy-to-use type of antenna with better performance, which is famous for its high gain and directivity.

## Frequency range

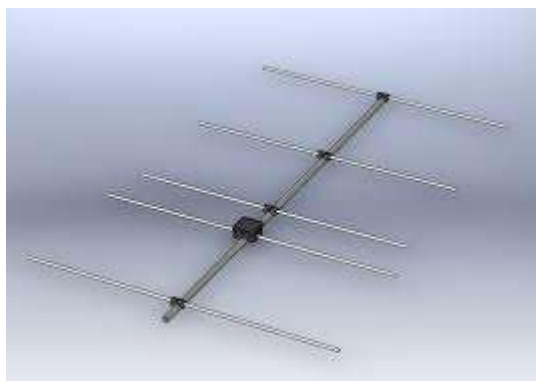
The frequency range in which the Yagi-Uda antennas operate is around **30 MHz** to **3GHz** which belong to the **VHF** and **UHF** bands.

## Construction of Yagi-Uda Antenna

A Yagi-Uda antenna was seen on top of almost every house during the past decades. The parasitic elements and the dipole together form this Yagi-Uda antenna.



The figure shows a **Yagi-Uda antenna**. It is seen that there are many directors placed to increase the directivity of the antenna. The feeder is the folded dipole. The reflector is the lengthy element, which is at the end of the structure.

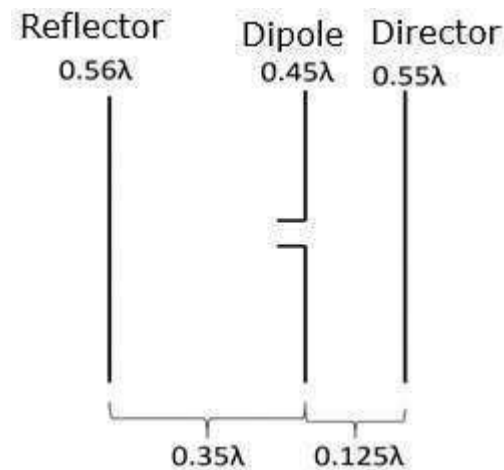


The figure depicts a clear form of the Yagi-Uda antenna. The center rod like structure on which the elements are mounted is called as **boom**. The element to which a thick black head is connected is the **driven element** to which the transmission line is connected internally, through that black stud. The single element present at the back of the driven

element is the **reflector**, which reflects all the energy towards the direction of the radiation pattern. The other elements, before the driven element, are the **directors**, which direct the beam towards the desired angle.

## Designing

For this antenna to be designed, the following design specifications should be followed.



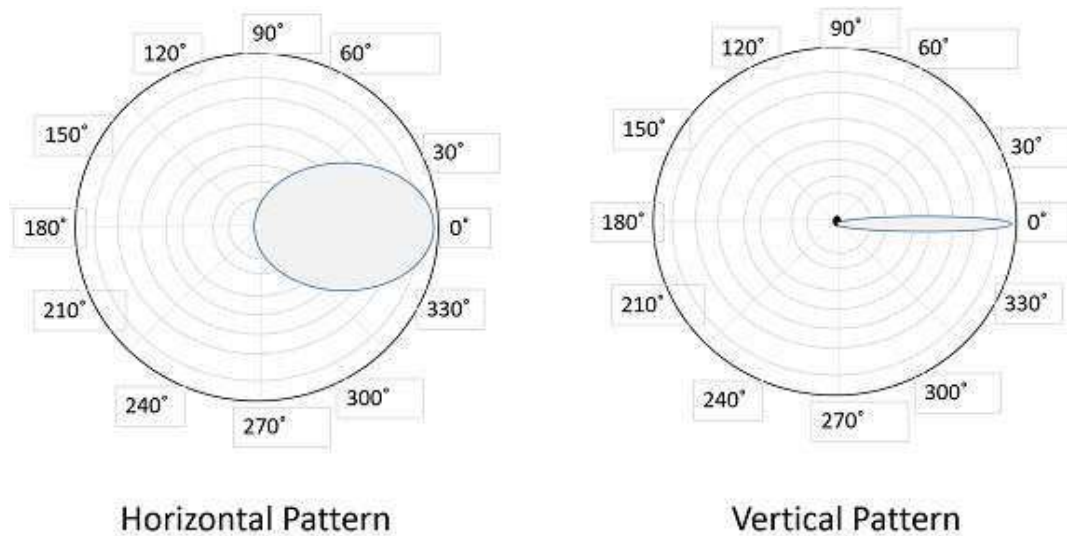
They are as follows–

| ELEMENT                      | SPECIFICATION                  |
|------------------------------|--------------------------------|
| Length of the Driven Element | $0.458\lambda$ to $0.5\lambda$ |
| Length of the Reflector      | $0.55\lambda$ to $0.58\lambda$ |
| Length of the Director 1     | $0.45\lambda$                  |
| Length of the Director 2     | $0.40\lambda$                  |
| Length of the Director 3     | $0.35\lambda$                  |
| Spacing between Directors    | $0.2\lambda$                   |
| Reflector to dipole spacing  | $0.35\lambda$                  |
| Dipole to Director spacing   | $0.125\lambda$                 |

If the specifications given above are followed, one can design an Yagi-Uda antenna.

## Radiation Pattern

The directional pattern of the Yagi-Uda antenna is **highly directive** as shown in the figure given below.



The minor lobes are suppressed and the directivity of the major lobe is increased by the addition of directors to the antenna.

## Advantages

The following are the advantages of Yagi-Uda antennas-

- High gain is achieved.
- High directivity is achieved.
- Ease of handling and maintenance.
- Less amount of power is wasted.
- Broader coverage of frequencies.

## Disadvantages

The following are the disadvantages of Yagi-Uda antennas-

- Prone to noise.
- Prone to atmospheric effects.

## Applications

The following are the applications of Yagi-Uda antennas-

- Mostly used for TV reception.
- Used where a single-frequency application is needed



# Transmission Lines

A **transmission line** is a connector which transmits energy from one point to another. The study of transmission line theory is helpful in the effective usage of power and equipment.

There are basically four types of transmission lines:

- Two-wire parallel transmission lines
- Coaxial lines
- Strip type substrate transmission lines
- Waveguides

While transmitting or while receiving, the energy transfer has to be done effectively, without the wastage of power. To achieve this, there are certain important parameters which has to be considered.

## Main Parameters of a Transmission Line

---

The important parameters of a transmission line are resistance, inductance, capacitance and conductance.

Resistance and inductance together are called as transmission line **impedance**.

Capacitance and conductance together are called as **admittance**.

### Resistance

The resistance offered by the material out of which the transmission lines are made, will be of considerable amount, especially for shorter lines. As the line current increases, the ohmic loss ( $I^2R$  loss) also increases.

The resistance **R** of a conductor of length "**l**" and cross-section "**a**" is represented as

$$R = \rho \frac{l}{a}$$

Where

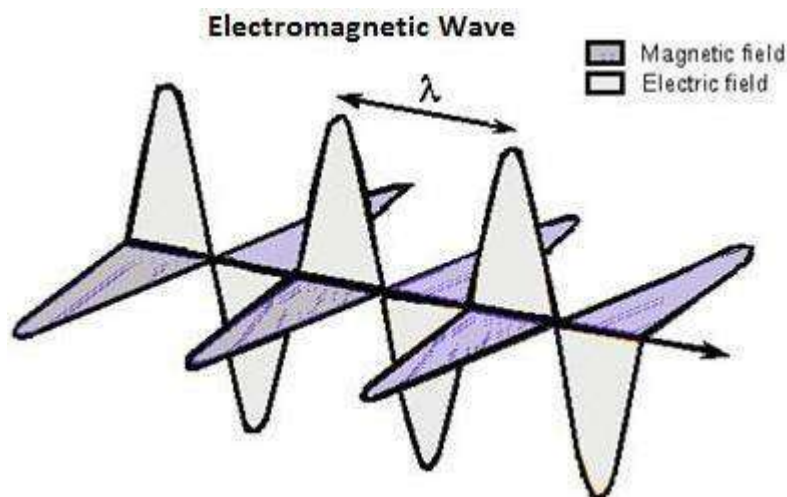
**ρ** = resistivity of the conductor material, which is constant.

Temperature and the frequency of the current are the main factors that affect the resistance of a line. The resistance of a conductor varies linearly with the change in temperature. Whereas, if the frequency of the current increases, the current density towards the surface of the conductor also increases. Otherwise, the current density towards the center of the conductor increases.

This means, more the current flows towards the surface of the conductor, it flows less towards the center, which is known as the **Skin Effect**.

## Inductance

In an AC transmission line, the current flows sinusoidally. This current induces a magnetic field perpendicular to the electric field, which also varies sinusoidally. This is well known as Faraday's law. The fields are depicted in the following figure.



This varying magnetic field induces some EMF into the conductor. Now this induced voltage or EMF flows in the opposite direction to the current flowing initially. This EMF flowing in the opposite direction is equivalently shown by a parameter known as **Inductance**, which is the property to oppose the shift in the current.

It is denoted by "**L**". The unit of measurement is "**Henry (H)**".

## Conductance

There will be a leakage current between the transmission line and the ground, and also between the phase conductors. This small amount of leakage current generally flows through the surface of the insulator. Inverse of this leakage current is termed as **Conductance**. It is denoted by "**G**".

The flow of line current is associated with inductance and the voltage difference between the two points is associated with capacitance. Inductance is associated with the magnetic field, while capacitance is associated with the electric field.

## Capacitance

The voltage difference between the **Phase conductors** gives rise to an electric field between the conductors. The two conductors are just like parallel plates and the air in between them becomes dielectric. This pattern gives rise to the capacitance effect between the conductors.

## Characteristic Impedance

---

If a uniform lossless transmission line is considered, for a wave travelling in one direction, the ratio of the amplitudes of voltage and current along that line, which has no reflections, is called as **Characteristic impedance**.

It is denoted by  $Z_0$

$$Z_0 = \sqrt{\frac{\text{voltage wave value}}{\text{current wave value}}}$$

$$Z_0 = \sqrt{\frac{R + j\omega L}{G + j\omega C}}$$

For a lossless line,  $R_0 = \sqrt{\frac{L}{C}}$

Where **L** & **C** are the inductance and capacitance per unit lengths.

## Impedance Matching

---

To achieve maximum power transfer to the load, impedance matching has to be done. To achieve this impedance matching, the following conditions are to be met.

The resistance of the load should be equal to that of the source.

$$R_L = R_S$$

The reactance of the load should be equal to that of the source but opposite in sign.

$$X_L = -X_S$$

Which means, if the source is inductive, the load should be capacitive and vice versa.

## Reflection Co-efficient

---

The parameter that expresses the amount of reflected energy due to impedance mismatch in a transmission line is called as **Reflection coefficient**. It is indicated by  **$\rho$  (rho)**.

It can be defined as "the ratio of reflected voltage to the incident voltage at the load terminals".

$$\rho = \frac{\text{reflected voltage}}{\text{incident voltage}} = \frac{V_r}{V_i} \text{ at load terminals}$$

If the impedance between the device and the transmission line don't match with each other, then the energy gets reflected. The higher the energy gets reflected, the greater will be the value of  **$\rho$**  reflection coefficient.

## Voltage Standing Wave Ratio (VSWR)

---

The standing wave is formed when the incident wave gets reflected. The standing wave which is formed, contains some voltage. The magnitude of standing waves can be measured in terms of standing wave ratios.

The ratio of maximum voltage to the minimum voltage in a standing wave can be defined as Voltage Standing Wave Ratio (VSWR). It is denoted by "**S**".

$$S = \frac{|V_{max}|}{|V_{min}|} \quad 1 \leq S \leq \infty$$

VSWR describes the voltage standing wave pattern that is present in the transmission line due to phase addition and subtraction of the incident and reflected waves.

Hence, it can also be written as

$$S = \frac{1 + \rho}{1 - \rho}$$

The larger the impedance mismatch, the higher will be the amplitude of the standing wave. Therefore, if the impedance is matched perfectly,

$$V_{max} : V_{min} = 1 : 1$$

Hence, the value for VSWR is unity, which means the transmission is perfect.

## Efficiency of Transmission Lines

---

The efficiency of transmission lines is defined as the ratio of the output power to the input power.

$$\% \text{ efficiency of transmission line } \eta = \frac{\text{Power delivered at reception end}}{\text{Power sent from the transmission end}} \times 100$$

## Voltage Regulation

---

Voltage regulation is defined as the change in the magnitude of the voltage between the sending and receiving ends of the transmission line.

$$\% \text{ voltage regulation} = \frac{\text{sending end voltage} - \text{receiving end voltage}}{\text{sending end voltage}} \times 100$$

## Losses due to Impedance Mismatch

---

The transmission line, if not terminated with a matched load, occurs in losses. These losses are many types such as attenuation loss, reflection loss, transmission loss, return loss, insertion loss, etc.

### Attenuation Loss

The loss that occurs due to the absorption of the signal in the transmission line is termed as Attenuation loss, which is represented as

$$\text{Attenuation loss (dB)} = 10 \log_{10} \left[ \frac{E_i - E_r}{E_t} \right]$$

Where

- $E_i$  = the input energy
- $E_r$  = the reflected energy from the load to the input
- $E_t$  = the transmitted energy to the load

## Reflection Loss

The loss that occurs due to the reflection of the signal due to impedance mismatch of the transmission line is termed as Reflection loss, which is represented as

$$\text{Reflection loss (dB)} = 10 \log_{10} \left[ \frac{E_i}{E_i - E_r} \right]$$

Where

- $E_i$  = the input energy
- $E_r$  = the reflected energy from the load

## Transmission Loss

The loss that occurs while transmission through the transmission line is termed as Transmission loss, which is represented as

$$\text{Transmission loss (dB)} = 10 \log_{10} \frac{E_i}{E_t}$$

Where

- $E_i$  = the input energy
- $E_t$  = the transmitted energy

## Return Loss

The measure of the power reflected by the transmission line is termed as Return loss, which is represented as

$$\text{Return loss (dB)} = 10 \log_{10} \frac{E_i}{E_r}$$

Where

- $E_i$  = the input energy
- $E_r$  = the reflected energy

## Insertion Loss

The loss that occurs due to the energy transfer using a transmission line compared to energy transfer without a transmission line is termed as Insertion loss, which is represented as

$$\text{Insertion loss (dB)} = 10 \log_{10} \frac{E_1}{E_2}$$

Where

- $E_1$  = the energy received by the load when directly connected to the source, without a transmission line.
- $E_2$  = the energy received by the load when the transmission line is connected between the load and the source.

## Stub Matching

If the load impedance mismatches the source impedance, a method called "Stub Matching" is sometimes used to achieve matching.

The process of connecting the sections of open or short circuit lines called **stubs** in the shunt with the main line at some point or points, can be termed as **Stub Matching**.

At higher microwave frequencies, basically two stub matching techniques are employed.

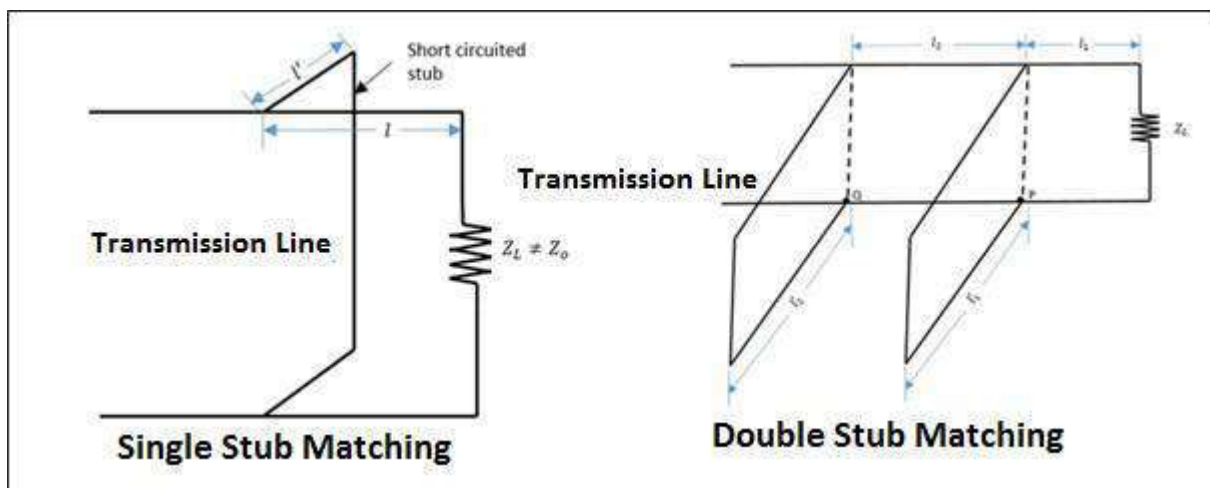
### Single Stub Matching

In Single stub matching, a stub of certain fixed length is placed at some distance from the load. It is used only for a fixed frequency, because for any change in frequency, the location of the stub has to be changed, which is not done. This method is not suitable for coaxial lines.

### Double Stub Matching

In double stub matching, two stubs of variable length are fixed at certain positions. As the load changes, only the lengths of the stubs are adjusted to achieve matching. This is widely used in laboratory practice as a single frequency matching device.

The following figures show how the stub matchings look.



The single stub matching and double stub matching, as shown in the above figures, are done in the transmission lines to achieve impedance matching.



**UNIT-3**  
**TELEVISION ENGINEERING**

### ASPECT RATIO:

The ratio between width to height of rectangle picture frame adopted in TV system is known as aspect ratio.

$$\text{Aspect ratio} = \text{Width} / \text{height} = 4/3 \text{ or } 4:3$$

Reasons for having this ratio is

1. Most of the objects are moving only in horizontal plane.
2. Our eye can see the movement of object comfortably only in horizontal plane than in vertical plane.
3. The frame size of motion picture already existing is having the aspect ratio of 4 : 3

### FLICKER:

The sensation produced by incident light on the nerves of the eyes retina does not cease immediately. It persists for about 1/25th of a second (.062 Sec.) This storage characteristic is called as persistence of vision of eye.

Flicker means if the scanning rate of picture is low, the time taken to move one frame to another frame will be high. This results in alternate bright and dark picture in the screen. This is called "Flicker".

To avoid flicker, the scanning rate of the picture should be increased i.e. 50 frames/Sec

### HORIZONTAL AND VERTICAL RESOLUTION :

The ability of the image reproducing system to resolve the fine details of the picture distinctly in both horizontal and vertical direction is called as "resolution".

• **VERTICAL RESOLUTION:** The ability to resolve and reproduce fine details of picture in vertical direction is called as Vertical resolution.

$$\text{Vertical resolution (VR)} = \text{No. of active lines} * \text{Kell factor or resolution factor}$$

#### • HORIZONTAL RESOLUTION :

\_\_The ability of the system to resolve maximum number of picture elements along the scanning determines the horizontal resolution

$$\text{Horizontal resolution} = \text{VR} * \text{Aspect ratio}$$

### VIDEO BANDWIDTH :

$$\text{Video Bandwidth signal} = \frac{\text{One horizontal line}}{\text{One horizontal line tracing}}$$

$$= \frac{267}{52 * 10^{-6}} = 5 \text{ MHz}$$

$$\text{Video Bandwidth} = \frac{\text{Horizontal Resolution}}{2 * \text{One Horizontal line scan}} = \frac{534}{2 * 52 * 10^{-6}} = 5 \text{ MHz}$$

### SCANNING:

Scanning is the process used to convert the optical into electrical signal. Fastest movement of electron beam on the image is called scanning.

### INTERLACED SCANNING:

To reduce flicker, the vertical scanning is done 50 times per second in TV system. However only 25 frames are scanned per sec.

In interlaced scanning the 625 lines are grouped into two fields. They are called as even field and odd field. Each field contains 312.5 lines. Even field contains even numbered lines and odd field contains odd numbered lines.

During first scanning line numbers 1, 3, 5 are scanned. During next scan, line numbers 2, 4, 6.... are scanned. That is alternate lines are scanned every time. So to cover each frame, scanning is done two times. Here the vertical rate of scanning is increased twice. So it will reduce flicker.

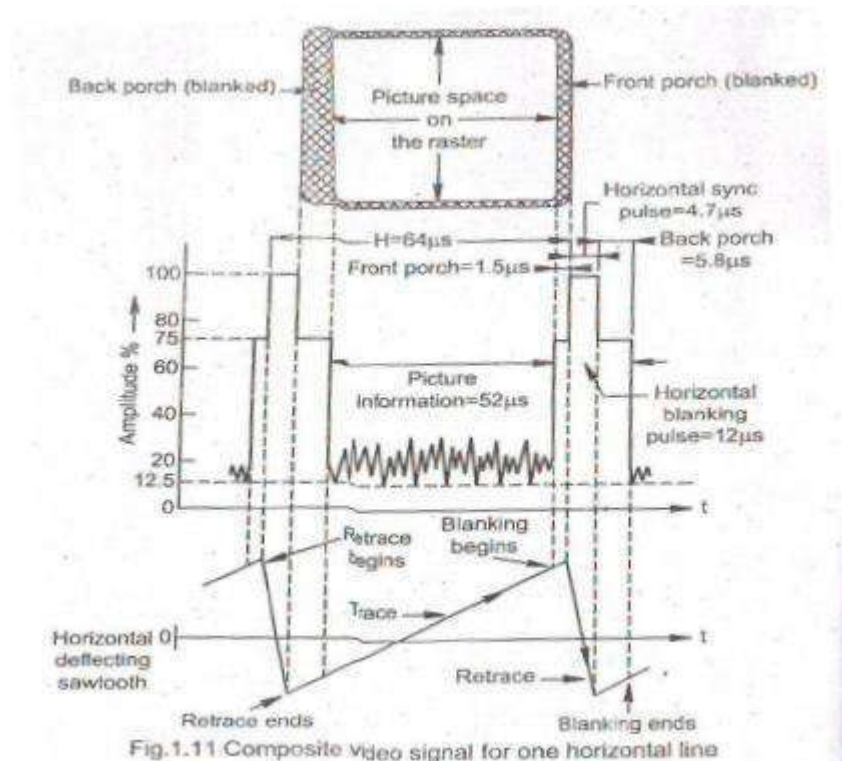
Interlaced scanning is shown. Now the vertical frequency is 50 Hz. But there is no change in horizontal frequency.

$$\begin{aligned}\text{Horizontal frequency} &= \text{Number of lines in a Frame} * \text{Number of frames/sec} \\ &= 312.5 * 50 = 15,625 \text{ Hz}\end{aligned}$$

### COMPOSITE VIDEO SIGNAL (CVS):

CVS consists of,

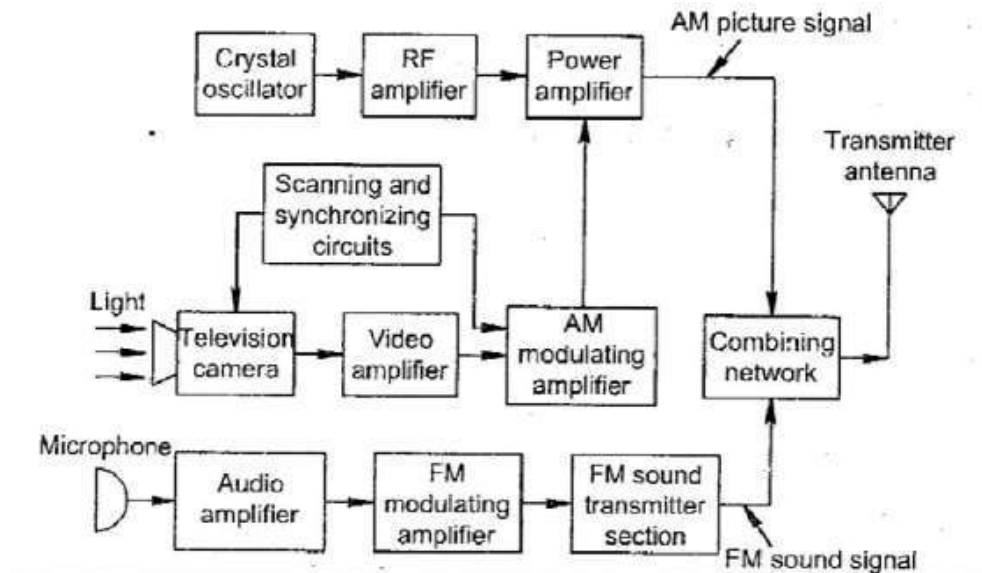
- Camera signal corresponding to the picture to be transmitted.
- Blanking pulses to made the retrace invisible.
- Sync pulse to synchronize the transmitter and receiver.



## TV Transmitter – Block diagram & function of each block

Television means Tele + Vision, i.e., Television is used to see the picture telecast from long distance. In TV transmission both picture and sound are transmitted. For picture AM Modulation is used and for sound FM modulation is used.

The simplified block diagram of a Monochrome TV Transmitter is shown.



It consists of Television Camera, Video amplifier, AM Modulating amplifier, Audio amplifier, FM Modulating amplifier, FM sound transmitter, Crystal oscillator, RF amplifier, Power amplifier, Scanning and Synchronizing Circuits, Combining network, Transmitting antenna and Microphone

### □ TELEVISION CAMERA:

Its function is to convert optical image of television scene into electrical signal by the scanning process.

#### • VIDEO AMPLIFIER:

Video amplifier amplifies the video signal.

#### • AM MODULATING AMPLIFIER

The video signals are amplified by the modulating amplifier to get the modulated signal.

#### • AUDIO AMPLIFIER

Audio amplifier amplifies the electrical form of audio signal from the microphone.

#### • FM MODULATING AMPLIFIER:

Sound signal from audio amplifier is frequency modulated by FM Modulating amplifier.

### □ FM SOUND TRANSMITTER:

FM modulated amplified signal is transmitted through this FM sound transmitter to transmitting antenna through the combining network.

#### • CRYSTAL OSCILLATOR:

Crystal Oscillator generates the allotted picture carrier frequency.

#### • RF AMPLIFIER:

RF amplifier amplifies the picture carrier frequency generated by crystal oscillator to required level.

#### • POWER AMPLIFIER:

Power amplifier varies according to the modulating signal from AM modulating amplifier.

### SCANNING AND SYNCHRONIZING CIRCUITS

Scanning is the process where picture elements are converted into corresponding varying electrical signal

### **COMBINING NETWORK**

Combining network is used to isolate the AM picture and FM sound signal during transmission.

### **TRANSMITTING ANTENNA:**

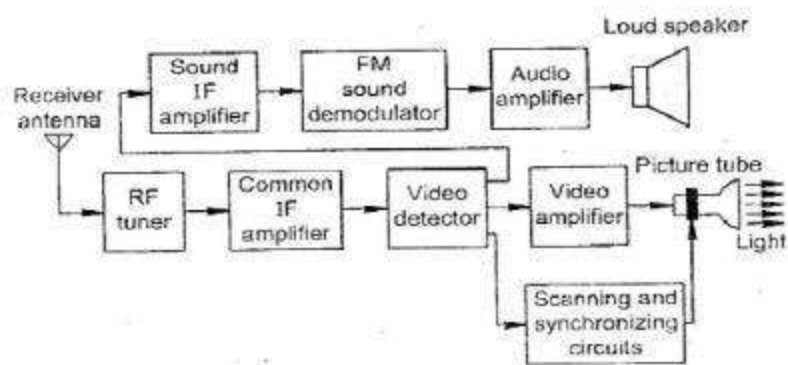
Transmitting antenna receives the AM picture signal and FM sound signal from combining network for radiation as electromagnetic waves.

### **MICROPHONE:**

Converts sound associated with picture being televised into proportionate electrical signal

## **Monochrome TV Receiver -Block diagram & function of each block.**

### **RECEPTION BASIC MONOCHROME TV**



### **RECEIVER**

Block diagram of a monochrome TV receiver is shown. It consists of RF Tuner, Receiver antenna, common IF amplifier, video detector, video amplifier, scanning and synchronizing circuits, sound IF amplifier, FM Sound demodulator, Audio amplifier, Loud Speaker, Picture tube.

### **RF TUNER:**

RF Tuner selects the desired channel frequency band from the receiving antenna.

### **RECEIVER ANTENNA:**

Receiver antenna intercepts the radiated RF signals and sends it to RF Tuner.

### **COMMON IF AMPLIFIER:**

There are 2 or 3 stages of IF amplifiers.

### **VIDEO DETECTOR:**

Used to detect video signals coming from last stage of IF amplifiers.

### **VIDEO AMPLIFIER:**

It amplifies the detected video signal to the level required.

### **SCANNING AND SYNCHRONIZING CIRCUITS:**

Scanning is the process where picture elements are converted into corresponding varying electrical signals.

### **SOUND IF AMPLIFIER:**

Detected audio signal is separated and selected for its IF range and amplified.

### **FM SOUND DEMODULATOR:**

FM Sound signal is demodulated in this stage.

### **AUDIO AMPLIFIER:**

FM demodulated audio signal is amplified to the required level to feed into the loud speaker.

### **LOUD SPEAKER:**

Loud Speaker converts FM demodulated amplifier signal associated with picture being televised into proportionate sound signal.

**PICTURE TUBE:**

In picture tube the amplified video signal is converted back into picture elements.

**SCANNING:**

Scanning is the process used to convert the optical into electrical signal. Fastest movement of electron beam on the image is called scanning

**NEED FOR SYNCHRONIZATION:**

At any time the same co-ordinate will be scanned by the electron beam in both the camera tube and picture tube. Otherwise distorted picture will be seen on the screen. So synchronization between the transmitter and receiver is needed. For that we are using Sync pulses.

At the receiver side these pulses are identified, separated and used for triggering the oscillator circuit.

Horizontal Sync pulse time period =  $4.7 \mu\text{Sec}$ .

Horizontal Sync pulse Frequency = 15,625 Hz. Vertical Sync pulse time period =  $160 \mu\text{Sec}$ .

Vertical Sync pulse frequency = 50 Hz.

**Color TV signals (Luminance Signal & Chrominance Signal) :****COLOUR TV FUNDAMENTALS:**

In system we are sending only the luminance information. But in color system we have to send information about the colors also. All color TV system are based on the principle of our eye. Here wavelength unit is Angstrom. Visible spectrum –  $4000 \text{ \AA}$  to  $7000 \text{ \AA}$ .

$$1 \text{ \AA} = 10^{-10} \text{ m} \quad 1 \text{ nm} = 10 \text{ \AA}$$

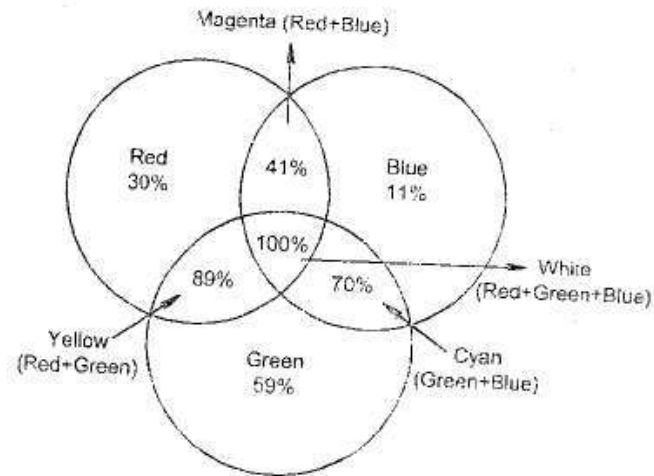
The three basic colors are called as primary colors. They are Red, Green and Blue. To get different color shading we have to mix primary colors. We have two types of mixing

1. Additive Mixing
2. Subtractive Mixing.

**ADDITIVE MIXING:**

In this method two or three primary colors are mixed together to form a new color. By mixing primary colors with different intensities we can obtain all types of colors.

Fig shows the method of additive mixing. By mixing 30% Red, 59% Green and 11% blue we can get white color



$$Y = 0\% + 59\% + 11\%B$$

$$\text{Red} + \text{Blue} = \text{Magenta (41\%)}$$

$$\text{Blue} + \text{Green} = \text{Cyan (70\%)}$$

$$\text{Red} + \text{Green} = \text{yellow (89\%)}$$

### COMPLEMENTARY COLOUR:

Color obtained by mixing Complementary only two primary colors is called as complementary colors. Primary

Red + Green = Yellow  
 Red + Blue = Magenta  
 Blue + Green = Cyan

### SUBTRACTIVE MIXING. :

In Subtractive mixing, the reflecting properties of color pigments are used. A color pigment can absorb all the color wavelength except its characteristic color wavelength. Its characteristic color frequency alone is reflected. If we are mixing two or three color pigments, then a color wavelength common to them only reflected. This method of mixing is generally used in color printing and color painting. By mixing primary colors, black color is got.

Different colors are obtained by subtracting primary and secondary colors from white. So this is called as subtractive mixing.

### LUMINANCE, HUE AND SATURATION:

All the colors are having the following three characteristics. 1. Hue, 2. Saturation, 3. Luminance.

#### • LUMINANCE:

It is the amount of light intensity as perceived by the eye regardless of the color. It is also called as brightness signal, y signal, and white signal.

#### • HUE (TINT)

It is the predominant spectral color. For example, green leaf has a green hue and red apple has red hue.

#### • SATURATION:

It will indicate the spectral purity of color. i.e., it will indicate how much white mixed with a particular color.

### CHROMINANCE:

Hue and Saturation together are called as chrominance or chroma signal.

### FORMATION OF CHROMINANCE SIGNAL IN PAL SYSTEM WITH WEIGHTING FACTOR:

PAL system u or v signals.

$$U = .44 B - .29G .15 R$$

$$V = .61R - .52G - .1B$$

Es: Yellow color,

$$y = R + G$$

$$U = -.29 + (-.15)$$

$$= -.44$$

$$V = .61 + (-.52) = .09$$

Yellow color chrominance signal

$$C = \sqrt{u^2 + v^2}$$

$$= \sqrt{(-.44)^2 + (.09)^2}$$

$$C = \pm .44$$

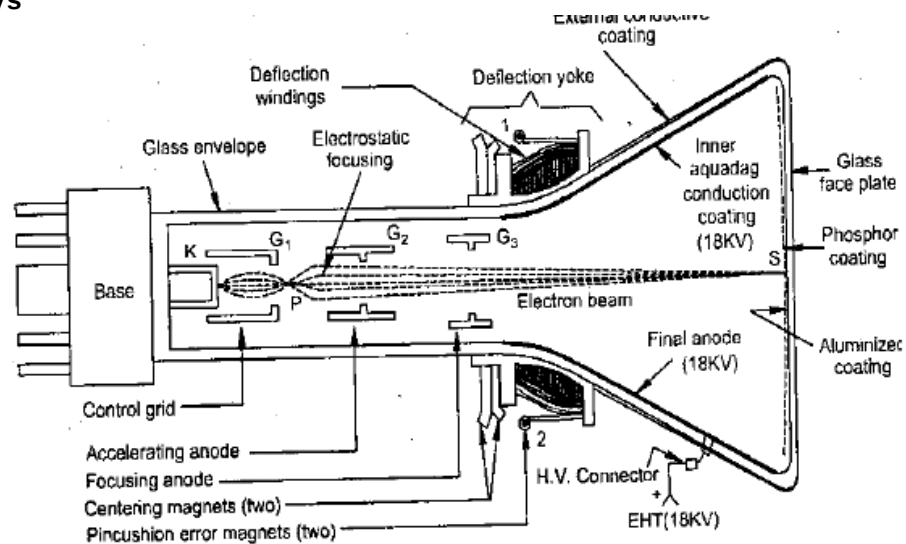
Yellow color y signal value,

$$y = R + G$$

$$= .3 + .59 = .89$$

## Types of Televisions by Technology-

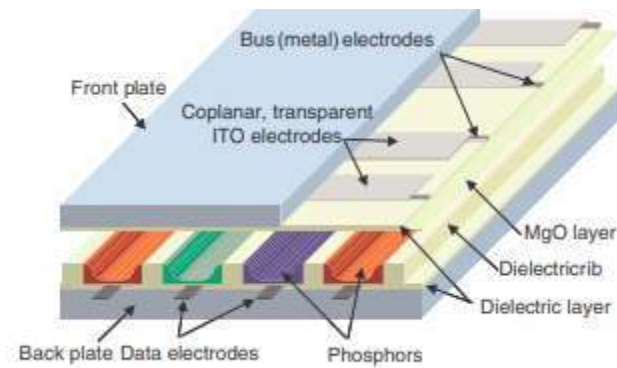
### cathode-ray tube TVs



#### Mainparts :

- Electron gun
- Focusing anode
- Deflection Coils
- Final anode.
- Phosphor screen

### Plasma Display Panels:



## LIQUID CRYSTAL DISPLAY:

**What's Liquid Crystals (LC)** Intermediary substance between a liquid and solid state of matter. e.g. soapy water light passes through liquid crystal changes when it is stimulated by an electrical charge •

### **Introduction to Liquid Crystal Displays**

Consists of an array of tiny segments (called pixels) that can be manipulated to present information.

Using polarization of lights to display objects.

Use only ambient light to illuminate the display.

Common wristwatch and pocket calculator to an advanced VGA computer screen

Different types of LCDs

Passive Twisted Nematic Displays (TNLCD)

Super Twisted nematic LCD (STN LCD)

Thin Film Transistor LCD (TFT LCD)

Reflective LCD

Rear Projection LCD

## Operating Principle

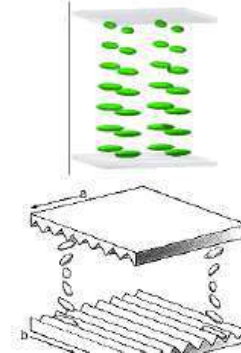
- The parallel arrangement of liquid crystal molecules along grooves
- When coming into contact with grooved surface in a fixed direction, liquid crystal molecules line up parallel along the grooves.



Molecules movement

*Offline (no voltage is applied)*

- Along the upper plate : Point in direction 'a'
- Along the lower plate : Point in direction 'b'
- Forcing the liquid crystals into a twisted structural arrangement. (Resultant force)

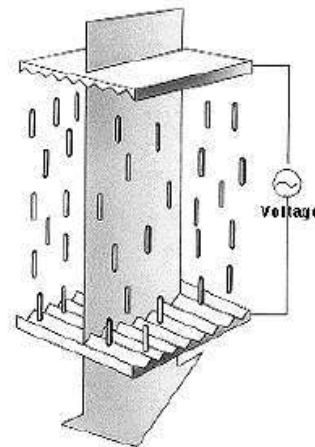


## Operating Principle

Molecules movement

*Online (voltage is applied)*

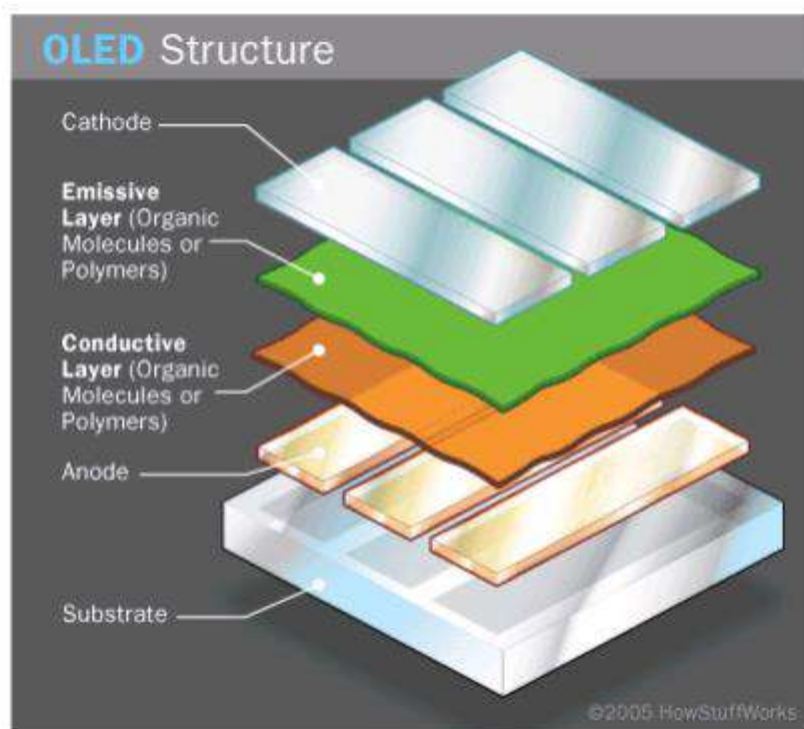
- Liquid crystal molecules straighten out of their helix pattern
- Molecules rearrange themselves vertically (Along with the electric field)
- No twisting throughout the movement
- Forcing the liquid crystals into a straight structural arrangement. (Electric force)



### Organic Light-Emitting Diode (OLED) Display :

An organic light-emitting diode (OLED) is a light-emitting diode (LED), in which the emissive electroluminescent layer is a film of organic compound that emits light in response to an electric current. OLED's are used to create digital displays in devices such as television screens, computer, portable systems such as mobile phones, handheld game consoles and PDAs. A major area of research is the development of white OLED devices for use in solid-state lighting applications. OLED display devices use organic carbon-based films, sandwiched together between two charged electrodes. One is a metallic cathode and the other a transparent anode, which is usually glass. OLED displays can use either passive-matrix (PMOLED) or active matrix (AMOLED) addressing schemes. Active-matrix OLEDs (AMOLED) require a thin film transistor backplane to switch each individual pixel on or off, but allow for higher resolution and larger display sizes. An OLED display works without a backlight; thus, it can display deep black levels and can be thinner and lighter than a liquid crystal display (LCD). In low ambient light conditions (such as a dark room), an OLED screen can achieve a higher contrast ratio than an LCD, regardless of whether the LCD uses cold cathode fluorescent lamps or an LED backlight.

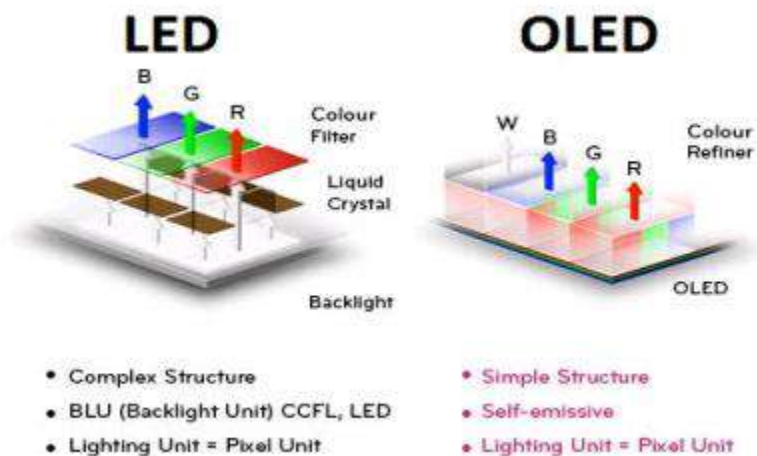
**Construction :** A typical OLED is composed of a layer of organic materials situated between two electrodes, the anode and cathode, all deposited on a substrate. The organic molecules are electrically conductive as a result of delocalization of pi electrons caused by conjugation over part or the entire molecule. These materials have conductivity levels ranging from insulators to conductors, and are therefore considered organic semiconductors. The highest occupied and lowest unoccupied molecular orbitals (HOMO and LUMO) of organic semiconductors are analogous to the valence and conduction bands of inorganic semiconductors.



**Figure 1:** Basic OLED structure

**Comparison Between LED and OLED:** Although OLED name has been heard much more recently, it is not a new terminology in the technology world. In the beginning of 2000s, we used them in mobile phone screens. However LED took place much more in daily life afterwards. Technically, OLEDs emit light but LEDs diffuse or reflect and this seems the main difference between these two light sources. What is

LED? : Light-emitting diode is one of the widely used and known light sources these days. But its history is about a solid state device that makes light with the help of electrons through a semi-conductor. Also this type is smaller than some other sources such as compact fluorescent and incandescent light bulbs. However it provides higher brightness than its rivals. Although it has some advantages in this area, it is not enough to be used as a pixel of the television just because of its size. Therefore it became popular in lighting industry. What is OLED? : Organic light-emitting diode includes some organic compounds that light when electricity supplied. There is not much difference about architecture between LED and OLED but being thin, small and flexible are the main advantages of OLEDs. Also each pixel on OLED televisions works individually. As can be seen from the definitions of LED and OLED, they have some differences and these strongly affect the quality of the end product. For instance, backlight is used to illuminate their pixels in LED but pixels produce their own light in OLED. OLED's pixels called emissive. Therefore OLEDs provide the flexibility of brightness control through pixel by pixel changes. Tests of a LED display in dark conditions show that parts of an image are not perfectly black because backlight is showed through. The great advantage for LEDs looks about economy since its production costs are much cheaper. However after OLED market is developed, it is predicted that the difference will be made up. Production of an OLED is easier and it is possible to produce it in larger sizes. Its content plays the main role for this because plastic is a suitable material for this but it is harder to do it with liquid crystals.



### Quantum Light-Emitting Diode (QLED ):

Quantum dot light-emitting diode (QLED) attracted much attention for the next generation of display due to its advantages in high color saturation, tunable color emission, and high stability. Compared with traditional LED display, QLED display has advantages in flexible and robust application, which makes wearable and stretchable display possible in the future. In addition, QLED display is a self-emissive display, in which light is generated by individual sub pixel, each sub pixel can be individually controlled. Each sub pixel in LED display is constituted by liquid crystal and color filter, which make LED display have lower power efficiency and less enhanced functionality. This chapter introduces the QLED based on the QLED structure and light-emitting mechanism of QLED. Then, a novel method for fabricating QLEDs, which is based on the ZnO nano particles (NPs) incorporated into QD nano particles, will be introduced. The QLED device was fabricated by all-solution processes, which make the QLED fabrication process more flexible and more suitable for industrialization. What is more, as QLED devices were planned to integrate into a display, all-solution fabrication processes also make printing QLED display device possible in the near future.

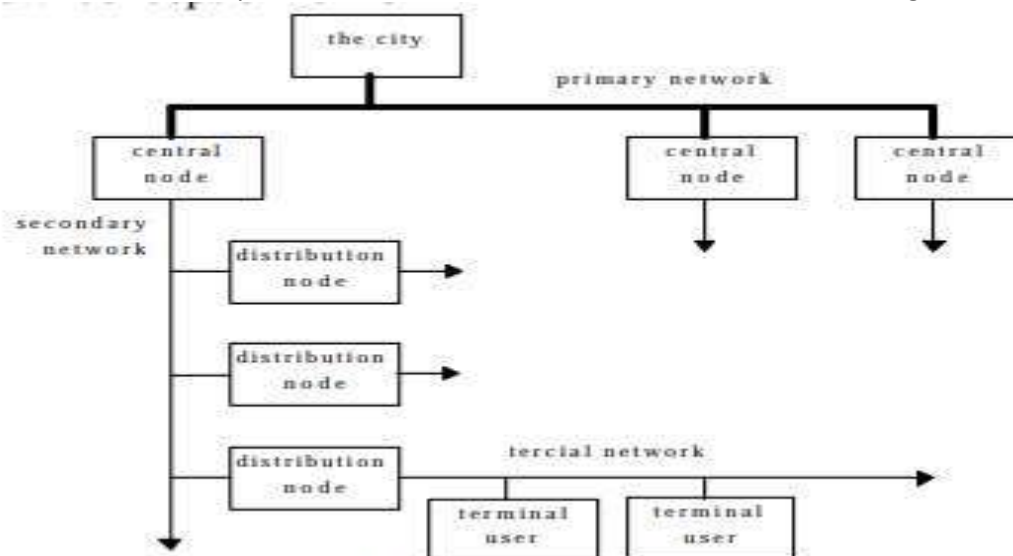
**Light emission mechanism of QLED** The emission mechanism of QLED is discussed in this subsection. A QLED has a similar structure and behavior as an OLED. In the QLED, the emitter is a semiconductor nano particle, while in the OLED, the emitter is an organic material.

### **CATV systems & Types & networks :**

Cable television is a technical system for distribution of television by cable (coaxial, twisted pair or fiber optic) with potential for largest bandwidth and integrated return channel for interactive services. With the introduction of new technology, the CATV networks will have more active components than at present. Access of an end-user to services in the CATV network is realised by the help of access network. Access network must be enhanced to carry various multimedia services. There are several options to introduce fiber in the access network, to the cabinet/curb FTTCa/C with a last copper drop based on very high rate DSL on one hand and fibre to the building/fibre to the home FTTH/H on the other. FTTCa/FTTH avoids the installation cost of fibre to the customer premises, but introduces a high exploitation cost, since network operator personnel will have to travel to the cabinet or curb unit for every alteration or maintenance action. Moreover, the process by which the operator becomes entitled to site a cabinet or curb unit in suitable position is complex, and powering will require a large investment. Because of these reasons it is assumed here that network operators will make the strategic choice of introducing fibre directly to the customer premises with FTTH/H. Residential broadband access network technology based on Asynchronous Transfer Mode (ATM) will soon reach commercial availability. The capabilities provided by ATM access network promise integrated services bandwidth available in excess of those provided by traditional networks. Other services such as desktop video teleconferencing and enhanced server-based application support can be added as part of future evolution of the network.

#### **THE STRUCTURE OF THE INTERACTIVE NETWORK:**

At present, the great importance of CATV is in the best transferring of information, mainly in association with satellite transmission of TV and R signals. It indicates that possibilities of CATV are much bigger and they reach to other spheres. Therefore, it is necessary to come nearer CATV from another point of view. This point of view is the information approach, and new conception of CATV doesn't deal with system, which transfers the TV and R signals, but with the system transferring whatever information to arbitrary direction. By this new approach, primary sense of CATV fades and the network becomes the universal data network, where it is possible to create and realise almost arbitrary services. In interactive CATV, there are three levels, similarly as in distribution network. Tree structure is shown in figure 1:



*Figure 1 - Structure of interactive network*

In a city or another larger region, there is a set of mutually connected central nodes, which create the primary network. Each of them serves respective part of the city e.g. and habitation, a street or likewise. Interactive CATVs can be independent networks, which exist simultaneously with other ones. But, it is economically better and efficiently when the primary network of CATV is an access network of certain great national network (WAN, MAN, B-ISDN etc...). Communication in the primary network must be enough wideband and of larger distance than in primary network of distribution CATV. Therefore, there is directly offered using of optical fibres with standard transfer rates here, e.g. 155Mb/s, 622Mb/s or much.

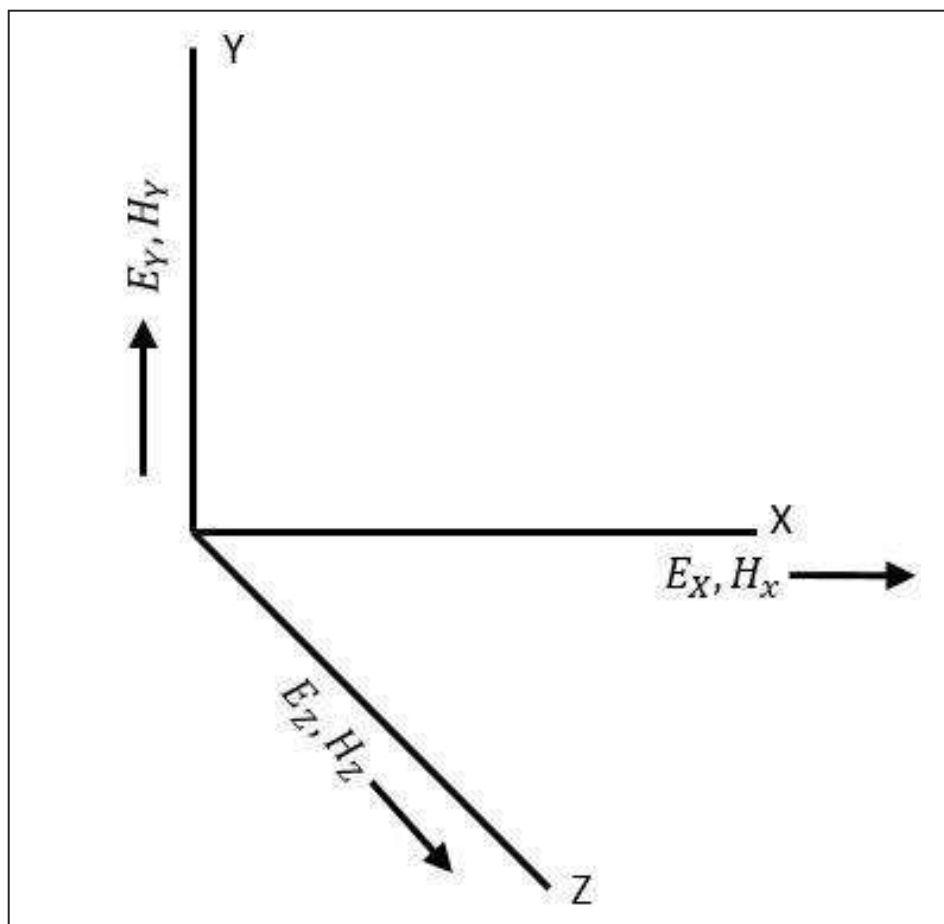
2. The central node is connected to set of distribution nodes and so it is created the secondary network in star form. Each of distribution nodes covers set of flats or offices in small area, e.g. in multi-storey building. Wideband connection through optical fibres uses standard transfer rates, e.g. 155Mb/s, 622Mb/s or much. Physical layer is the same as in primary network.

3. Distribution node creates its own tercial network in star form, which connects user's terminal devices. The transfer medium is coax cable, therefore for this subnetwork is possible use the existing lines which were created for distribution CATVs. Tercial network is possible alternative to present LAN with transfer rate 10Mb/s. One connection in tercial network contain several Ethernet channels. So, the connection of terminal user is indeed wideband.

# Modes of Propagation

A wave has both electric and magnetic fields. All transverse components of electric and magnetic fields are determined from the axial components of electric and magnetic field, in the **z** direction. This allows mode formations, such as TE, TM, TEM and Hybrid in microwaves. Let us have a look at the types of modes.

The direction of the electric and the magnetic field components along three mutually perpendicular directions x, y, and z are as shown in the following figure.



## Types of Modes

---

The modes of propagation of microwaves are -

### TEM (Transverse Electromagnetic Wave)

In this mode, both the electric and magnetic fields are purely transverse to the direction of propagation. There are no components in '**z**' direction.  $E_z = 0$  and  $H_z = 0$

**TE (Transverse Electric Wave)**

In this mode, the electric field is purely transverse to the direction of propagation, whereas the magnetic field is not.

$$E_z = 0 \text{ and } H_z \neq 0$$

**TM (Transverse Magnetic Wave)**

In this mode, the magnetic field is purely transverse to the direction of propagation, whereas the electric field is not.

$$E_z \neq 0 \text{ and } H_z = 0$$

**HE (Hybrid Wave)**

In this mode, neither the electric nor the magnetic field is purely transverse to the direction of propagation.

$$E_z \neq 0 \text{ and } H_z \neq 0$$

Multi conductor lines normally support TEM mode of propagation, as the theory of transmission lines is applicable to only those system of conductors that have a go and return path, i.e., those which can support a TEM wave.

Waveguides are single conductor lines that allow TE and TM modes but not TEM mode. Open conductor guides support Hybrid waves. The types of transmission lines are discussed in the next chapter.

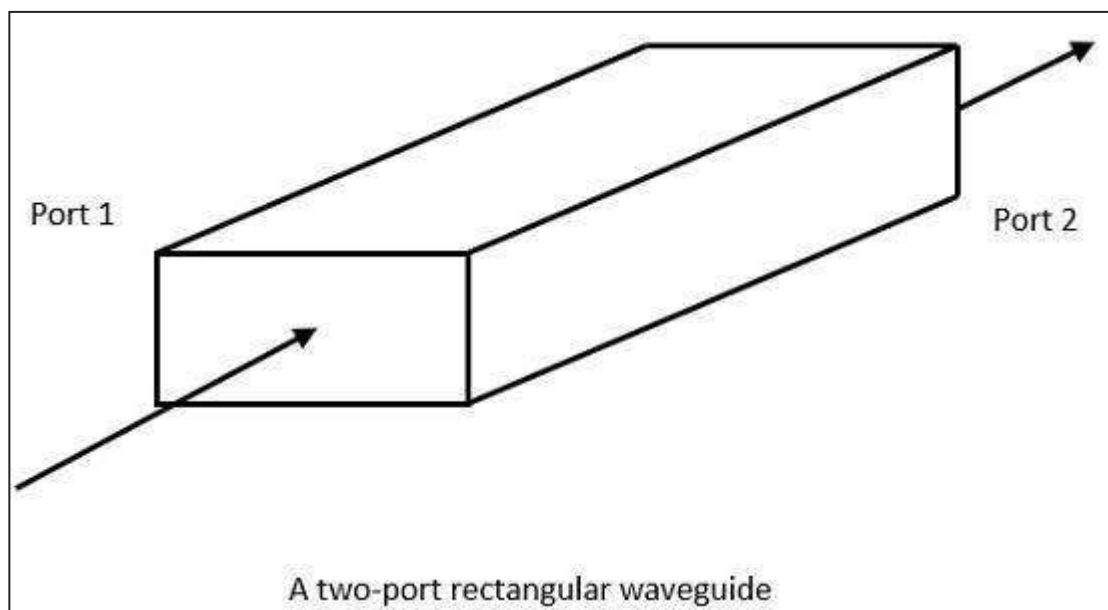
# Microwaves – Waveguides

Generally, if the frequency of a signal or a particular band of signals is high, the bandwidth utilization is high as the signal provides more space for other signals to get accumulated. However, high frequency signals can't travel longer distances without getting attenuated. We have studied that transmission lines help the signals to travel longer distances.

Microwaves propagate through microwave circuits, components and devices, which act as a part of Microwave transmission lines, broadly called as Waveguides.

A hollow metallic tube of uniform cross-section for transmitting electromagnetic waves by successive reflections from the inner walls of the tube is called as a **Waveguide**.

The following figure shows an example of a waveguide.



A waveguide is generally preferred in microwave communications. Waveguide is a special form of transmission line, which is a hollow metal tube. Unlike a transmission line, a waveguide has no center conductor.

The main characteristics of a Waveguide are -

- The tube wall provides distributed inductance.
- The empty space between the tube walls provide distributed capacitance.
- These are bulky and expensive.

## Advantages of Waveguides

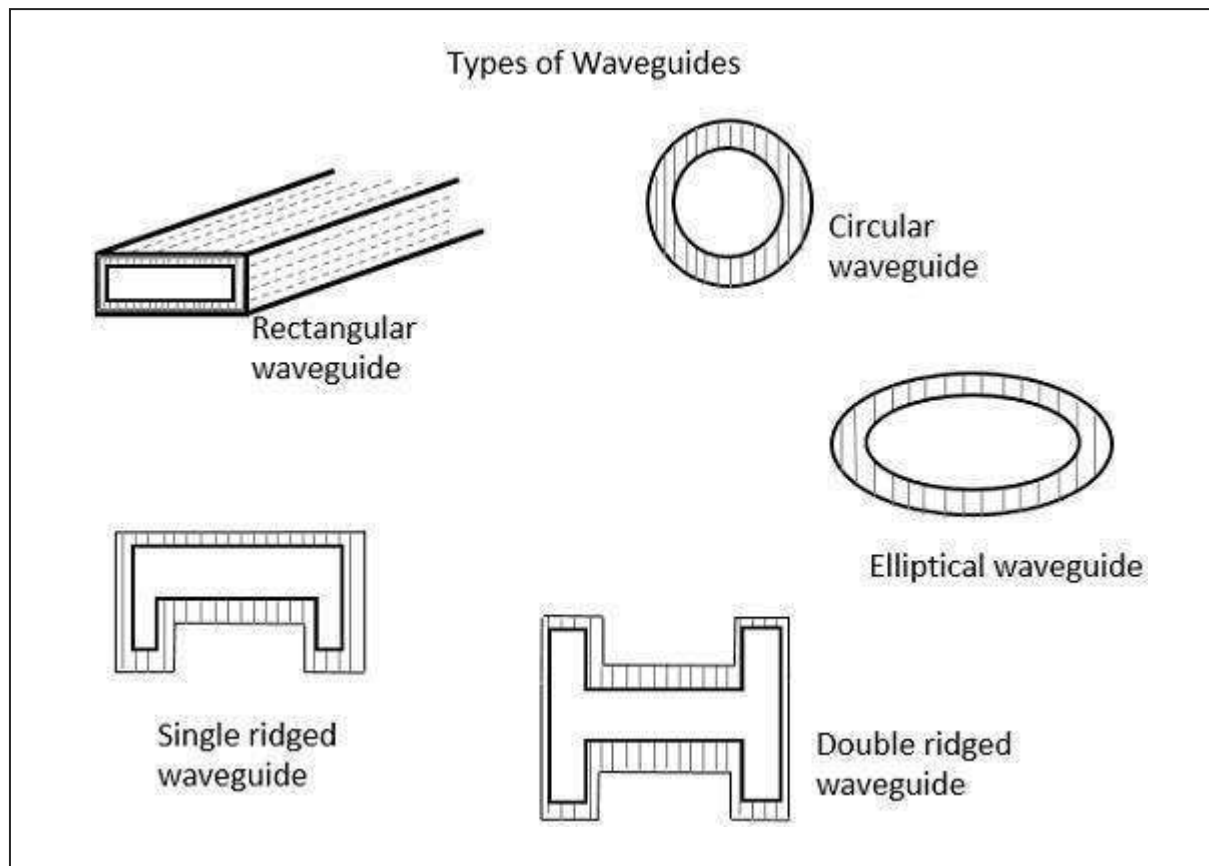
- Easy to manufacture
- They can handle very large power (in kilo watts).
- Power loss is very negligible in waveguides.
- They offer very low loss (low value of alpha-attenuation).
- When microwave energy travels through waveguide, it experiences lower losses than a coaxial cable.

## **Types of Waveguides**

There are five types of waveguides.

- Rectangular waveguide
- Circular waveguide
- Elliptical waveguide
- Single-ridged waveguide
- Double-ridged waveguide

The following figures show the types of waveguides.



The types of waveguides shown above are hollow in the center and made up of copper walls. These have a thin lining of Au or Ag on the inner surface.

Let us now compare the transmission lines and waveguides.

## Transmission Lines Vs Waveguides

---

The main difference between a transmission line and a wave guide is -

A **two conductor structure** that can support a TEM wave is a transmission line.

A **one conductor structure** that can support a TE wave or a TM wave but not a TEM wave is called as a waveguide.

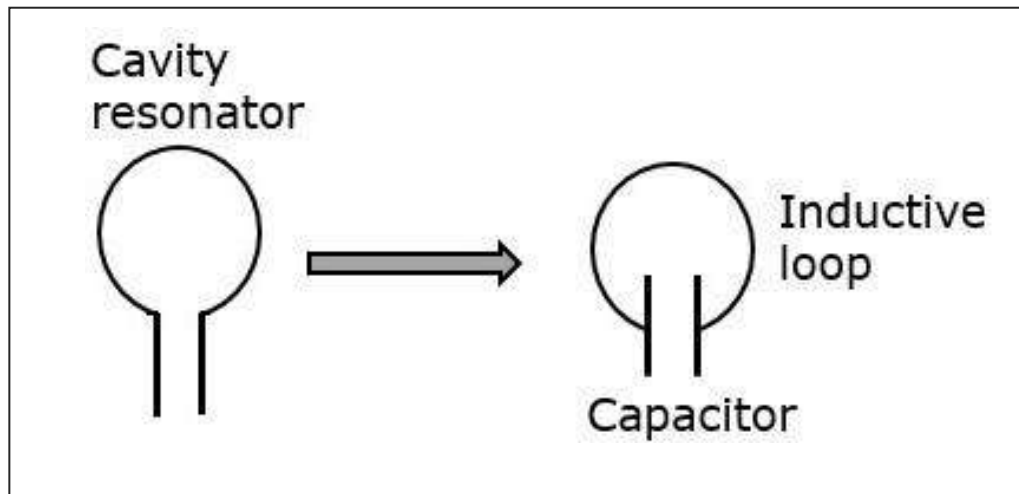
The following table brings out the differences between transmission lines and waveguides.

| Transmission Lines                                    | Waveguides                                                                    |
|-------------------------------------------------------|-------------------------------------------------------------------------------|
| Supports TEM wave                                     | Cannot support TEM wave                                                       |
| All frequencies can pass through                      | Only the frequencies that are greater than cut-off frequency can pass through |
| One conductor transmission                            | Two conductor transmission                                                    |
| Reflections are less                                  | Wave travels through reflections from the walls of waveguide                  |
| It has characteristic impedance                       | It has wave impedance                                                         |
| Propagation of waves is according to "Circuit theory" | Propagation of waves is according to "Field theory"                           |
| It has a return conductor to earth                    | Return conductor is not required as the body of the waveguide acts as earth   |
| Bandwidth is not limited                              | Bandwidth is limited                                                          |
| Waves do not disperse                                 | Waves get dispersed                                                           |

## Cavity Resonator

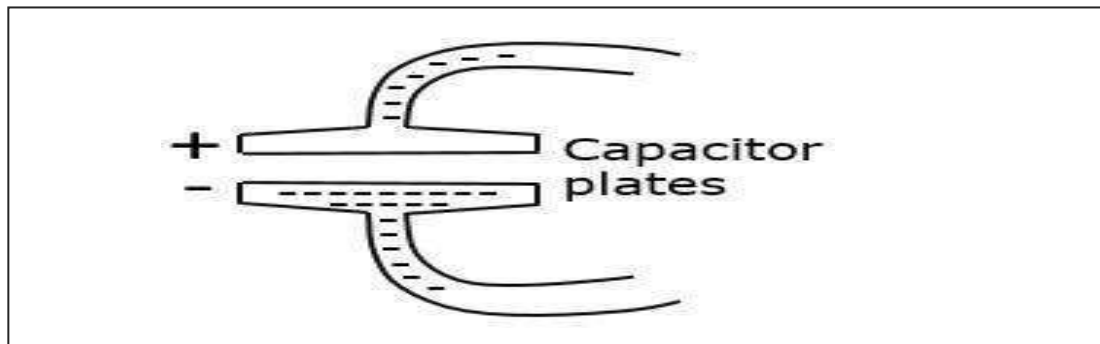
---

Let us try to understand the constructional details and the working of a cavity resonator. The following figure indicates the cavity resonator.



A simple resonant circuit which consists of a capacitor and an inductive loop can be compared with this cavity resonator. A conductor has free electrons. If a charge is applied to the capacitor to get it charged to a voltage of this polarity, many electrons are removed from the upper plate and introduced into the lower plate.

The plate that has more electron deposition will be the cathode and the plate which has lesser number of electrons becomes the anode. The following figure shows the charge deposition on the capacitor.



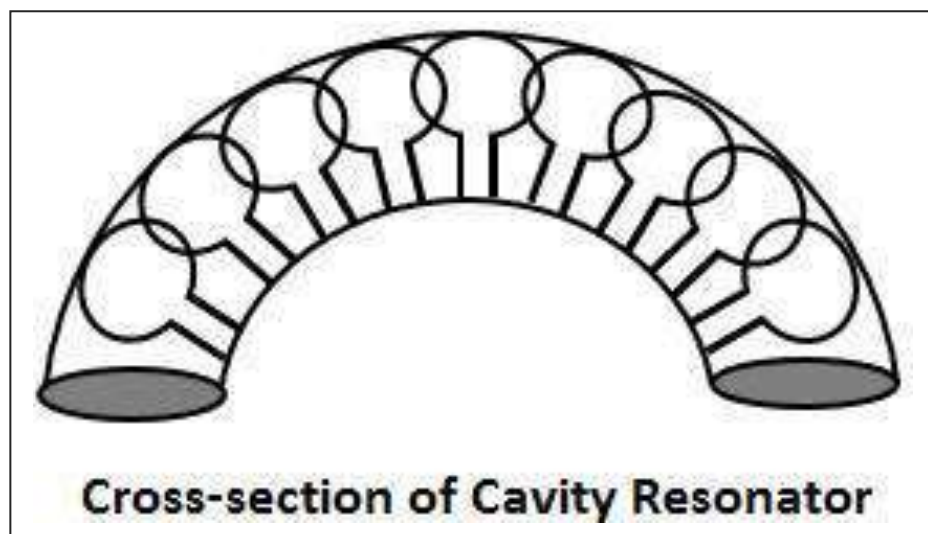
The electric field lines are directed from the positive charge towards the negative. If the capacitor is charged with reverse polarity, then the direction of the field is also reversed. The displacement of electrons in the tube, constitutes an alternating current. This alternating current gives rise to alternating magnetic field, which is out of phase with the electric field of the capacitor.

When the magnetic field is at its maximum strength, the electric field is zero and after a while, the electric field becomes maximum while the magnetic field is at zero. This exchange of strength happens for a cycle.

### **Closed Resonator**

The smaller the value of the capacitor and the inductivity of the loop, the higher will be the oscillation or the resonant frequency. As the inductance of the loop is very small, high frequency can be obtained.

To produce higher frequency signal, the inductance can be further reduced by placing more inductive loops in parallel as shown in the following figure. This results in the formation of a closed resonator having very high frequencies.

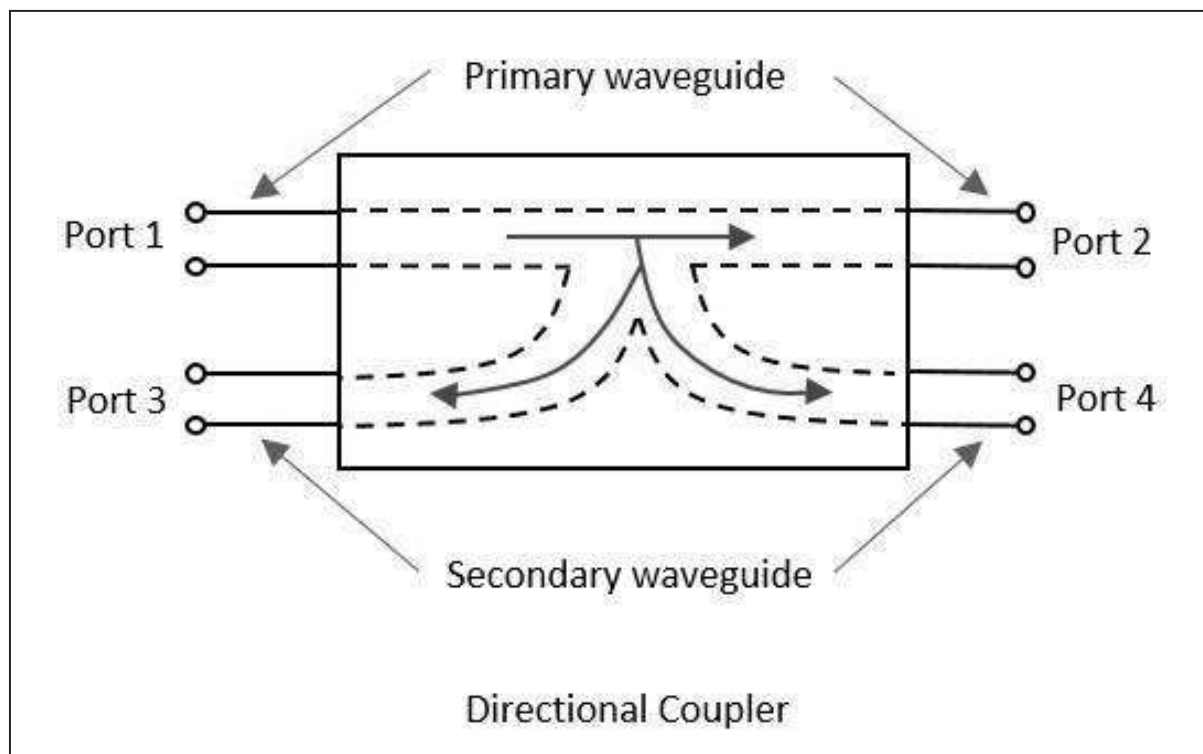


In a closed resonator, the electric and magnetic fields are confined to the interior of the cavity. The first resonator of the cavity is excited by the external signal to be amplified. This signal must have a frequency at which the cavity can resonate. The current in this coaxial cable sets up a magnetic field, by which an electric field originates

# Microwaves – Directional Couplers

A **Directional coupler** is a device that samples a small amount of Microwave power for measurement purposes. The power measurements include incident power, reflected power, VSWR values, etc.

Directional Coupler is a 4-port waveguide junction consisting of a primary main waveguide and a secondary auxiliary waveguide. The following figure shows the image of a directional coupler.



Directional coupler is used to couple the Microwave power which may be unidirectional or bi-directional.

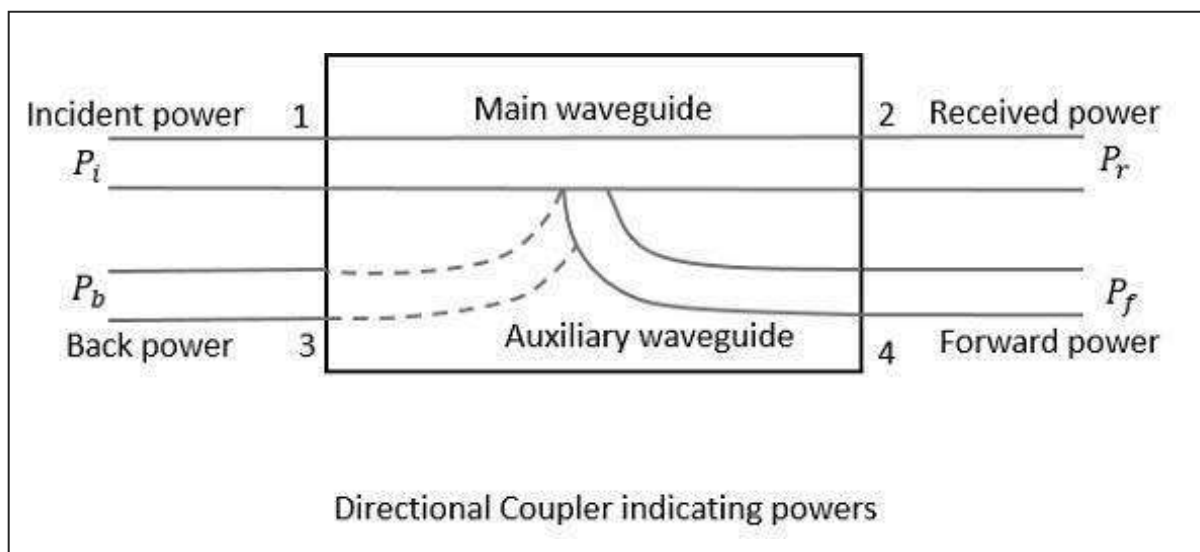
## Properties of Directional Couplers

The properties of an ideal directional coupler are as follows.

- All the terminations are matched to the ports.
- When the power travels from Port 1 to Port 2, some portion of it gets coupled to Port 4 but not to Port 3.
- As it is also a bi-directional coupler, when the power travels from Port 2 to Port 1, some portion of it gets coupled to Port 3 but not to Port 4.

- If the power is incident through Port 3, a portion of it is coupled to Port 2, but not to Port 1.
- If the power is incident through Port 4, a portion of it is coupled to Port 1, but not to Port 2.
- Port 1 and 3 are decoupled as are Port 2 and Port 4.

Ideally, the output of Port 3 should be zero. However, practically, a small amount of power called **back power** is observed at Port 3. The following figure indicates the power flow in a directional coupler.



Where

- $P_i$  = Incident power at Port 1
- $P_r$  = Received power at Port 2
- $P_f$  = Forward coupled power at Port 4
- $P_b$  = Back power at Port 3

Following are the parameters used to define the performance of a directional coupler.

### Coupling Factor (C)

The Coupling factor of a directional coupler is the ratio of incident power to the forward power, measured in dB.

$$C = 10 \log_{10} \frac{P_i}{P_f} \text{ dB}$$

### Directivity (D)

The Directivity of a directional coupler is the ratio of forward power to the back power, measured in dB.

$$D = 10 \log_{10} \frac{P_f}{P_b} \text{ dB}$$

**Isolation**

It defines the directive properties of a directional coupler. It is the ratio of incident power to the back power, measured in dB.

$$I = 10 \log_{10} \frac{P_i}{P_b} \text{ dB}$$

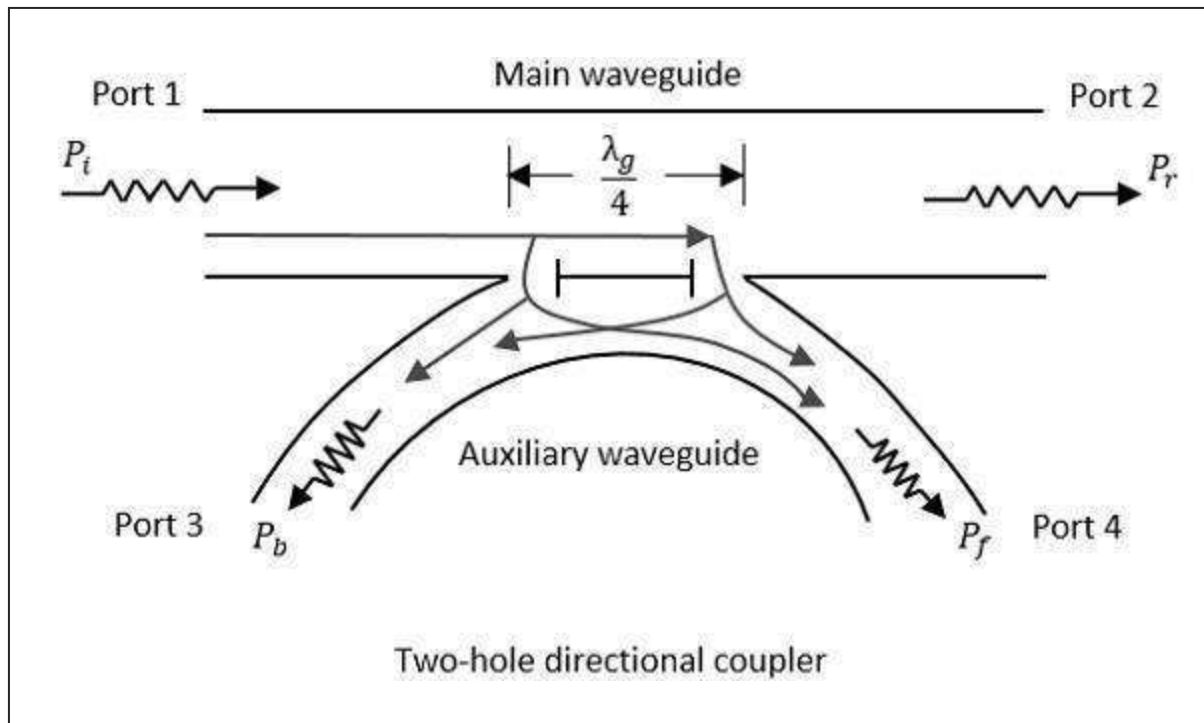
**Isolation in dB = Coupling factor + Directivity**

$$\text{Isolation in dB} = \text{Coupling factor} + \text{Directivity}$$

### Two-Hole Directional Coupler

This is a directional coupler with same main and auxiliary waveguides, but with two small

holes that are common between them. These holes are  $\frac{\lambda_g}{4}$  distance apart where  $\lambda_g$  is the guide wavelength. The following figure shows the image of a two-hole directional coupler.



A two-hole directional coupler is designed to meet the ideal requirement of directional coupler, which is to avoid back power. Some of the power while travelling between Port 1 and Port 2, escapes through the holes 1 and 2.

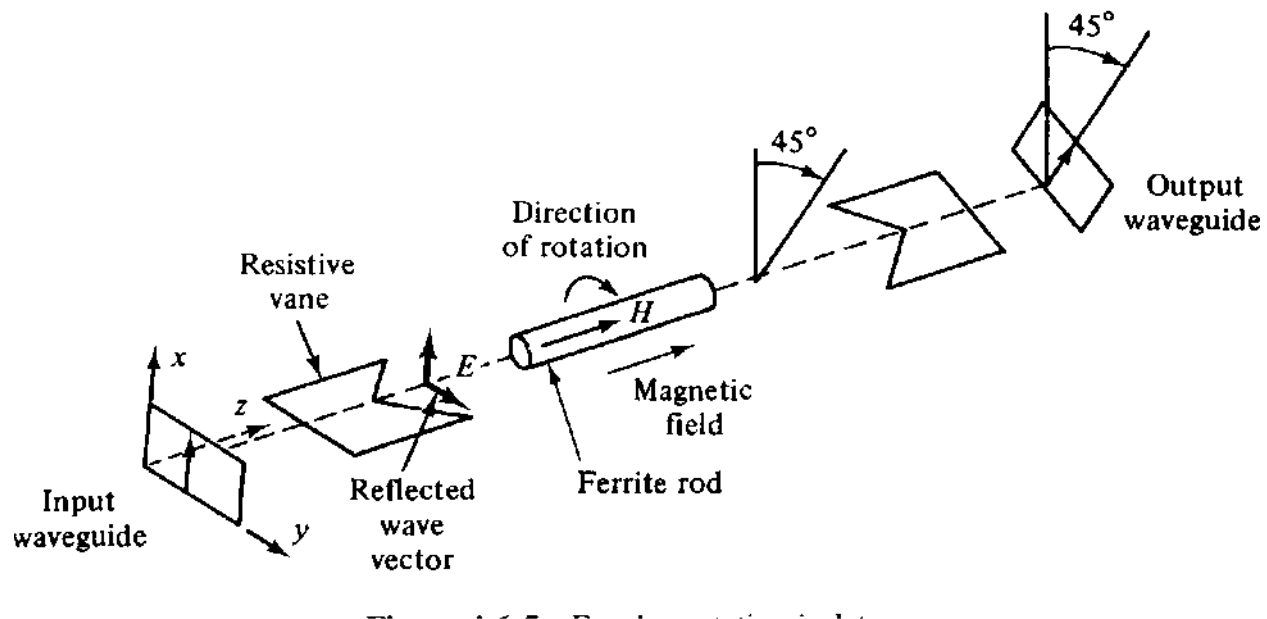
The magnitude of the power depends upon the dimensions of the holes. This leakage power at both the holes are in phase at hole 2, adding up the power contributing to the forward power  $P_f$ . However, it is out of phase at hole 1, cancelling each other and preventing the back power to occur.

Hence, the directivity of a directional coupler improves.

## MICROWAVE ISOLATORS:

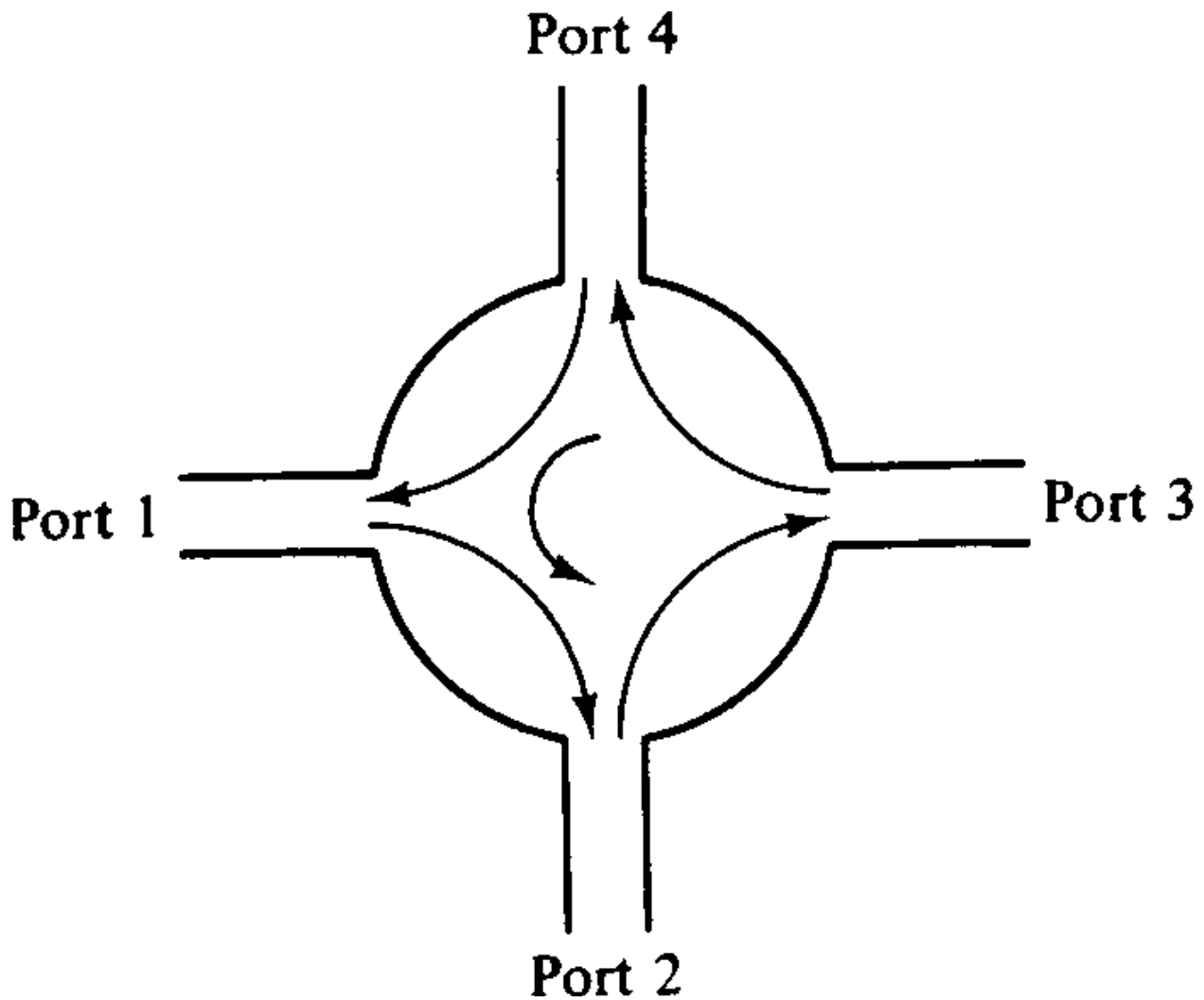
An *isolator* is a nonreciprocal transmission device that is used to isolate one component from reflections of other components in the transmission line. An ideal isolator completely absorbs the power for propagation in one direction and provides lossless transmission in the opposite direction. Thus the isolator is usually called *uniline*.

Isolators are generally used to improve the frequency stability of microwave generators, such as klystrons and magnetrons, in which the reflection from the load affects the generating frequency. In such cases, the isolator placed between the generator and load prevents the reflected power from the unmatched load from returning to the generator. As a result, the isolator maintains the frequency stability of the generator.



## MICROWAVE CIRCULATORS:

A *microwave circulator* is a multiport waveguide junction in which the wave can flow only from the  $n$ th port to the  $(n + 1)$ th port in one direction. Although there is no restriction on the number of ports, the four-port microwave circulator is the most common. One type of four-port microwave circulator is a combination of two 3-dB side hole directional couplers and a rectangular waveguide with two non reciprocal phase shifters.

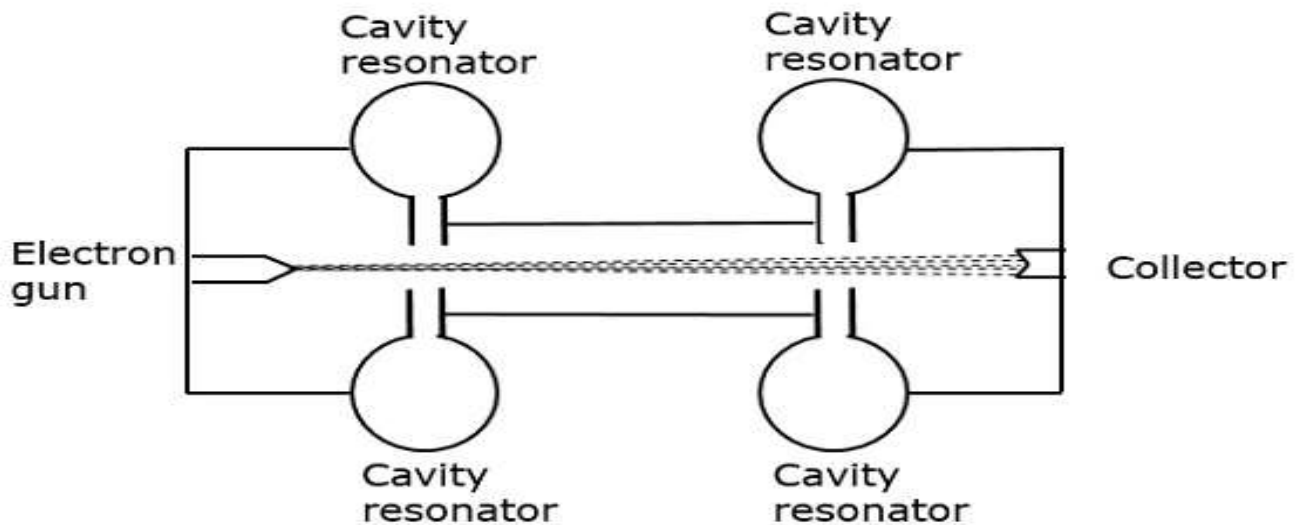


The symbol of a circulator.

**Microwave tubes-Principle of operational of two Cavity Klystron**

For the generation and amplification of Microwaves, there is a need of some special tubes called as **Microwave tubes**. Of them all, **Klystron** is an important one.

The essential elements of Klystron are electron beams and cavity resonators. Electron beams are produced from a source and the cavity klystrons are employed to amplify the signals. A collector is present at the end to collect the electrons. The whole set up is as shown in the following figure.



The electrons emitted by the cathode are accelerated towards the first resonator. The collector at the end is at the same potential as the resonator. Hence, usually the electrons have a constant speed in the gap between the cavity resonators.

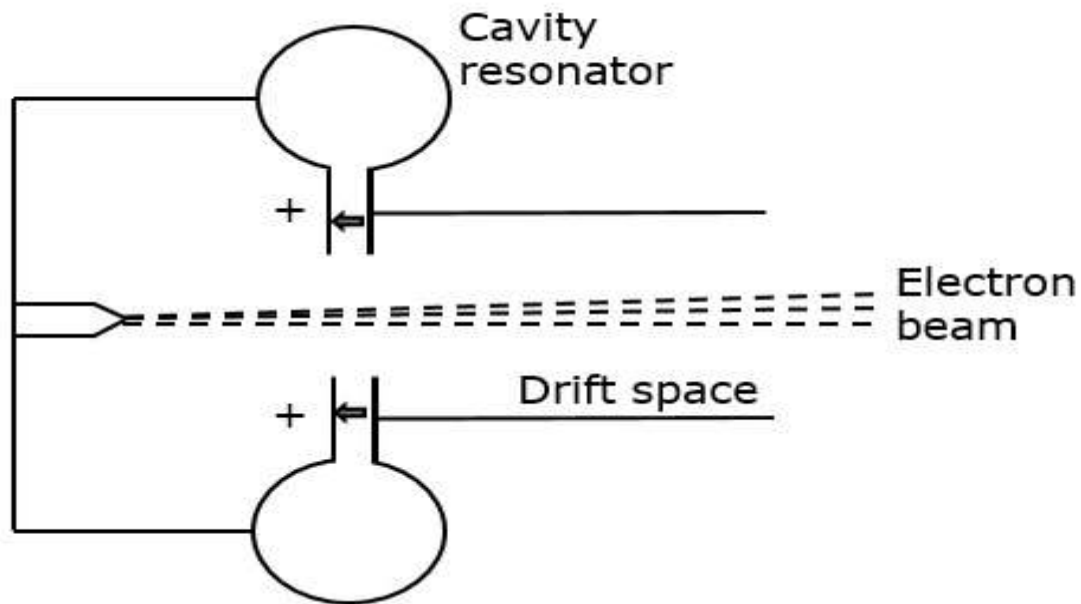
Initially, the first cavity resonator is supplied with a weak high frequency signal, which has to be amplified. The signal will initiate an electromagnetic field inside the cavity. This signal is passed through a coaxial cable as shown in the following figure



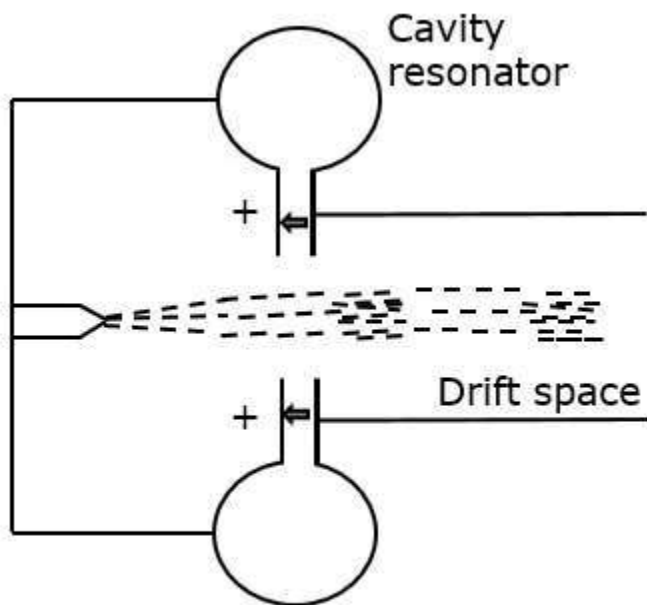
## Working of Klystron

To understand the modulation of the electron beam, entering the first cavity, let's consider the electric field. The electric field on the resonator keeps on changing its direction of the induced field. Depending on this, the electrons coming out of the electron gun, get their pace controlled.

As the electrons are negatively charged, they are accelerated if moved opposite to the direction of the electric field. Also, if the electrons move in the same direction of the electric field, they get decelerated. This electric field keeps on changing, therefore the electrons are accelerated and decelerated depending upon the change of the field. The following figure indicates the electron flow when the field is in the opposite direction.

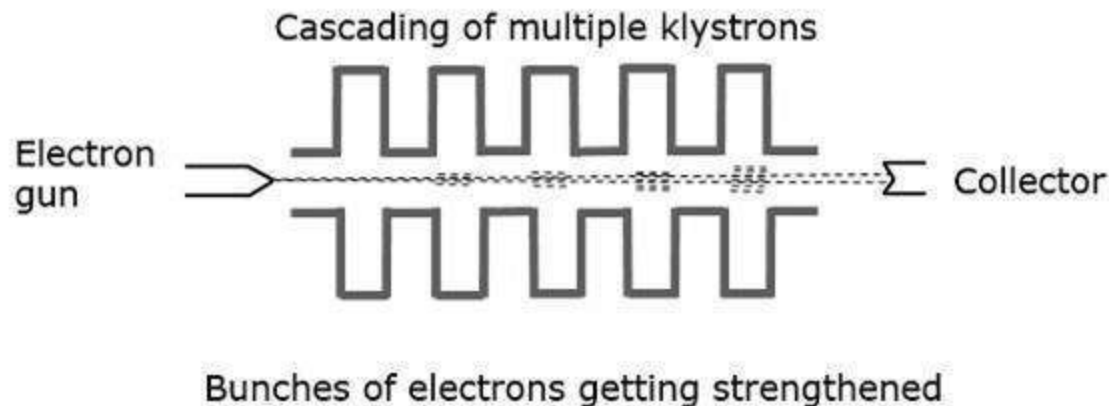


While moving, these electrons enter the field free space called as the **drift space** between the resonators with varying speeds, which create electron bunches. These bunches are created due to the variation in the speed of travel. These bunches enter the second resonator, with a frequency corresponding to the frequency at which the first resonator oscillates. As all the cavity resonators are identical, the movement of electrons makes the second resonator to oscillate. The following figure shows the formation of electron bunches.



Formation of electron bunches

The induced magnetic field in the second resonator induces some current in the coaxial cable, initiating the output signal. The kinetic energy of the electrons in the second cavity is almost equal to the ones in the first cavity and so no energy is taken from the cavity. The electrons while passing through the second cavity, few of them are accelerated while bunches of electrons are decelerated. Hence, all the kinetic energy is converted into electromagnetic energy to produce the output signal. Amplification of such two-cavity Klystron is low and hence multi-cavity Klystrons are used. The following figure depicts an example of multi-cavity Klystron amplifier.



With the signal applied in the first cavity, we get weak bunches in the second cavity. These will set up a field in the third cavity, which produces more concentrated bunches and so on. Hence, the amplification is larger

## Traveling wave tube (TWT)

### Travelling Wave Tube Amplifier:

\_ High gain  $> 40$  dB

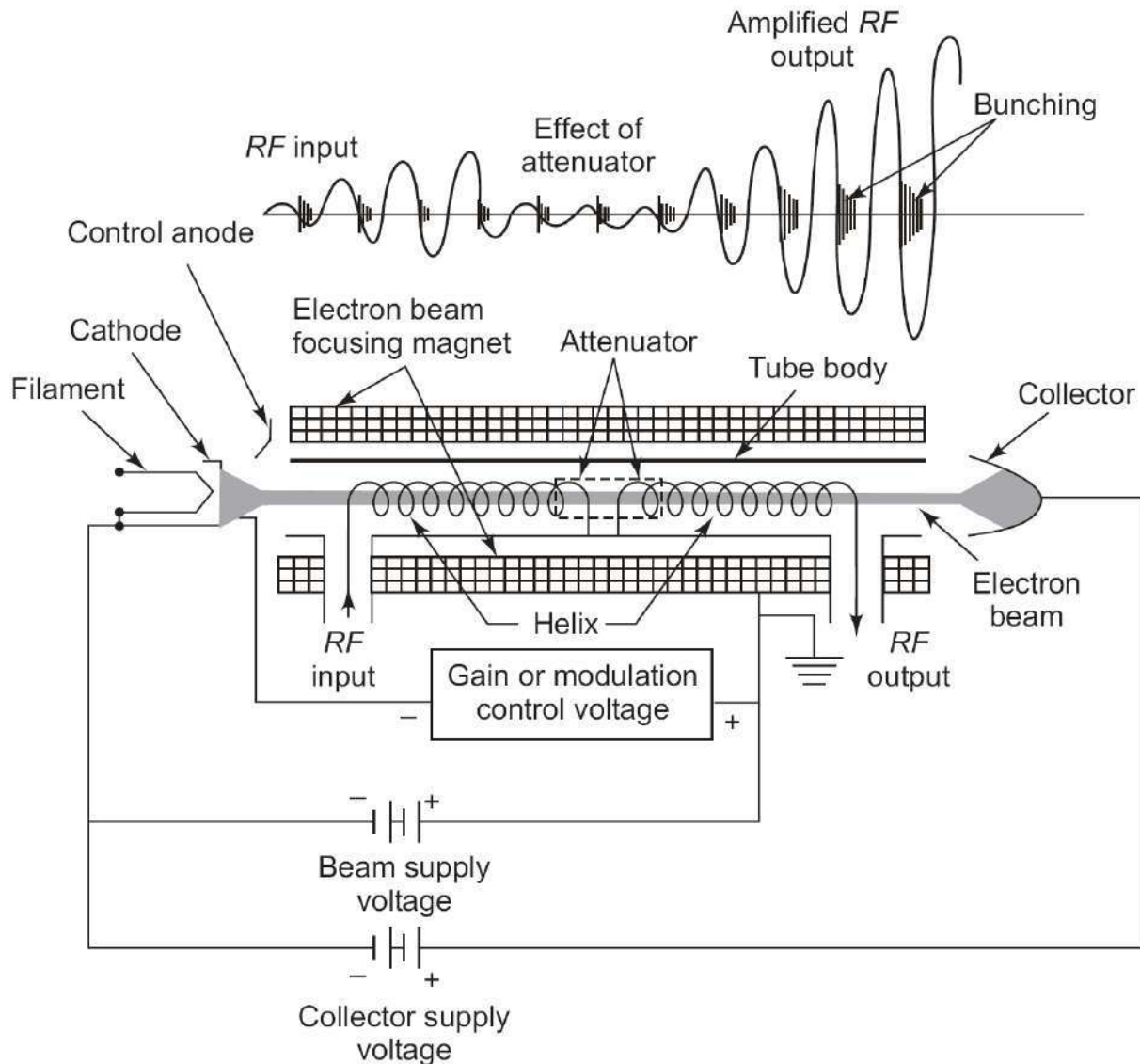
\_ Low NF  $< 10$  dB

\_ Wide Band  $>$  Octave

Frequency range: 0.3 – 50 GHz

\_ Contains electron gun, RF interaction circuit, electron beam focusing magnet, collector

\_ Amplify a weak RF input signal many thousands of times



**Fig. 9.18** TWT amplifier tube and circuit

a) Electron gun

\_ To get as much electron current flowing into as small a region as possible without distortion or fuzzy edges

Sources of electrons for the beam- 6 elements:

gun shells

heater

cathode

control grid

focus electrode

anode

## **b) RF interaction circuit**

- \_ Interaction structures : helix, ring bar, ringloop, coupled cavity
- \_ RF circuit – complex trade off analysis, based on many interlocking parameters
- \_ Low power level : helix
- \_ Medium power level : ring loop, ring bar
- \_ Power level & frequency increased: RF losses on the circuit become more appreciable.

## **c) Electron beam focusing**

- \_ A magnetic field – to hold the electron beam together as it travels through the interaction structure of the tube
- \_ The beam tends to disperse or spread out as a result of the natural repulsive forces between electrons.
- \_ Methods of magnetic focusing
- \_ Solenoid magnetic structure
- \_ Permanent magnet
- \_ Periodic permanent magnet (PPM)
- \_ Radial magnet PPM

## **d) The collector**

- \_ To dissipate the electrons in the form of heat as they emerge from the slow wave structure
- \_ Accomplished by thermal conduction to a colder outside surface – the heat is absorbed by circulated air or a liquid

### **1. Gain compression**

- \_ the amount of gain decrease from the small signal condition (normally 6dB)

### **2. Beam Voltage**

- \_ the voltage between the cathode and the RF structure

### **3. Synchronous Voltage**

- \_ the beam voltage necessary to obtain the greatest interaction between the electrons in the electron beam and the RF wave on the circuit

### **4. Gain**

- \_ the ratio of RF output power to RF input power (dB)

### **5. Phase Characteristic**

- \_ Phase shift – the phase of output signal relative to the input signal

- \_ Phase sensitivity – the rate of phase change with a specific operating parameter

## **Solid State Devices**

The classification of solid state Microwave devices can be done –

- Depending upon their electrical behavior
  - Non-linear resistance type. Example: Varistors (variable resistances)
  - Non-Linear reactance type. Example: Varactors (variable reactors)
  - Negative resistance type. Example: Tunnel diode, Impatt diode, Gunn diode
  - Controllable impedance type. Example: PIN diode

## **Gunn Effect Devices**

J B Gunn discovered periodic fluctuations of current passing through the **n-type GaAs** specimen when the applied voltage exceeded a certain critical value. In these diodes, there are two valleys, **L & U valleys** in conduction band and the electron transfer occurs between them, depending upon the applied electric field. This effect of population inversion from lower L-valley to upper U-valley is called **Transfer Electron Effect** and hence these are called as **Transfer Electron Devices** (TEDs).

### Applications of Gunn Diodes

Gunn diodes are extensively used in the following devices:

- ☐ Radar transmitters
- ☐ Transponders in air traffic control
- ☐ Industrial telemetry systems
- ☐ Power oscillators
- ☐ Logic circuits
- ☐ Broadband linear amplifier

### Gunn Diodes:

Single piece of GaAs or Inp and contains no junctions Exhibits negative differential resistance

#### **Applications:**

low-noise local oscillators for mixers (2 to 140 GHz). Low-power transmitters and wide band tunable sources

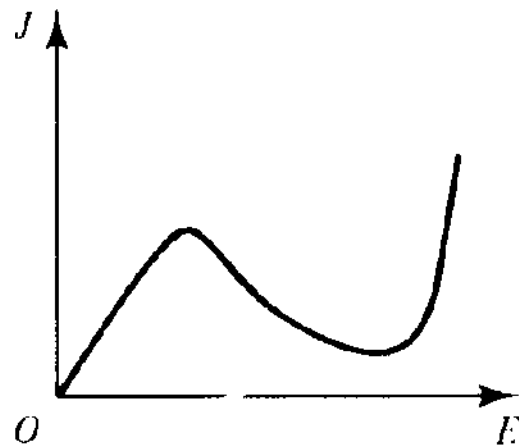
Continuous-wave (CW) power levels of up to several hundred mill watts can be obtained in the X-, Ku-, and Ka-bands. A power output of 30 mW can be achieved from commercially available devices at 94 GHz.

Higher power can be achieved by combining several devices in a power combiner.

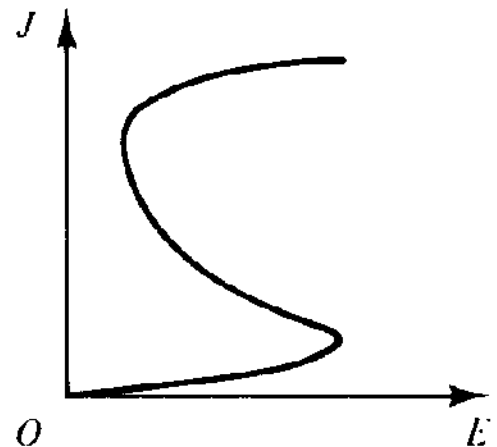
Gunn oscillators exhibit very low dc-to-RF efficiency of 1 to 4%.

#### **Differential Negative Resistance:**

The fundamental concept of the Ridley-Watkins-Hilsum (RWH) theory is the differential negative resistance developed in a bulk solid-state III-V compound when either a voltage (or electric field) or a current is applied to the terminals of the sample. There are two modes of negative-resistance devices: voltage- controlled and current controlled Modes.



(a) Voltage-controlled mode



(b) Current-controlled mode

### MODES OF OPERATION OF GUNN DIODE:

A Gunn diode can operate in four modes:

1. Gunn oscillation mode
2. stable amplification mode
3. LSA oscillation mode
4. Bias circuit oscillation mode

**Gunn oscillation mode:** This mode is defined in the region where the product of frequency multiplied by length is about  $10^7$  cm/s and the product of doping multiplied by length is greater than  $10^{12}/\text{cm}^2$ . In this region the device is unstable because of the cyclic formation of either the accumulation layer or the high field domain.

When the device is operated in a relatively high Q cavity and coupled properly to the load, the domain is quenched or delayed before nucleating.

**2. stable amplification mode :**

This mode is defined in the region where the product of frequency times length is about  $10^7$  cm/s and the product of doping times length is between  $10^{11}$  and  $10^{12}/\text{cm}^2$

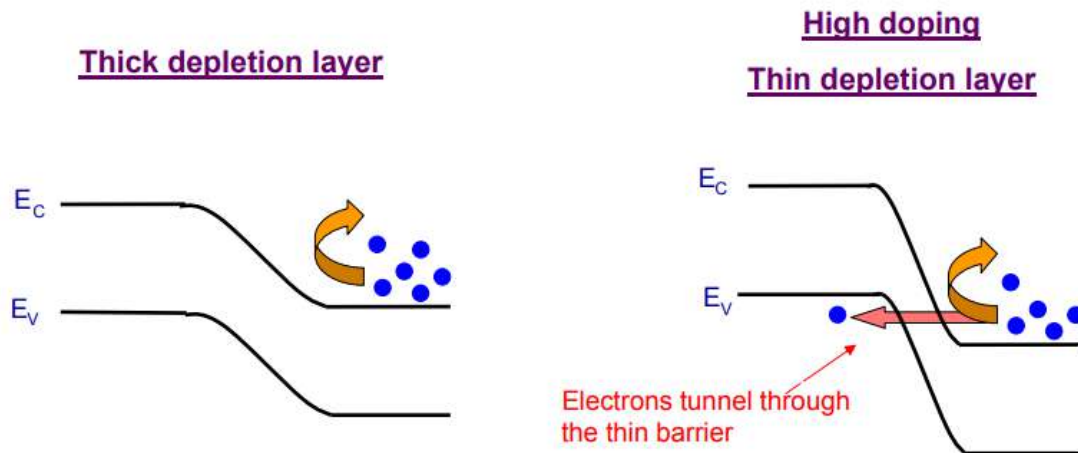
**3. LSA oscillation mode:** This mode is defined in the region where the product of frequency times length is above  $10^7$  cm/s and the quotient of doping divided by frequency is between  $2 \times 10^4$  and  $2 \times 10^5$ .

**4. Bias-circuit oscillation mode:** This mode occurs only when there is either Gunn or LSA oscillation. and it is usually at the region where the product of frequency times length is too small to appear in the figure. When a bulk diode is biased to threshold. the average current suddenly drops as Gunn oscillation begins.

## Tunnel Diode:

When the p and n region are highly doped, the depletion region becomes very thin ( $\sim 10\text{nm}$ ).

- In such case, there is a finite probability that electrons can tunnel from the conduction band of n-region to the valence band of p-region
- During the tunneling the particle ENERGY DOES NOT CHANGE

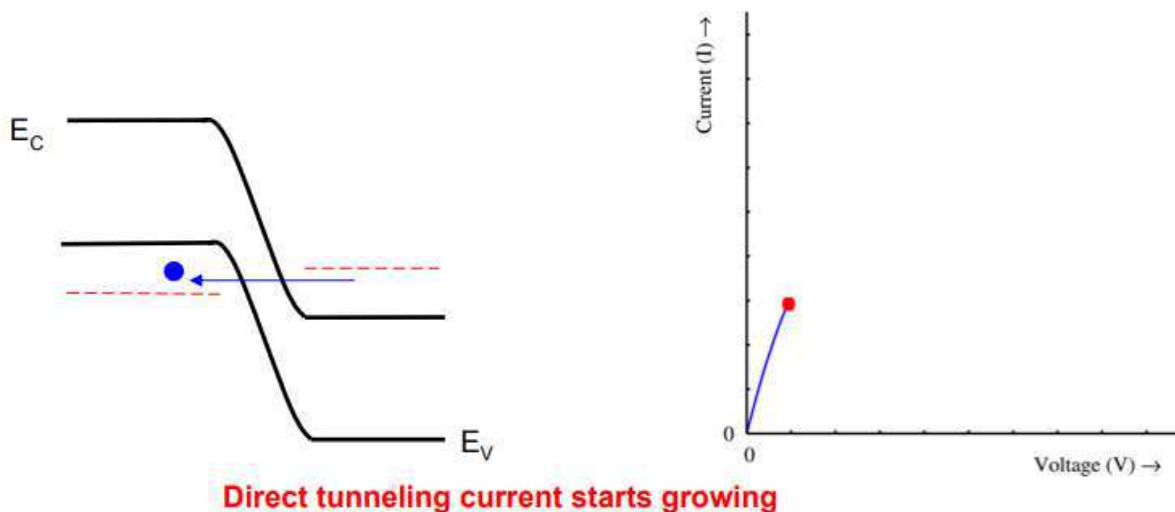


- When the semiconductor is very highly doped (the doping is greater than  $N_o$ ) the Fermi level goes above the conduction band for n-type and below valence band for p-type material. These are called degenerate materials.

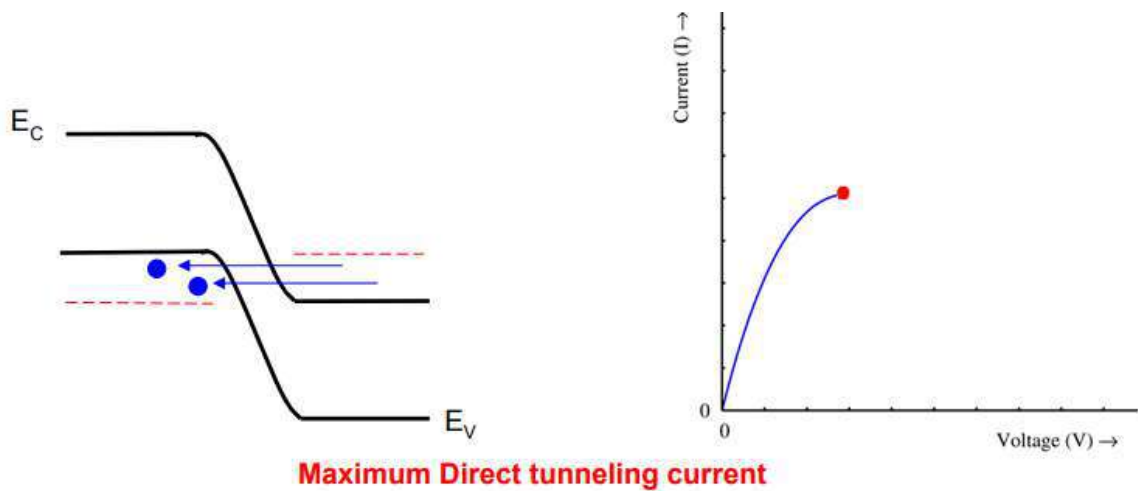
### **Under Forward Bias**

**Step 1: At zero bias there is no current flow**

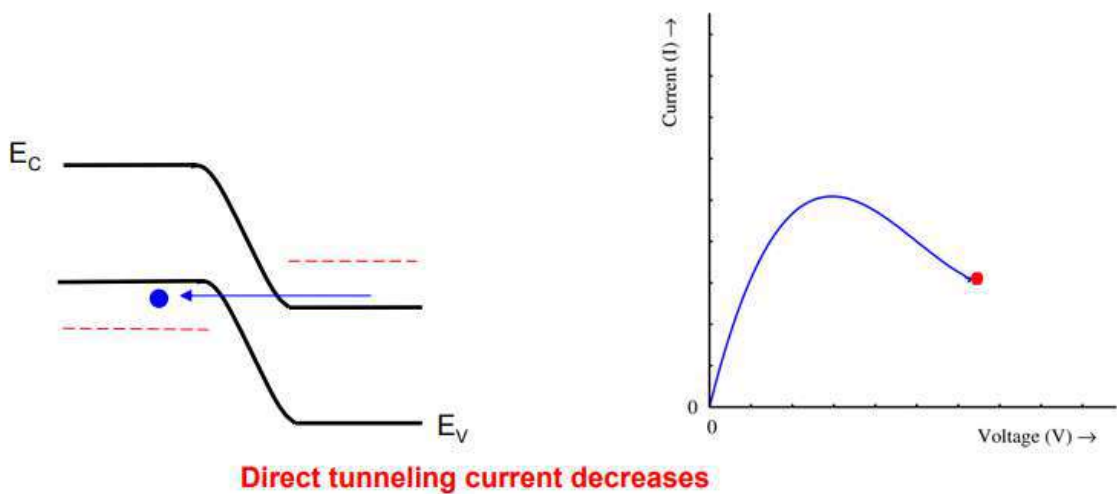
**Step 2: A small forward bias is applied.** Potential barrier is still very high – no noticeable injection and forward current through the junction. However, electrons in the conduction band of the n region will tunnel to the empty states of the valence band in p region. This will create a forward bias tunnel current



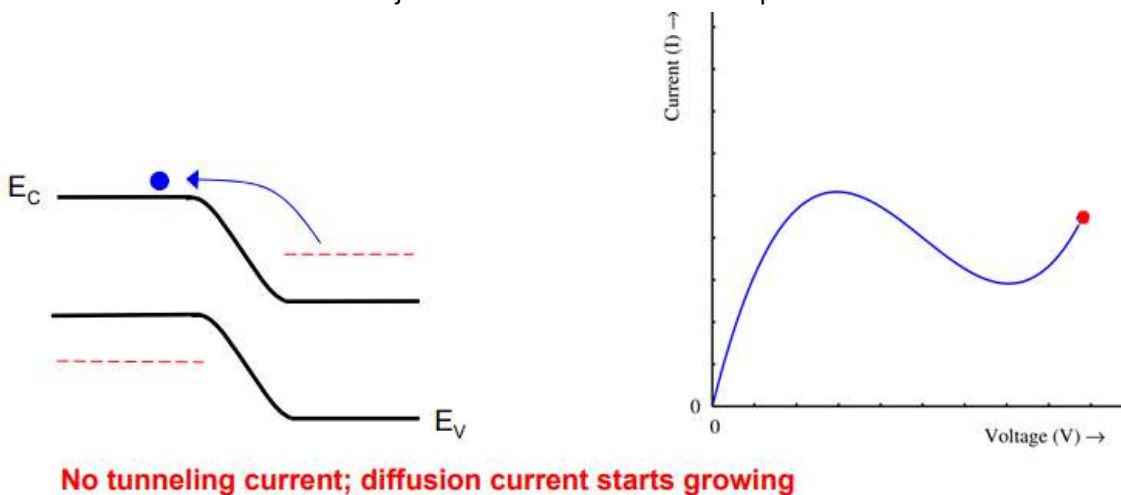
**Step 3:** With a larger voltage the energy of the majority of electrons in the n-region is equal to that of the empty states (holes) in the valence band of p-region; this will produce maximum tunneling current



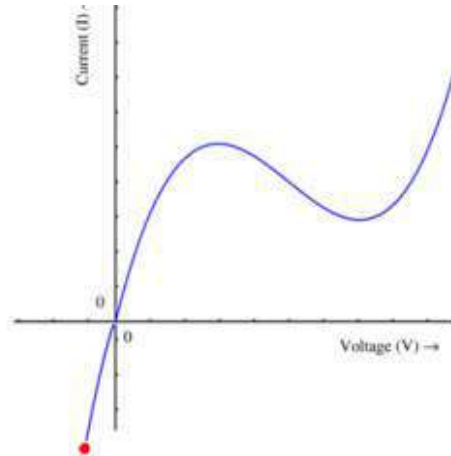
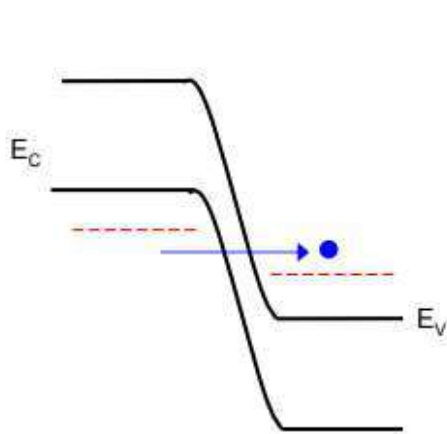
**Step 4:** As the forward bias continues to increase, the number of electrons in the n side that are directly opposite to the empty states in the valence band (in terms of their energy) decrease. Therefore decrease in the tunneling current will start.



**Step 5:** As more forward voltage is applied, the tunneling current drops to zero. But the regular diode forward current due to electron – hole injection increases due to lower potential barrier.

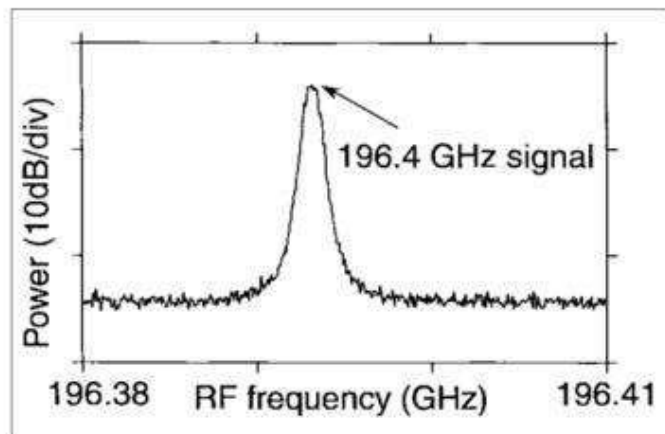
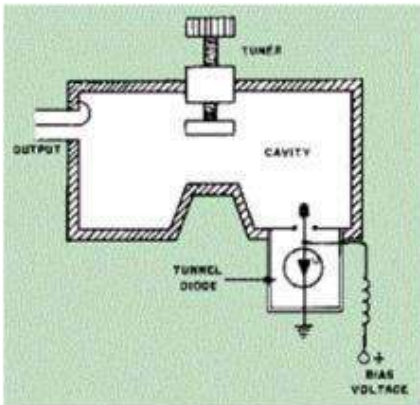
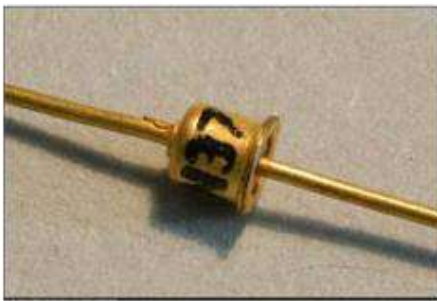


**Under Reverse Bias** In this case the, electrons in the valence band of the p side tunnel directly towards the empty states present in the conduction band of the n side creating large tunneling current which increases with the application of reverse voltage. The TD reverse I-V is similar to the Zener diode with nearly zero breakdown voltage.



#### Applicatio:

Tunnel Diode microwave oscillators



After: M. Reddy et.al,

IEEE ELECTRON DEVICE LETTERS,  
VOL. 18, NO. 5, MAY 1997

~ 600 GHz oscillation frequencies has been achieved.

## **SONET(Synchronous Optical Network)-**

Synchronous optical network (SONET) is a standard for optical telecommunications transport. It was formulated by the ECTA for ANSI, which sets industry standards in the United States for telecommunications and other industries. The comprehensive SONET/synchronous digital hierarchy (SDH) standard is expected to provide the transport infrastructure for worldwide telecommunications for at least the next two or three decades.

The increased configuration flexibility and bandwidth availability of SONET provides significant advantages over the older telecommunications system. These advantages include the following:

- reduction in equipment requirements and an increase in network reliability
- provision of overhead and payload bytes—the overhead bytes permit management of the payload bytes on an individual basis and facilitate centralized fault sectionalization
- definition of a synchronous multiplexing format for carrying lower level digital signals (such as DS-1, DS-3) and a synchronous structure that greatly simplifies the interface to digital switches, digital cross-connect switches, and add-drop multiplexers
- availability of a set of generic standards that enable products from different vendors to be connected
- definition of a flexible architecture capable of accommodating future applications, with a variety of transmission rates

In brief, SONET defines optical carrier (OC) levels and electrically equivalent synchronous transport signals (STSs) for the fiber-optic-based transmission hierarchy.

### **Basic SONET Signal**

SONET defines a technology for carrying many signals of different capacities through a synchronous, flexible, optical hierarchy. This is accomplished by means of a byte-interleaved multiplexing scheme. Byte-interleaving simplifies multiplexing and offers end-to-end network management.

The first step in the SONET multiplexing process involves the generation of the lowest level or base signal. In SONET, this base signal is referred to as

synchronous transport signal—level 1, or simply STS-1, which operates at 51.84 Mbps. Higher-level signals are integer multiples of STS-1, creating the family of STS-N signals in *Table 1*. An STS-N signal is composed of N byte-interleaved STS-1 signals. This table also includes the optical counterpart for each STS-N signal, designated optical carrier level N (OC-N).

**Table 1. SONET Hierarchy**

| Signal                                                                     | Bit Rate (Mbps) | Capacity                 |
|----------------------------------------------------------------------------|-----------------|--------------------------|
| STS-1, OC-1                                                                | 51.840          | 28 DS-1s or 1 DS-3       |
| STS-3, OC-3                                                                | 155.520         | 84 DS-1s or 3 DS-3s      |
| STS-12, OC-12                                                              | 622.080         | 336 DS-1s or 12 DS-3s    |
| STS-48, OC-48                                                              | 2,488.320       | 1,344 DS-1s or 48 DS-3s  |
| STS-192, OC-192                                                            | 9,953.280       | 5,376 DS-1s or 192 DS-3s |
| <b>Note:</b><br>STS = synchronous transport signal<br>OC = optical carrier |                 |                          |

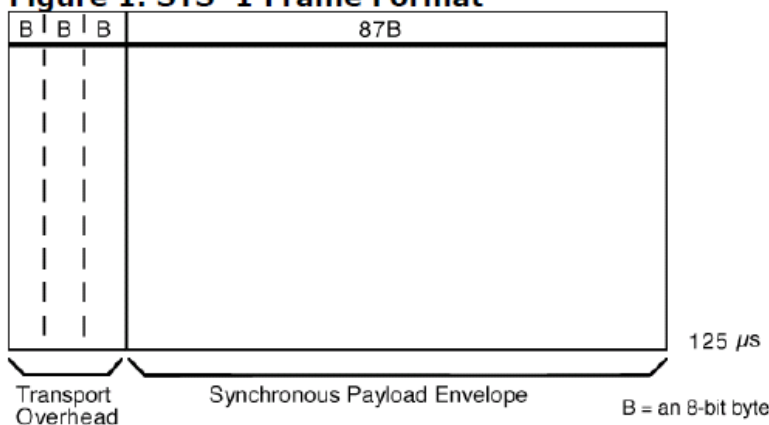
## 3. Frame Format Structure

SONET uses a basic transmission rate of STS-1 that is equivalent to 51.84 Mbps. Higher-level signals are integer multiples of the base rate. For example, STS-3 is three times the rate of STS-1 ( $3 \times 51.84 = 155.52$  Mbps). An STS-12 rate would be  $12 \times 51.84 = 622.08$  Mbps.

### STS-1 Building Block

The frame format of the STS-1 signal is shown in *Figure 1*. In general, the frame can be divided into two main areas: transport overhead and the synchronous payload envelope (SPE).

**Figure 1. STS-1 Frame Format**



## 9. What Are the Benefits of SONET?

The transport network using SONET provides much more powerful networking capabilities than existing asynchronous systems.

### Pointers, MUX/DEMUX

As a result of SONET transmission, the network's clocks are referenced to a highly stable reference point. Therefore, the need to align the data streams or synchronize clocks is unnecessary. Therefore, a lower rate signal such as DS-1 is accessible, and demultiplexing is not needed to access the bitstreams. Also, the signals can be stacked together without bit stuffing.

For those situations in which reference frequencies may vary, SONET uses pointers to allow the streams to float within the payload envelope. Synchronous clocking is the key to pointers. It allows a very flexible allocation and alignment of the payload within the transmission envelope.

### Reduced Back-to-Back Multiplexing

Separate M13 multiplexers (DS-1 to DS-3) and fiber-optic transmission system terminals are used to multiplex a DS-1 signal to a DS-2, DS-2 to DS-3, and then DS-3 to an optical line rate. The next stage is a mechanically integrated fiber/multiplex terminal.

### Optical Interconnect

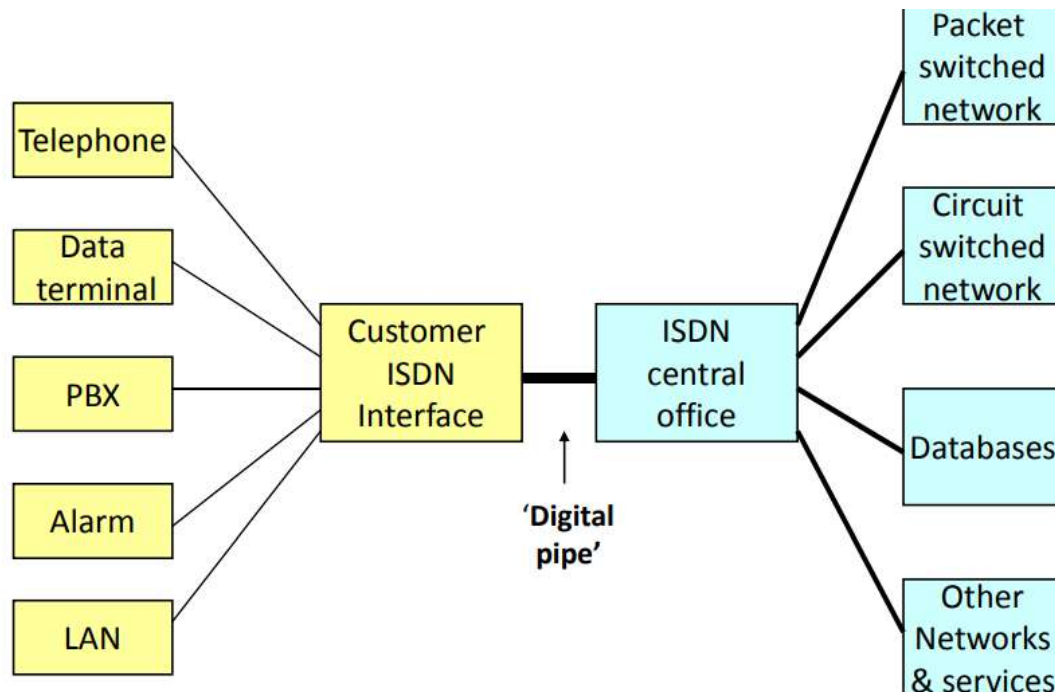
Because of different optical formats among vendors' asynchronous products, it is not possible to optically connect one vendor's fiber terminal to another. For example, one manufacturer may use 417-Mbps line rate, another 565-Mbps.

A major SONET value is that it allows midspan meet with multivendor compatibility. Today's SONET standards contain definitions for fiber-to-fiber interfaces at the physical level. They determine the optical line rate, wavelength, power levels, pulse shapes, and coding. Current standards also fully define the frame structure, overhead, and payload mappings. Enhancements are being developed to define the messages in the overhead channels to provide increased OAM&P functionality.

SONET allows optical interconnection between network providers regardless of who makes the equipment. The network provider can purchase one vendor's equipment and conveniently interface with other vendors' SONET equipment at either the different carrier locations or customer premises sites. Users may now obtain the OC-N equipment of their choice and meet with their network provider of choice at that OC-N level.

## ISDN ( INTEGRATED SERVICES DIGITAL NETWORK)

- Users have a variety of equipment to connect to public networks
  - Telephones
  - Private Branch Exchanges
  - Computer Terminals or PCs
  - Mainframe Computers
- A variety of physical interfaces and access procedures are required for connection
- The telephone network has evolved into a digital one with digital exchanges and links
- The signaling system has become a digital message-oriented common channel signaling system (SS#7)
- The term 'Integrated Digital Network' is used to describe these developments
- The Public Switched Telephone network is still analogue from the subscriber to the local exchange
- The need has arisen to extend the digital network out to subscribers and to provide a single standardized interface to all different users of public networks
- ISDN fulfils that need

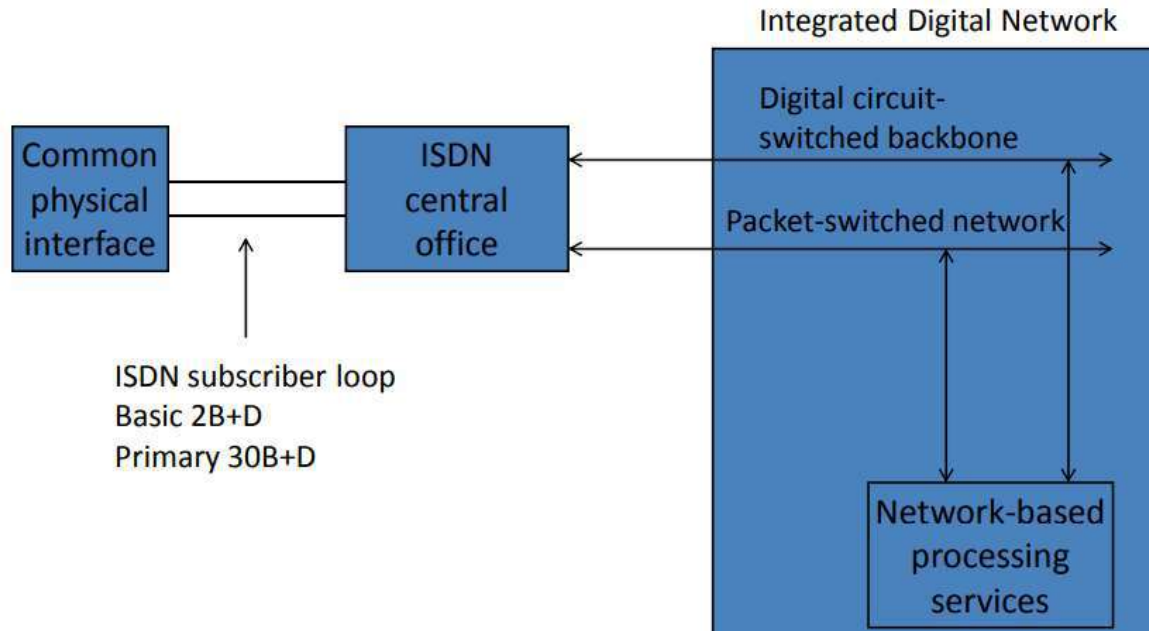


- In Practice there are multiple networks providing the service nationally
- The user however, sees a single network

### **Benefits to Subscribers**

- Single access line for all services
- Ability to tailor service purchased to suit needs
- Competition among equipment vendors due to standards
- Availability of competitive service providers

# Architecture



## ISDN Channels

- The Digital pipe is made up of channels - one of three types
- B channel, D channel or H channel
- Channels are grouped and offered as a package to users

### B Channel

- B channel-64 kbps
- B is basic user channel – can carry digital data or PCM-encoded voice – or mixture of lower rate traffic.
- Four kinds of connection possible
- Circuit-switched
- Packet-switched - X.25
- Frame mode - frame relay (LAPF)
- Semi permanent - equivalent to a leased line

### D Channel

- D Channel - 16 or 64 kbps
- Carries signaling information to control circuit-switched calls on B channels
- Can also be used for packet switching or low speed telemetry

### H Channel

- Carry user information at higher bit rates 384kbps or 1536kbps or 1920kbps
- Can be used as a high-speed trunk
- Can also be subdivided as per user's own TDM scheme
- Uses include high speed data, fast facsimile, video, high-quality audio

## ISDN Basic Access

- Intended for small business and residential use
- A single physical interface is provided

- Data rate is 144kbps plus 48kbps overhead bits totaling 192 kbps
- Most existing subscriber loops can support basic access

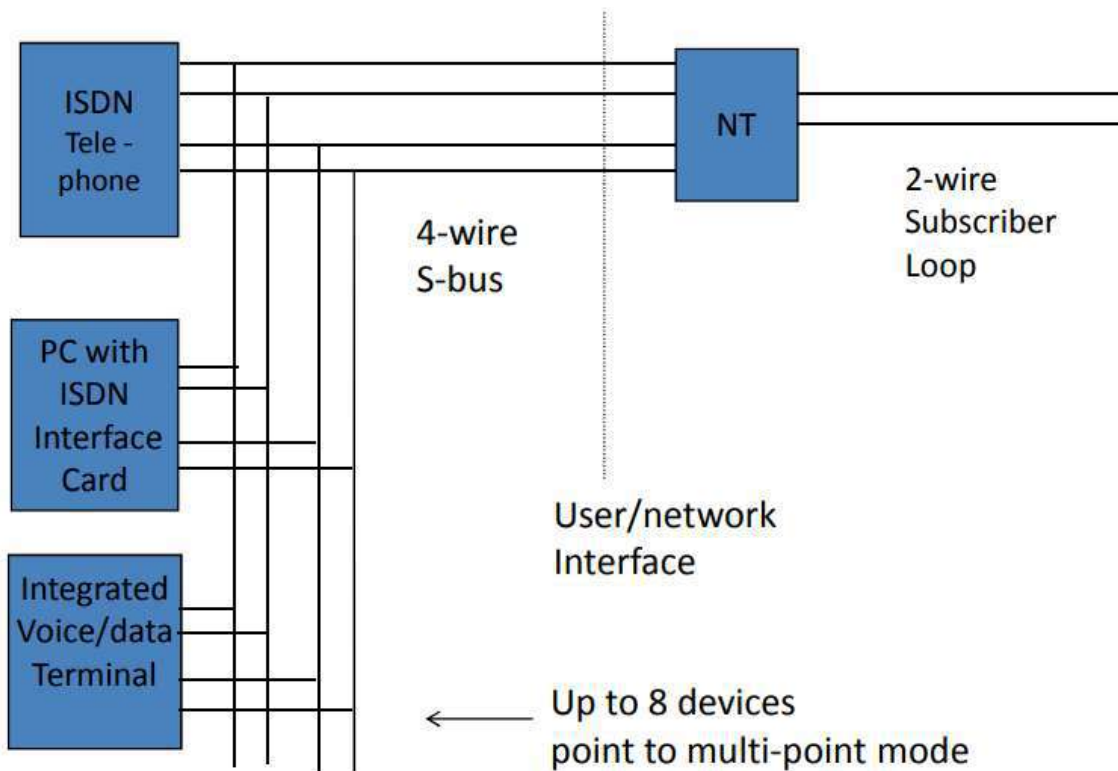
## **ISDN Primary Interface**

- Multiple channels multiplexed on single medium
- Only point to point configuration is allowed
- Typically supports a digital PBX and provides a synchronous TDM facility

## **User Access**

- Defined using two concepts
  - Functional groupings of equipment
  - Reference points to separate functional groupings

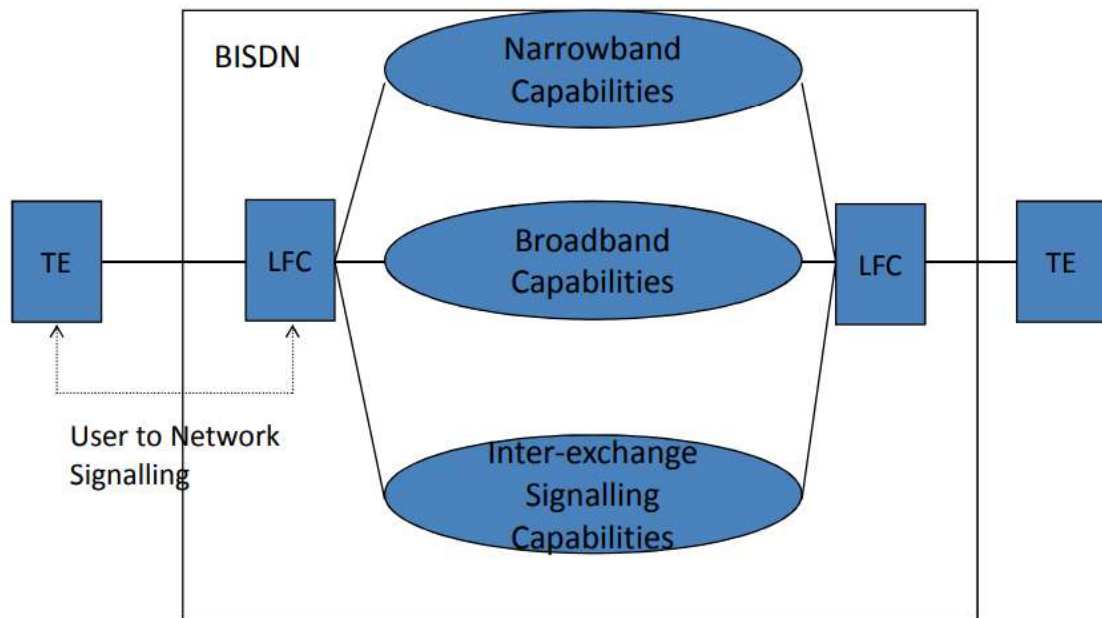
### **User access Layout:**



## **Broadband ISDN (BISDN)**

- Recommendations to support video services as well as normal ISDN services
- Provides user with additional data rates – 155.52 Mbps full-duplex – 155.52 Mbps / 622.08 Mbps – 622.08 Mbps full-duplex
- Exploits optical fiber transmission technology

## **B-ISDN Architecture**



TE = Terminal equipment LFC = Local function capabilities

- ATM is specified for Information transfer across the user-network interface
- Fixed size 53 octet packet with a 5 octet header
- Implies that internal switching will be packet based

### **BISDN Protocol Structure**

